ATOSSA GENETICS INC

Form SC 13G/A January 19, 2018

**SECURITIES** 

**AND** 

**EXCHANGE** 

**COMMISSION** 

Washington,

D.C. 20549

## SCHEDULE 13G

Under the Securities Exchange Act of 1934

(Amendment No. 1)\*

Atossa Genetics Inc. (Name of Issuer)

Common Stock, \$0.015 par value (Title of Class of Securities)

04962H209 (CUSIP Number)

December 31, 2017 (Date of event which requires filing of this statement)

Check the appropriate box to designate the rule pursuant to which this Schedule 13G is filed:

 D 1 -	1211	/1. \	
Rule	13d-1	(b)	)

(Page 1 of 6 Pages)

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

x Rule 13d-1(c)

<sup>&</sup>quot; Rule 13d-1(d)

<sup>\*</sup>The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

# **CUSIP No. 04962H209 13G Page 2 of 6 Pages**

1	NAMES OF REPORTING PERSONS	
	Empery Asset Management, LP CHECK THE APPROPRICATE BOX IF A MEMBER (b) " OF A GROUP SEC USE ONLY CITIZENSHIP OR PLACE OF ORGANIZATION	
2		
3		
4		
	Delaw	are SOLE VOTING
	5	POWER
NUMBER OF SHARES	6	SHARED VOTING POWER
BENEFICIALLY OWNED BY EACH REPORTING PERSON WITH	7	-0- SOLE DISPOSITIVE POWER
	8	SHARED DISPOSITIVE POWER
9	-0- AGGREGATE AMOUNT BENEFICIALLY OWNED BY EAC REPORTING PERSON	

-0-

IF THE
AGGREGATE
AMOUNT IN ..
ROW (9)
EXCLUDES
CERTAIN
SHARES
PERCENT OF
CLASS

CHECK BOX

REPRESENTED BY
AMOUNT IN ROW

(9)

0.00% TYPE OF REPORTING PERSON

PN

**12** 

# **CUSIP No. 04962H209 13G Page 3 of 6 Pages**

1	NAMES OF REPORTING PERSONS		
2	Ryan M. Lane CHECK THE APPROPRIATE BOX IF A MEMBER (b) " OF A GROUP SEC USE ONLY CITIZENSHIP OR PLACE OF		
4	ORGA	ANIZATION	
	United	l States SOLE VOTING POWER	
NUMBER OF SHARES BENEFICIALLY	6	SHARED VOTING POWER	
OWNED BY EACH REPORTING PERSON WITH	7	SOLE DISPOSITIVE POWER	
	8	SHARED DISPOSITIVE POWER	
9	AMO BENE OWN	EFICIALLY ED BY EACH PRTING	

-0-

5

CHECK BOX

IF THE

AGGREGATE AMOUNT IN ..

10 AMOUN ROW (9)

11

**12** 

EXCLUDES CERTAIN SHARES PERCENT OF

**CLASS** 

REPRESENTED BY AMOUNT IN ROW

(9)

0.00% TYPE OF REPORTING PERSON

IN

# **CUSIP No. 04962H209 13G Page 4 of 6 Pages**

1	NAMES OF REPORTING PERSONS		
2	Martin D. Hoe CHECK THE APPROPRIATE BOX IF A MEMBER (b) " OF A GROUP SEC USE ONLY CITIZENSHIP OR PLACE OF		
3			
4	ORGANIZATION		
	United	l States SOLE VOTING POWER	
NUMBER OF SHARES	6	SHARED VOTING POWER	
BENEFICIALLY OWNED BY EACH REPORTING PERSON WITH	7	SOLE DISPOSITIVE POWER	
	8	SHARED DISPOSITIVE POWER -0-	
9	AMO BENE OWN	EFICIALLY ED BY EACH PRTING	

-0-

CHECK BOX

IF THE

AGGREGATE AMOUNT IN ..

10 AMOUN ROW (9)

11

**12** 

ROW (9) EXCLUDES CERTAIN SHARES PERCENT OF

**CLASS** 

REPRESENTED BY AMOUNT IN ROW

(9)

0.00% TYPE OF REPORTING PERSON

IN

#### **CUSIP No. 04962H209 13GPage 5 of 6 Pages**

This Amendment No. 1 (this "Amendment") amends the statement on Schedule 13G filed on October 31, 2017 (the "Original Schedule 13G", as amended, the "Schedule 13G"), with respect to shares of Common Stock, \$0.015 par value (the "Common Stock"), of Atossa Genetics Inc. (the "Company"). Capitalized terms used herein and not otherwise defined in this Amendment have the meanings set forth in the Schedule 13G. This Amendment amends and restates Items 4 and 5 in their entirety as set forth below.

#### Item 4. OWNERSHIP.

The information as of the date of the event which requires filing of this statement required by Items 4(a) - (c) is set forth in Rows 5 - 11 of the cover page for each Reporting Person hereto and is incorporated herein by reference for each such Reporting Person. The percentage set forth in Row 11 of the cover page for each Reporting Person is based on 31,822,741 shares of Common Stock issued and outstanding as of December 20, 2017, as represented in the Company's Prospectus Supplement on Form 424(b)(5) filed with the Securities and Exchange Commission on December 21, 2017.

The Investment Manager, which serves as the investment manager to the Empery Funds, may be deemed to be the beneficial owner of all shares of Common Stock held by the Empery Funds. Each of the Reporting Individuals, as Managing Members of the General Partner of the Investment Manager with the power to exercise investment discretion, may be deemed to be the beneficial owner of all shares of Common Stock held by the Empery Funds. The foregoing should not be construed in and of itself as an admission by any Reporting Person as to beneficial ownership of shares of Common Stock owned by another Reporting Person. Each of the Empery Funds and the Reporting Individuals hereby disclaims any beneficial ownership of any such shares of Common Stock.

# 1tem 5. OWNERSHIP OF FIVE PERCENT OR LESS OF A CLASS.

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following: [X]

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## **SIGNATURES**

After reasonable inquiry and to the best of our knowledge and belief, the undersigned certify that the information set forth in this statement is true, complete and correct.

DATED: January 19, 2018

EMPERY ASSET MANAGEMENT, LP
By: EMPERY AM GP, LLC, its General Partner
By: <u>/s/ Ryan M. Lane</u>
Name: Ryan M. Lane
Title: Managing Member
/s/ Ryan M. Lane
Ryan M. Lane
/s/ Martin D. Hoe
Martin D. Hoe