

Edgar Filing: GDL FUND - Form NSAR-B

GDL FUND
Form NSAR-B
February 27, 2015

PAGE 1
000 B000000 12/31/2014
000 C000000 0001378701
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 THE GDL FUND
001 B000000 811-21969
001 C000000 9149215100
002 A000000 ONE CORPORATE CENTER
002 B000000 RYE
002 C000000 NY
002 D010000 10580
002 D020000 1422
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
008 A000001 GABELLI FUNDS, LLC
008 B000001 A
008 C000001 801-37706
008 D010001 RYE
008 D020001 NY
008 D030001 10580
008 D040001 1422
010 A000001 GABELLI FUNDS, LLC
010 B000001 801-37706
010 C010001 RYE
010 C020001 NY
010 C030001 10580
010 C040001 1422
010 A000002 BNY MELLON INVESTMENT SERVICING (US) INC.
010 B000002 84-01761
010 C010002 WILMINGTON
010 C020002 DE
010 C030002 19809
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY
012 B000001 84-00416
012 C010001 NEW YORK
012 C020001 NY
012 C030001 10038
013 A000001 ERNST & YOUNG LLP
013 B010001 NEW YORK
013 B020001 NY
013 B030001 10036
PAGE 2
014 A000001 G.RESEARCH, INC.
014 B000001 8-21373
014 A000002 G.DISTRIBUTORS, LLC
014 B000002 8-68697
015 A000001 THE BANK OF NEW YORK MELLON
015 B000001 C

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015 C010001 EVERETT
015 C020001 MA
015 C030001 02149
015 E010001 X
018 000000 Y
019 A000000 Y
019 B000000 33
019 C000000 GABELLIGRP
020 A000001 G.RESEARCH, INC.
020 B000001 13-2885006
020 C000001 261
020 A000002 WEEDEN & CO. LP
020 B000002 13-3364318
020 C000002 61
020 A000003 GUGGENHEIM INVESTOR SERVICES, LLC
020 B000003 30-0003311
020 C000003 27
020 A000004 SANFORD C. BERNSTEIN & CO. LLC
020 B000004 13-4132953
020 C000004 24
020 A000005 BNY CONVERGEX EXECUTION SOLUTIONS LLC
020 B000005 13-3989198
020 C000005 20
020 A000006 MKM PARTNERS LLC
020 B000006 13-4171600
020 C000006 17
020 A000007 BAY CREST PARTNERS LLC
020 B000007 13-3697789
020 C000007 15
020 A000008 MACQUARIE CAPITAL (USA) INC.
020 B000008 98-0141094
020 C000008 14
020 A000009 NOMURA SECURITIES INTERNATIONAL, INC.
020 B000009 13-2642206
020 C000009 14
020 A000010 RBC CAPITAL MARKETS CORP.
020 B000010 41-1228350
020 C000010 11
021 000000 494
022 A000001 BARCLAYS CAPITAL, INC.
022 B000001 06-1031656
022 C000001 502504
022 D000001 676368
022 A000002 DAIWA SECURITIES AMERICA, INC.
022 B000002 13-5680329
PAGE 3
022 C000002 208096
022 D000002 0
022 A000003 ALGETA ASA
022 C000003 0
022 D000003 20533
022 A000004 MICROS SYSTEMS, INC.
022 B000004 52-1101488
022 C000004 0
022 D000004 20400
022 A000005 SANTARUS, INC.
022 B000005 33-0734433
022 C000005 0
022 D000005 19840
022 A000006 OPENTABLE, INC.
022 B000006 94-3374049
022 C000006 0

