

TRANSGENOMIC INC
Form 4
July 11, 2007

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Kopp LeRoy C

(Last) (First) (Middle)

7701 FRANCE AVENUE
SOUTH, SUITE 500

(Street)

EDINA, MN 55435

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
TRANSGENOMIC INC [TBIO]

3. Date of Earliest Transaction
(Month/Day/Year)
06/20/2001

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___X___ 10% Owner
___ Officer (give title below) ___ Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	06/20/2001		P		10,000	A	\$ 10.07
Common Stock	06/21/2001		P		10,000	A	\$ 10.11
Common Stock	07/02/2001		P		10,000	A	\$ 11.47
Common Stock	07/03/2001		P		5,000	A	\$ 11.58
Common Stock	07/05/2001		P		5,000	A	\$ 12.15

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Common Stock	07/06/2001	P	5,000	A	\$ 11.11	45,000	D
Common Stock	07/09/2001	P	5,000	A	\$ 11.04	50,000	D
Common Stock	07/10/2001	P	5,000	A	\$ 11.22	55,000	D
Common Stock	07/11/2001	P	5,000	A	\$ 10.77	60,000	D
Common Stock	07/30/2001	P	5,000	A	\$ 12.12	65,000	D
Common Stock	07/31/2001	P	5,000	A	\$ 12.21	70,000	D
Common Stock	08/01/2001	P	5,000	A	\$ 12.52	75,000	D
Common Stock	08/17/2001	P	10,000	A	\$ 11	85,000	D
Common Stock	08/20/2001	P	5,000	A	\$ 10.97	90,000	D
Common Stock	11/06/2001	P	5,000	A	\$ 11.14	95,000	D
Common Stock	11/07/2001	P	10,000	A	\$ 11.02	105,000	D
Common Stock	11/09/2001	P	10,000	A	\$ 10.37	115,000	D
Common Stock	01/07/2003	P	15,000	A	\$ 2.42	130,000	D
Common Stock	05/09/2003	P	20,000	A	\$ 1.68	150,000	D
Common Stock	05/12/2003	P	22,000	A	\$ 1.71	172,000	D
Common Stock	05/30/2003	P	30,000	A	\$ 1.86	202,000	D
Common Stock	10/21/2003	P	25,000	A	\$ 2.41	227,000	D
Common Stock	12/09/2003	P	30,000	A	\$ 2.21	257,000	D
Common Stock	12/10/2003	P	30,000	A	\$ 2.19	287,000	D
Common Stock	12/11/2003	P	30,000	A	\$ 2.27	317,000	D
	12/12/2003	P	30,000	A	\$ 2.2	347,000	D

Common Stock								
Common Stock	01/12/2004		P	20,000	A	\$ 2.14	367,000	D
Common Stock	01/13/2004		P	20,000	A	\$ 2.12	387,000	D
Common Stock	01/14/2004		P	20,000	A	\$ 2.42	407,000	D
Common Stock	01/15/2004		P	20,000	A	\$ 2.33	427,000	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Beneficially (Instr. 5)
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
				Code	V (A) (D)				

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Kopp LeRoy C 7701 FRANCE AVENUE SOUTH SUITE 500 EDINA, MN 55435		X		

Signatures

LeRoy C. Kopp

07/10/2007

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Remarks:

Form 1 of 5

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. had the benefit of this exemption in the current and prior years.

In determining whether a requirement is contrary to generally accepted business practices, the Nasdaq rules generally look to the requirements of the primary market in the issuer's country of domicile. The rules and policies of the Toronto Stock Exchange, the primary market in Canada, do not contain quorum requirements, and the Canada Business Corporations Act, the Registrant's governing statute, defers to the quorum requirements contained in an issuer's By-laws. Under the Registrant's By-laws, a quorum for a meeting of the Registrant's shareholders is two persons present in person, each being a shareholder entitled to vote thereat or a duly appointed proxyholder or representative for a shareholder so entitled.

N. Interactive Data File

Concurrent with this filing, the Registrant has submitted to the Commission and posted on its corporate website, an Interactive Data File.

UNDERTAKING AND CONSENT TO SERVICE OF PROCESS

A. Undertaking

The Registrant undertakes to make available, in person or by telephone, representatives to respond to inquiries made by the Commission staff, and to furnish promptly, when requested to do so by the Commission staff, information relating to: the securities registered pursuant to Form 40-F; the securities in relation to which the obligation to file an annual report on Form 40-F arises; or transactions in said securities.

B. Consent to Service of Process

A Form F-X, as amended, signed by the Registrant and the Registrant's agent for service of process with respect to the Common Shares has previously been filed with the Commission. Any change to the name or address of the Registrant's agent for service shall be communicated promptly to the Commission by amendment to the Form F-X referencing the file number of the Registrant.

SIGNATURES

Pursuant to the requirements of the Exchange Act, the Registrant certifies that it meets all of the requirements for filing on Form 40-F and has duly caused this annual report to be signed on its behalf by the undersigned, thereto duly authorized.

SIERRA WIRELESS, INC.
(Registrant)

/s/ David G. McLennan
David G. McLennan
Chief Financial Officer and Secretary

Date: March 7, 2018

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EXHIBIT INDEX

Exhibit No.	Document
1.1	Annual Information Form for the fiscal year ended December 31, 2017, dated March 7, 2018
1.2	Audited Consolidated Financial Statements for the fiscal year ended December 31, 2017, prepared in accordance with U.S. generally accepted accounting principles
1.3	Management's Discussion and Analysis of Financial Condition and Results of Operations for the fiscal year ended December 31, 2017
23.1	Consent of Ernst & Young LLP
23.2	Consent of KPMG LLP (former auditor)
31.1	Certification of the Chief Executive Officer and Chief Financial Officer pursuant to Section 302 of the Sarbanes-Oxley Act of 2002
32.1	Certification of the Chief Executive Officer and Chief Financial Officer pursuant to Section 906 of the Sarbanes-Oxley Act of 2002
101	Interactive Data File