### Edgar Filing: Houghton Mifflin Harcourt Co - Form 4

Houghton M Form 4 August 15, 2	ifflin Harcourt Co									
FORM	Л							-	PPROVAL	
	Washington, D.C. 20549							OMB Number:	3235-0287	
Check th if no long subject to Section 1 Form 4 o Form 5 obligatio may cont <i>See</i> Instru 1(b).	6. r Filed pursuant inue. Section 17(a) of	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the Investment Company Act of 1940							January 31, 2005 average rs per 0.5	
(Print or Type I	Responses)									
Ramsayer Lee Raymond Sy			2. Issuer Name <b>and</b> Ticker or Trading Symbol Houghton Mifflin Harcourt Co				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
		[HMHC]	[HMHC]				(encer un approable)			
(N			3. Date of Earliest Transaction (Month/Day/Year) 08/14/2014				Director 10% Owner X_ Officer (give title Other (specify below) See remarks.			
DOCTON	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>			
BOSTON, N	MA 02116						Person		Porting	
(City)	(State) (Zip)	Table	I - Non-D	erivative S	Securi	ties Acq	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date any (Month/Day/Year)			Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) y/Year) (Instr. 8) (A)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	Indirect (I) Owne		
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common Stock	08/14/2014		М	15,000	А	\$ 12.5	15,000	D		
Common Stock	08/14/2014		S <u>(1)</u>	15,000	D	\$ 19	0	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 12.5	08/14/2014		М	15,000	(2)	11/07/2019	Common Stock	15,000

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Ramsayer Lee Raymond 222 BERKELEY STREET BOSTON, MA 02116	See remarks.							
Signatures								
/s/ William F. Bayers, Attorney-in-Fact		08/15/20	08/15/2014					
<u>**</u> Signature of Reporting Person		Date						

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares were sold pursuant to a Rule 10b5-1 trading plan.
- (2) 25% of this grant of employee stock options has vested and is currently exercisable. The remaining stock options vest in three equal installments on November 7, 2014, November 7, 2015 and November 7, 2016, subject to continued employment with the Company.

#### **Remarks:**

Executive Vice President, U.S. Sales. William F. Bayers is the Executive Vice President, Secretary and General Counsel of the

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.