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| | | |
|-----|---------|-------------------------|
| 022 | D000006 | 19508 |
| 022 | A000007 | RESPONSYS, INC. |
| 022 | B000007 | 77-0476820 |
| 022 | C000007 | 0 |
| 022 | D000007 | 18524 |
| 022 | A000008 | MOVE, INC. |
| 022 | B000008 | 95-4438337 |
| 022 | C000008 | 0 |
| 022 | D000008 | 16800 |
| 022 | A000009 | HITTITE MICROWAVE CORP. |
| 022 | B000009 | 04-2854672 |
| 022 | C000009 | 0 |
| 022 | D000009 | 16538 |
| 022 | A000010 | VIROPHARMA INC. |
| 022 | B000010 | 23-2789550 |
| 022 | C000010 | 0 |
| 022 | D000010 | 16500 |
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| 023 | D000000 | 995141 |
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| 026 | A000000 | N |
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| 026 | C000000 | N |
| 026 | D000000 | Y |
| 026 | E000000 | N |
| 026 | F000000 | Y |
| 026 | G010000 | Y |
| 026 | G020000 | N |
| 026 | H000000 | N |
| 027 | 000000 | N |
| 028 | A010000 | 0 |
| 028 | A020000 | 0 |
| 028 | A030000 | 0 |
| 028 | A040000 | 0 |
| 028 | B010000 | 0 |
| | PAGE | 4 |
| 028 | B020000 | 0 |
| 028 | B030000 | 0 |
| 028 | B040000 | 0 |
| 028 | C010000 | 0 |
| 028 | C020000 | 0 |
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| 028 | F040000 | 0 |
| 028 | G010000 | 0 |
| 028 | G020000 | 0 |
| 028 | G030000 | 0 |
| 028 | G040000 | 0 |
| 028 | H000000 | 0 |
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| | | |
|-----|---------|-------|
| 030 | C000000 | 0.00 |
| 031 | A000000 | 0 |
| 031 | B000000 | 0 |
| 032 | 000000 | 0 |
| 033 | 000000 | 0 |
| 035 | 000000 | 0 |
| 036 | B000000 | 0 |
| 038 | 000000 | 0 |
| 042 | A000000 | 0 |
| 042 | B000000 | 0 |
| 042 | C000000 | 0 |
| 042 | D000000 | 0 |
| 042 | E000000 | 0 |
| 042 | F000000 | 0 |
| 042 | G000000 | 0 |
| 042 | H000000 | 0 |
| 043 | 000000 | 0 |
| 044 | 000000 | 0 |
| 045 | 000000 | Y |
| 046 | 000000 | N |
| 047 | 000000 | Y |
| 048 | 000000 | 0.500 |
| 048 | A010000 | 0 |
| 048 | A020000 | 0.000 |
| 048 | B010000 | 0 |
| | PAGE | 5 |
| 048 | B020000 | 0.000 |
| 048 | C010000 | 0 |
| 048 | C020000 | 0.000 |
| 048 | D010000 | 0 |
| 048 | D020000 | 0.000 |
| 048 | E010000 | 0 |
| 048 | E020000 | 0.000 |
| 048 | F010000 | 0 |
| 048 | F020000 | 0.000 |
| 048 | G010000 | 0 |
| 048 | G020000 | 0.000 |
| 048 | H010000 | 0 |
| 048 | H020000 | 0.000 |
| 048 | I010000 | 0 |
| 048 | I020000 | 0.000 |
| 048 | J010000 | 0 |
| 048 | J020000 | 0.000 |
| 048 | K010000 | 0 |
| 048 | K020000 | 0.000 |
| 049 | 000000 | N |
| 050 | 000000 | N |
| 051 | 000000 | Y |
| 052 | 000000 | N |
| 053 | A000000 | N |
| 054 | A000000 | Y |
| 054 | B000000 | Y |
| 054 | C000000 | Y |
| 054 | D000000 | N |
| 054 | E000000 | N |
| 054 | F000000 | N |
| 054 | G000000 | N |
| 054 | H000000 | N |
| 054 | I000000 | N |
| 054 | J000000 | Y |
| 054 | K000000 | N |
| 054 | L000000 | N |

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054 M000000 Y
054 N000000 N
054 O000000 N
055 A000000 Y
055 B000000 N
056 000000 Y
057 000000 N
058 A000000 N
059 000000 Y
060 A000000 Y
060 B000000 Y
061 000000 0
062 A000000 N
062 B000000 0.0
062 C000000 0.0
PAGE 6
062 D000000 0.0
062 E000000 0.0
062 F000000 0.0
062 G000000 0.0
062 H000000 0.0
062 I000000 0.0
062 J000000 0.0
062 K000000 0.0
062 L000000 0.0
062 M000000 0.0
062 N000000 0.0
062 O000000 0.0
062 P000000 0.0
062 Q000000 0.0
062 R000000 0.0
063 A000000 0
063 B000000 0.0
066 A000000 Y
066 B000000 N
066 C000000 N
066 D000000 N
066 E000000 N
066 F000000 N
066 G000000 Y
067 000000 N
068 A000000 N
068 B000000 N
069 000000 N
070 A010000 Y
070 A020000 N
070 B010000 Y
070 B020000 N
070 C010000 Y
070 C020000 N
070 D010000 Y
070 D020000 N
070 E010000 Y
070 E020000 N
070 F010000 Y
070 F020000 N
070 G010000 Y
070 G020000 N
070 H010000 Y
070 H020000 N
070 I010000 Y
070 I020000 N

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| | | | |
|-------|---------|-----|--------|
| 070 | J010000 | Y | |
| 070 | J020000 | N | |
| 070 | K010000 | Y | |
| 070 | K020000 | N | |
| 070 | L010000 | Y | |
| | PAGE | 7 | |
| 070 | L020000 | Y | |
| 070 | M010000 | Y | |
| 070 | M020000 | Y | |
| 070 | N010000 | Y | |
| 070 | N020000 | N | |
| 070 | O010000 | N | |
| 070 | O020000 | N | |
| 070 | P010000 | Y | |
| 070 | P020000 | N | |
| 070 | Q010000 | N | |
| 070 | Q020000 | N | |
| 070 | R010000 | Y | |
| 070 | R020000 | Y | |
| 071 | A000000 | | 908307 |
| 071 | B000000 | | 784489 |
| 071 | C000000 | | 249210 |
| 071 | D000000 | 315 | |
| 072 | A000000 | 12 | |
| 072 | B000000 | | 80 |
| 072 | C000000 | | 4246 |
| 072 | D000000 | | 0 |
| 072 | E000000 | | 0 |
| 072 | F000000 | | 2767 |
| 072 | G000000 | | 0 |
| 072 | H000000 | | 135 |
| 072 | I000000 | | 17 |
| 072 | J000000 | | 57 |
| 072 | K000000 | | 0 |
| 072 | L000000 | | 104 |
| 072 | M000000 | | 150 |
| 072 | N000000 | | 0 |
| 072 | O000000 | | 0 |
| 072 | P000000 | | 4088 |
| 072 | Q000000 | | 45 |
| 072 | R000000 | | 25 |
| 072 | S000000 | | 9 |
| 072 | T000000 | | 0 |
| 072 | U000000 | | 0 |
| 072 | V000000 | | 0 |
| 072 | W000000 | | 460 |
| 072 | X000000 | | 7857 |
| 072 | Y000000 | | 6 |
| 072 | Z000000 | | -3525 |
| 072AA | 000000 | | 13277 |
| 072BB | 000000 | | 0 |
| 072CC | 010000 | | 0 |
| 072CC | 020000 | | 8402 |
| 072DD | 010000 | | 1210 |
| 072DD | 020000 | | 0 |
| 072EE | 000000 | | 11009 |
| 073 | A010000 | | 0.0600 |
| | PAGE | 8 | |
| 073 | A020000 | | 0.0000 |
| 073 | B000000 | | 0.5300 |
| 073 | C000000 | | 0.2100 |
| 074 | A000000 | | 6289 |

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| | | |
|-----|---------|----------------------------------------------|
| 074 | B000000 | 0 |
| 074 | C000000 | 19245 |
| 074 | D000000 | 0 |
| 074 | E000000 | 0 |
| 074 | F000000 | 361605 |
| 074 | G000000 | 0 |
| 074 | H000000 | 0 |
| 074 | I000000 | 2043 |
| 074 | J000000 | 11606 |
| 074 | K000000 | 0 |
| 074 | L000000 | 25838 |
| 074 | M000000 | 1850 |
| 074 | N000000 | 428476 |
| 074 | O000000 | 21526 |
| 074 | P000000 | 1037 |
| 074 | Q000000 | 0 |
| 074 | R010000 | 0 |
| 074 | R020000 | 24586 |
| 074 | R030000 | 0 |
| 074 | R040000 | 136433 |
| 074 | S000000 | 0 |
| 074 | T000000 | 244894 |
| 074 | U010000 | 20243 |
| 074 | U020000 | 0 |
| 074 | V010000 | 12.10 |
| 074 | V020000 | 0.00 |
| 074 | W000000 | 0.0000 |
| 074 | X000000 | 9159 |
| 074 | Y000000 | 86102 |
| 075 | A000000 | 0 |
| 075 | B000000 | 392331 |
| 076 | 000000 | 10.23 |
| 077 | A000000 | Y |
| 077 | B000000 | Y |
| 077 | C000000 | N |
| 077 | D000000 | N |
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| 077 | K000000 | N |
| 077 | L000000 | N |
| 077 | M000000 | N |
| 077 | N000000 | N |
| 077 | O000000 | N |
| | PAGE | 9 |
| 077 | P000000 | N |
| 077 | Q010000 | N |
| 077 | Q020000 | N |
| 077 | Q030000 | N |
| 078 | 000000 | N |
| 080 | A000000 | TRAVELERS-ST. PAUL FIRE AND MARINE INSURANCE |
| 080 | B000000 | GREAT AMERICAN INSURANCE COMPANY |
| 080 | C000000 | 30625 |
| 081 | A000000 | Y |
| 081 | B000000 | 43 |
| 082 | A000000 | N |
| 082 | B000000 | 0 |
| 083 | A000000 | N |
| 083 | B000000 | 0 |

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| | | | |
|-----------|---------------------|--------------------------------------|------|
| 084 | A000000 | N | |
| 084 | B000000 | | 0 |
| 085 | A000000 | Y | |
| 085 | B000000 | N | |
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| 086 | A020000 | | 0 |
| 086 | B010000 | | 793 |
| 086 | B020000 | | 8757 |
| 086 | C010000 | | 0 |
| 086 | C020000 | | 0 |
| 086 | D010000 | | 0 |
| 086 | D020000 | | 0 |
| 086 | E010000 | | 0 |
| 086 | E020000 | | 0 |
| 086 | F010000 | | 0 |
| 086 | F020000 | | 0 |
| 087 | A010000 | COMMON STOCK | |
| 087 | A020000 | 361570104 | |
| 087 | A030000 | GDL | |
| 087 | B010000 | SERIES B CUMULATIVE PREFERRED SHARES | |
| 087 | B020000 | 361570302 | |
| 087 | B030000 | GDL PR B | |
| 088 | A000000 | N | |
| 088 | B000000 | N | |
| 088 | C000000 | N | |
| 088 | D000000 | Y | |
| SIGNATURE | JOSEPH H. EGAN | | |
| TITLE | ASSISTANT TREASURER | | |