Edgar Filing: CHIASMA, INC - Form 4

CITTACIAA INC

| Form 4 | , INC | | | | | | | | | | |
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| February 12 | 2, 2016 | | | | | | | | | | |
| FORM | 14 | | | | | | | | - | PPROVA | .L |
| Washington, D.C. 20549 | | | | | | | | N OMB Number: | | | |
| Check the check | aar | | | | | | | | Expires: | Januar | y 31, 2005 |
| subject Section Form 4 | to STATEN 16. or | | F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | | | Estimated average burden hours per response 0. | |
| Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | | | |
| (Print or Type | Responses) | | | | | | | | | | |
| 1. Name and A Mamluk Re | Sy | 2. Issuer Name and Ticker or Trading Symbol | | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| | Cl | CHIASMA, INC [CHMA] | | | | | (Check all applicable) | | | | |
| (Last) | | 3. Date of Earliest Transaction (Month/Day/Year) | | | | | Director 10% Owner | | | | |
| C/O CHIA AVENUE, | | 02/10/2016 | | | | | XOfficer (give titleOther (specify below) below) Chief Development Officer | | | | |
| | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | |
| NEWTON | , MA 02459 | | | | | | | Person | More than One R | eporting | |
| (City) | (State) | (Zip) | Table | I - Non-l | Deriva | tive S | Securities A | Acquired, Disposed | of, or Beneficia | ally Owned | 1 |
| (Instr. 3) any | | Execution Dat | Date, if TransactionAcquired (A) or Code Disposed of (D) ay/Year) (Instr. 8) (Instr. 3, 4 and 5) (A) | | | 5. Amount of Securities6. Ownership Form: Direct7. Nature IndirectBeneficially(D) or IndirectBeneficial OwnershipOwned(I)Ownership FollowingFollowing(Instr. 4)(Instr. 4)ReportedTransaction(s) | | | | | |
| | | | (| Code V | Amo | unt | or (D) Price | (Instr. 3 and 4) | | | |
| Reminder: Re | port on a separate line | e for each class | of secur | ities bene | ficially | / own | ed directly | or indirectly. | | | |
| | | | | | int re | form quire | ation con ed to resp | spond to the colle tained in this forn ond unless the fo ently valid OMB co | n are not rm | SEC 1474 (9-02) | |

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. Number of | 6. Date Exercisable and | 7. Title and Amount of | 8 |
|-------------|-------------|---------------------|--------------------|-----------|--------------|-------------------------|------------------------|---|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transacti | orDerivative | Expiration Date | Underlying Securities |] |
| Security | or Exercise | | any | Code | Securities | (Month/Day/Year) | (Instr. 3 and 4) | |

number.

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| (Instr. 3) | Price of Derivative Security | | (Month/ | Day/Year) | (Instr. 8) | Acquired (or Dispose (D) (Instr. 3, 4, and 5) | d of | | | | (|
|------------------------------------------------------------------------------------|------------------------------------|------------|----------|-----------|------------|------------------------------------------------------------|------|---------------------|--------------------|-----------------|-------------------------------------|
| | | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option (right to buy) | \$ 9.93 | 02/10/2016 | | | A | 40,000 | | <u>(1)</u> | 02/09/2026 | Common Stock | 40,000 |
| Reporting Owners | | | | | | | | | | | |
| Reporting Owner Name / Address | | | Director | 10% Owne | | t ionships r | | | Other | | |
| Mamluk Roni C/O CHIASMA, INC. 60 WELLS AVENUE, SUITE 102 NEWTON, MA 02459 | | | | | Chie | f Develop | omer | | | | |
| Signa | tures | | | | | | | | | | |
| /s/ Mark J. Fitzpatrick, attorney-in-fact | | | 02 | /12/2016 | | | | | | | |
| **Signature of Reporting Person | | | | Date | | | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option vests over a four-year period at a rate of twenty-five percent (25%) on February 10, 2017 and twelve (12) equal quarterly installments thereafter, subject to the recipient's continued service to the Issuer through each such date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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