FLOTEK INDUSTRIES INC/CN/

Form 4 April 24, 2017

FORM 4

Check this box

if no longer

subject to

Form 5

obligations

may continue.

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL

OMB Number:

3235-0287

Expires:

January 31, 2005

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Section 16. Form 4 or

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * McGuire LV

2. Issuer Name and Ticker or Trading

Issuer

5. Relationship of Reporting Person(s) to

Symbol

FLOTEK INDUSTRIES INC/CN/

[FTK]

04/21/2017

(Check all applicable)

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

X_ Director 10% Owner

Officer (give title Other (specify below)

10603 W. SAM HOUSTON PKWY.

N, SUITE 300

(Street) 4. If Amendment, Date Original

> Filed(Month/Day/Year) Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

HOUSTON, TX 77064

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) (Instr. 3) Code (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8) (A)

5. Amount of 6. 7. Nature of Securities Ownership Indirect Beneficially Form: Direct Beneficial Owned (D) or Ownership Indirect (I) (Instr. 4) Following (Instr. 4)

Reported Transaction(s) (Instr. 3 and 4)

or Code V Price Amount (D)

10,639 A (1) 11.75

123,462 D

Sotck

Common

04/21/2017

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of

SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative	2. Conversion or Exercise Price of Derivative	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4.	5.	6. Date Exerci		7. Title and Amount of		
Security				Transaction Code	of	Expiration Date (Month/Day/Year) e		Underlying Securities (Instr. 3 and 4)		Deriv Secur (Instr.
(Instr. 3)				(Instr. 8)						
					Securities					
	Security				Acquired (A) or					
					Disposed					
					of (D)					
					(Instr. 3, 4, and 5)					
					, ,				Amount	
						Date Exercisable	Expiration Date	Title	or Number	
				Code V	(A) (D)				of Shares	
Q. 1				Couc V	(11) (D)			C	Shares	
Stock Option	\$ 8.29					05/19/2012	05/18/2017	Common Stock	9,047	

Reporting Owners

Relationships Reporting Owner Name / Address Director 10% Owner Officer Other McGuire LV 10603 W. SAM HOUSTON PKWY. N X **SUITE 300**

Signatures

HOUSTON, TX 77064

/s/ L.V. 04/24/2017 McGuire

**Signature of Date Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Award grant of 10,639 shares at \$11.75 per share which vest at the next annual stockholders' meeting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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