Helfrich Jared Adam Form 3 July 23, 2018

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement ExOne Co [XONE] A Helfrich Jared Adam (Month/Day/Year) 07/12/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 127 INDUSTRY BOULEVARD (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person (give title below) (specify below) **NORTH** Form filed by More than One Chief Commercial Officer HUNTINGDON, PAÂ 15642 Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 333 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

 $Table\ II\ -\ Derivative\ Securities\ Beneficially\ Owned\ (\textit{e.g.},\ puts,\ calls,\ warrants,\ options,\ convertible\ securities)$ 

currently valid OMB control number.

1. Title of Derivative Security (Instr. 4)	•		3. Title and Amount of Securities Underlying Derivative Security		4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
			(Instr. 4)		Price of	Derivative		
	Date Exp Exercisable Date	Expiration	Title	Amount or Number of	Derivative	Security:		
					Security	Direct (D)		
		Date				or Indirect		

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				Shares		(I) (Instr. 5)	
Stock Option (right to buy)	(1)	08/14/2022	Common Stock	15,000	\$ 7.91	D	Â
Stock Option (right to buy)	(2)	02/10/2027	Common Stock	8,000	\$ 10.1	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Helfrich Jared Adam			Chief			
127 INDUSTRY BOULEVARD	Â	Â	Commercial	Â		
NORTH HUNTINGDON, PA 15642			Officer			

# **Signatures**

s/ Loretta L. Benec, attorney-in-fact for Jared A. Helfrich

07/23/2018

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The original option grant for 15,000 shares vested with respect to 7,500 shares on August 14, 2017 and will vest with respect to the remaining 7,500 shares on August 14, 2018.
- (2) The original option grant for 8,000 shares vested with respect to 2,666 shares on February 10, 2017 and with respect to 2,667 shares on February 10, 2018; the remaining 2,667 shares will vest on February 10, 2019.

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### **Remarks:**

See attached Exhibit 24 (Power of Attorney).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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