

Edgar Filing: UCAR INTERNATIONAL INC - Form 4

UCAR INTERNATIONAL INC

Form 4

October 10, 2001

U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

☐ Check box if no longer subject to Section 16. Form 4 or Form 5 obligations
may continue. See Instruction 1(b).

1. Name and Address of Reporting Person*

Playford	Gilbert	E.
-----	-----	-----
(Last)	(First)	(Middle)

c/o UCAR International Inc.
3102 West End Avenue
Suite 1100

(Street)

Nashville	TN	37203
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(City)	(State)	(Zip)

2. Issuer Name and Ticker or Trading Symbol

UCAR International Inc.
UCR

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

4. Statement for Month/Year

09/30/2001

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person to Issuer
(Check all applicable)

☒ Director	☐ 10% Owner
☒ Officer (give title below)	☐ Other (specify below)

Chairman, President and Chief Executive Officer

[X] Form filed by one Reporting Person
 [] Form filed by more than one Reporting Person

[illegible]

Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Over)

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FORM 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conver- sion or Exer- cise Price of Deriv- ative Secur- ity	3. Trans- action Date (Month/ Day/ Year)	4. Trans- action Code (Instr. 8) ----- Code V	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) ----- (A) (D)	6. Date Exercisable and Expiration Date (Month/Day/Year) ----- Date Expira- tion Date	7. Title and Amount of Underlying Securities (Instr. 3 and 4) ----- Amount or Number of Shares			
Time Options (right to buy)	\$30.5891				(4) 1/25/07	Common Stock, par value \$.01 per share 300,000			
Time Options (right to buy)	\$17.0625				(5) 9/28/08	Common Stock, par value \$.01 per share 300,000			
Time Options (right to buy)	\$8.56				12/15/02 12/15/10	Common Stock, par value \$.01 per share 300,000			
Time Options (right to buy)	\$8.85	9/25/01	A	274,000	(6) 9/25/11	Common Stock, par value \$.01 per share 274,000			

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Explanation of Responses:

(1) Of such shares, (a) 70,000 will vest on June 30, 2003 and (b) 30,000 will vest on December 31, 2004, provided that the reporting person is still employed by UCAR on those dates.

(2) Represents the number of units attributable to the reporting person's participation in the Company Discount Stock Fund option of the UCAR Carbon Savings Program.

(3) Represents obligations whose value is based on the Common Stock. The reporting person disclaims any beneficial ownership of these securities.

(4) 200,000 of such options became exercisable on June 22, 2000 and 100,000 of such options became exercisable on June 22, 2001.

(5) Of such options, 100,000 vested on each of: May 21, 1999, July 14, 1999 and September 29, 1999.

(6) Options were granted as part of an annual grant. Of such options, 41,000 vested on September 25, 2001 and 233,000 will vest on September 25, 2003.

/s/ Karen G. Narwold

October 10, 2001

**Signature of Reporting Person
Karen G. Narwold, Attorney-in-Fact for Gilbert
E. Playford

Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.
If space provided is insufficient, see Instruction 6 for procedure.

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