

Edgar Filing: Small Cap Premium & Dividend Income Fund, Inc. - Form N-8F NTC

Small Cap Premium & Dividend Income Fund, Inc.  
 Form N-8F NTC  
 August 11, 2010

m-width: 1">1.Title of Security

(Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)3. Transaction Code  
 (Instr. 8)4. Securities Acquired (A) or Disposed of (D)  
 (Instr. 3, 4 and 5)5. Amount of Securities Beneficially Owned Following Reported Transaction(s)  
 (Instr. 3 and 4)6. Ownership Form: Direct (D) or Indirect (I)  
 (Instr. 4)7. Nature of Indirect Beneficial Ownership  
 (Instr. 4)CodeVAmount(A) or (D)Price Common Stock11/02/2015 J<sup>(1)</sup>V 1,904 D \$ 61.04 17,937.155 I <sup>(2)</sup> M.J. Stone Grantor  
 Retained Annuity Trust (M5) dtd. 10/31/11 Common Stock11/02/2015 J<sup>(1)</sup>V 1,904 A \$ 61.04 232,038.6051 D <sup>(2)</sup>  
 Common Stock11/02/2015 J<sup>(3)</sup>V 845 D \$ 61.04 22,511.55 I <sup>(2)</sup> M.J. Stone Grantor Retained Annuity Trust (M6) dtd.  
 10/31/11 Common Stock11/02/2015 J<sup>(3)</sup>V 845 A \$ 61.04 232,883.6051 D <sup>(2)</sup> Common Stock11/06/2015 GV 2,000  
 D \$ 61.96 230,883.6051 D <sup>(2)</sup> Common Stock 51,368.9154 I By Empl. Stock Ownership Plan <sup>(4)</sup> Common  
 Stock 57,017.8679 I <sup>(2)</sup> By Trust Common Stock 6,275 I By Wife Common Stock 510 I By  
 Wife, Custodian UTMA-FL Common Stock 7,227 I M.J. Stone Grantor Retained Annuity Trust (M7) dtd.  
 01/30/13 Common Stock 8,559 I M.J. Stone Grantor Retained Annuity Trust (M8) dtd. 01/30/13 Common  
 Stock 9,072 I M.J. Stone Grantor Retained Annuity Trust (M9) dtd. 01/30/13

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.** SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 3)
--	--	--------------------------------------	--	--------------------------------	---	--	---	--	---

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
STONE MICHAEL J 9025 N. LINDBERGH DRIVE	X			President RLI Insurance Co

PEORIA, IL 61615

## Signatures

/s/ Michael J.

Stone

11/09/2015

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares distributed from the M.J. Stone Grantor Retained Annuity Trust (M5) to M.J. Stone
- (2) Ownership reflects dividend reinvestment
- (3) Shares distributed from the M.J. Stone Grantor Retained Annuity Trust (M6) to M.J. Stone
- (4) Balance reflects annual company contributions and dividend reinvestment.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.