

Edgar Filing: LIGHTPATH TECHNOLOGIES INC - Form 4

LIGHTPATH TECHNOLOGIES INC

Form 4

October 17, 2002

FORM 4

OMB APPROVAL

OMB Number: 3235-0287
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UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(h) of the Investment Company Act of 1940
[] Check this box if no longer subject of Section 16. Form 4 or Form 5
obligations may continue. See Instruction 1(b).

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1. Name and Address of Reporting Person*

Silverman	Gary	
-----	-----	-----
(Last)	(First)	(Middle)
2603 Challenger Tech Ct. Suite 100		

(Street)		
Orlando	FL	32826
-----	-----	-----
(City)	(State)	(Zip)

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2. Issuer Name AND Ticker or Trading Symbol

LPTH, LightPath Technologies, Inc.

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3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)

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4. Statement for Month/Day/Year

October 2002

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5. If Amendment, Date of Original (Month/Day/Year)

=====

6. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☒ Director	☐ 10% Owner
☐ Officer (give title below)	☐ Other (specify below)

=====

7. Individual or Joint/Group Filing (Check applicable line)

☒ Form filed by One Reporting Person
☐ Form filed by More Than one Reporting Person

Form 4 (continued)

									5.
									Amount
									of
									Secur-
								4.	ities
								Securities	Bene-
								Acquired (A)	ficial
								or Disposed	Owned
		2A.	3.					of (D) (Instr.	Follow
		Deemed	Transaction					3, 4 and 5)	Report
	2.	Execution	Code					-----	Trans-
1.	Transaction	Date, if	(Instr. 8)					(A)	action
Title of Security	Date (Month/	any (Month/	-----					Amount or Price	(Instr
(Instr. 3)	Day/Year)	Day/Year)	Code V					(D)	3 and

Form 4 (continued)

					5.			
					Number			
					of		7.	
	2.				Deriv-		Title and	
	Conver-				ative		Amount of	
	sion				Secur-		Underlying	
	of		3A.		ities	6.	Securities	8.
	Exer-		Deemed	4.	Acquired	Date	(Instr. 3	Price
	cise	3.	Execu-	Trans-	(A) or	Exercisable and	and 4)	of
	Price	Trans-	tion	Action	Disposed	Expiration Date	-----	Deriv-
1.	of	action	Date,	Code	of (D)	(Month/Day/Year)		Amount
Title of	Deriv-	Date	if any	(Instr.)	(Instr.3,	-----	or	Secur-
Derivative	ative	(Month/	(Month/	8)	4 and 5)	Date Expira-	Number	ity

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Security (Instr. 3)	Secur- ity	Day/ Year)	Day/ Year)	----- Code	----- V	----- (A)	----- (D)	Exer- cisable	tion Date	of Title	(Instr. Shares	(Instr. 5)
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Stock Option	\$.295	10/16/02		A	4000	11/2002	10/2012	To 10/2003	Common	4000	Stock	
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Explanation of Responses:

/s/ Gary Silverman	10/17/02
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**Signature of Reporting Person	Date

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedures.