

PIPER JAFFRAY COMPANIES

Form 8-K

October 18, 2006

Table of Contents

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549  
FORM 8-K  
CURRENT REPORT  
PURSUANT TO SECTION 13 OR 15(d) OF THE  
SECURITIES EXCHANGE ACT OF 1934  
October 18, 2006  
Date of report (Date of earliest event reported)  
PIPER JAFFRAY COMPANIES  
(Exact Name of Registrant as Specified in Its Charter)**

**Delaware**

**1-31720**

**30-0168701**

(State or Other Jurisdiction  
of Incorporation)

(Commission File Number)

(I.R.S. Employer  
Identification No.)

**800 Nicollet Mall, Suite 800  
Minneapolis, Minnesota**

**55402**

(Address of Principal Executive  
Offices)

(Zip Code)

**(612) 303-6000**

(Registrant's Telephone Number, Including Area Code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
  - Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
  - Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
  - Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))
-

**TABLE OF CONTENTS**

Item 2.02. Results of Operations and Financial Condition

Item 9.01. Financial Statements and Exhibits

SIGNATURES

EXHIBIT INDEX

Press Release

---

**Table of Contents**

Item 2.02. Results of Operations and Financial Condition.

On October 18, 2006, Piper Jaffray Companies (the Company ) reported its financial results for its third fiscal quarter ended September 30, 2006. See the Company s press release dated October 18, 2006, which is furnished as Exhibit 99 hereto.

Item 9.01. Financial Statements and Exhibits.

(d) Exhibit

99 Press Release dated October 18, 2006

**Table of Contents**

**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

PIPER JAFFRAY COMPANIES

Date: October 18, 2006

By /s/ Thomas P. Schnettler

Thomas P. Schnettler  
Chief Financial Officer

3

---

**Table of Contents**

EXHIBIT INDEX

| No. | Description                          | Manner of Filing        |
|-----|--------------------------------------|-------------------------|
| 99  | Press Release dated October 18, 2006 | Filed<br>Electronically |