

EMERSON ELECTRIC CO

Form 4

February 13, 2017

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**FARR DAVID N**

(Last) (First) (Middle)

**C/O EMERSON ELECTRIC  
CO., 8000 W. FLORISSANT  
AVENUE**

(Street)

**ST LOUIS, MO 63136**

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

**EMERSON ELECTRIC CO [EMR]**

3. Date of Earliest Transaction  
(Month/Day/Year)

**02/10/2017**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)

Chairman of Board and CEO

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------------|
| Common<br>Stock                       | 02/10/2017                              |                                                             | M <sup>(1)</sup>                     | V Amount<br>(1) 18,575<br>A \$<br>53.835                                | 1,734,878                                                                                                          | D                                                                       |                                                                   |
| Common<br>Stock                       | 02/10/2017                              |                                                             | F <sup>(2)</sup>                     | 900 (2) D \$<br>63.045                                                  | 1,733,978                                                                                                          | D                                                                       |                                                                   |
| Common<br>Stock                       |                                         |                                                             |                                      |                                                                         | 401,801                                                                                                            | I                                                                       | Spouse                                                            |
| Common<br>Stock                       |                                         |                                                             |                                      |                                                                         | 69,723                                                                                                             | I                                                                       | Trust -<br>Son                                                    |
| Common<br>Stock                       |                                         |                                                             |                                      |                                                                         | 59,723                                                                                                             | I                                                                       | Trust -<br>Daughter                                               |

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|                 |            |   |                          |
|-----------------|------------|---|--------------------------|
| Common<br>Stock | 9,487.963  | I | 401(k)<br>Plan           |
| Common<br>Stock | 36,756.312 | I | 401(k)<br>excess<br>plan |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount<br>Underlying Security<br>(Instr. 3 and 4) |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|--------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|----------------------------------------------------------------|
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 53.835                                                          | 02/10/2017                              |                                                             | M <sup>(1)</sup>                     | 18,575                                                                                                       | 10/01/2008 <sup>(3)</sup><br>10/01/2017                        | Common<br>Stock 18,5                                           |

## Reporting Owners

| Reporting Owner Name / Address                                                              | Relationships |           |                           |       |
|---------------------------------------------------------------------------------------------|---------------|-----------|---------------------------|-------|
|                                                                                             | Director      | 10% Owner | Officer                   | Other |
| FARR DAVID N<br>C/O EMERSON ELECTRIC CO.<br>8000 W. FLORISSANT AVENUE<br>ST LOUIS, MO 63136 | X             |           | Chairman of Board and CEO |       |

## Signatures

/s/ John G. Shively, Attorney-in-Fact for David  
N. Farr

02/13/2017

\_\_\_\_Signature of Reporting Person

\_\_\_\_Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercise of 18,575 non-qualified stock options exempt under Rule 16b-3.
- (2) Shares withheld for taxes exempt under Rule 16b-3 resulting from nonqualified stock option exercise.
- (3) When taken together with previously exercised options having the same grant date, exercise price, and expiration date, all such options together vested in three equal annual installments beginning on the date indicated.
- (4) Price is not applicable to stock options received as incentive compensation.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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