

Edgar Filing: STATE STREET CORP - Form 4

STATE STREET CORP  
 Form 4  
 September 20, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 WASHINGTON, D.C. 20549  
 FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

( ) Check this box if no longer subject to Section 16.  
 Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person  
 Harbert, Timothy B.  
 State Street Corporation  
 225 Franklin Street  
 Boston, MA 02110
2. Issuer Name and Ticker or Trading Symbol  
 State Street Corporation  
 STT
3. IRS or Social Security Number of Reporting Person (Voluntary)
4. Statement for Month/Year  
 09/19/2002
5. If Amendment, Date of Original (Month/Year)
6. Relationship of Reporting Person(s) to Issuer (Check all applicable)  
 ( ) Director ( ) 10% Owner (X) Officer (give title below) ( ) Other  
 (specify below)  
 Executive Vice President
7. Individual or Joint/Group Filing (Check Applicable Line)  
 (X) Form filed by One Reporting Person  
 ( ) Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security	2. Transaction Date	3. Code	4. Securities Acquired (A) or Disposed of (D) Amount	5. Amount of Securities Beneficially Owned at End of Month
Common Stock	09/19/02	A(1)	30,283	177,503
				6,543 (2)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date	4. Code	5. Number of Derivative Securities Acquired (A) or Disposed of (D) Amount	6. Date Exercisable and Expiration Date	7. Title and Amount of Underlying Securities	8. Put or Call

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Employee Stock Option (right to buy)	9.3125					12/17/99	12/16/04	Common Stock	6,184	
Employee Stock Option (right to buy)	8.1250					12/16/00	12/15/05	Common Stock	8,120	
Employee Stock Option (right to buy)	13.20315					6/20/01	6/20/06	Common Stock	8,000	
Employee Stock Option (right to buy)	15.8750					12/19/97-	12/18/06	Common Stock	80,000	
						12/19/99				
Employee Stock Option (right to buy)	33.71875					12/17/99-	12/16/08	Common Stock	27,480	
						12/17/01				
Employee Stock Option (right to buy)	34.64065					12/16/00-	12/15/09	Common Stock	24,920	
						12/16/02				
(CONTINUED ON THE NEXT PAGE)										
Employee Stock Option (right to buy)	60.7375					12/21/01-	12/20/10	Common Stock	54,200	
						12/21/03				
Employee Stock Option (right to buy)	51.975					12/20/02-	12/19/11	Common Stock	128,800	
						12/20/04				
Employee Stock Option (right to buy)	49.705					12/21/04	12/20/12	Common Stock	10,700	

Explanation of Responses:

(1) Award granted pursuant to State Street Global Advisors Equity Compensation Plan vesting on December 21, 2005.

(2) As of last plan statement. The plan accounts for interests in units of shares and a small amount of cash. As a result the number of underlying shares may fluctuate from time to time.

SIGNATURE OF REPORTING PERSON

/s/Timothy B. Harbert

DATE

9/19/02