

RINGO PHILIP J  
Form 4  
January 04, 2005

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
RINGO PHILIP J

2. Issuer Name and Ticker or Trading Symbol  
GENESEE & WYOMING INC  
[GWR]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction  
(Month/Day/Year)  
12/30/2004

Director  10% Owner  
 Officer (give title below)  Other (specify below)

C/O GENESEE & WYOMING INC., 66 FIELD POINT ROAD

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

GREENWICH, CT 06830

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Beneficial Ownership (Instr. 4)
				(A) or (D) Code V Amount (D) Price			
Class A Common Stock, \$.01 par value					2,000	D	
Class A Common Stock, \$.01 par value					12,487	I <sup>(1)</sup>	by spouse <sup>(1)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount of Number of Shares
Stock Option (Right to Buy)	\$ 5.037					06/28/1999 06/27/2006	Class A Common Stock, \$0.01 par value 27,000 <sup>(2)</sup>
Stock Option (Right to Buy)	\$ 4.4445					04/14/2003 04/14/2010	Class A Common Stock, \$0.01 par value 3,375 <sup>(2)</sup>
Phantom Stock Units	\$ 0					<sup>(3)</sup> <sup>(3)</sup>	Class A Common Stock, \$0.01 par value 1,573.9 <sup>(3)</sup>
Phantom Stock Units	\$ 0					<sup>(3)</sup> <sup>(3)</sup>	Class A Common Stock, \$0.01 par value 2,213.3 <sup>(3)</sup>
Phantom Stock Units	\$ 0					<sup>(3)</sup> <sup>(3)</sup>	Class A Common Stock, \$0.01 par value 5,659.2 <sup>(3)</sup>
Phantom Stock Units	\$ 0					<sup>(3)</sup> <sup>(3)</sup>	Class A Common Stock, \$0.01 par value 4,044.4 <sup>(3)</sup>

Edgar Filing: RINGO PHILIP J - Form 4

Phantom Stock Unit	\$ 0				<u>(4)</u>	<u>(4)</u>	Class A Common Stock, \$.01 par value	1,059.5 <u>(4)</u>
Phantom Stock Unit	\$ 0				<u>(4)</u>	<u>(4)</u>	Class A Common Stock, \$.01 par value	1,623.77 <u>(4)</u>
Phantom Stock Unit	\$ 0				<u>(4)</u>	<u>(4)</u>	Class A Common Stock, \$.01 par value	1,761.2 <u>(4)</u>
Phantom Stock Unit	\$ 0				<u>(4)</u>	<u>(4)</u>	Class A Common Stock, \$.01 par value	269.14 <u>(4)</u>
Phantom Stock Unit	\$ 0				<u>(4)</u>	<u>(4)</u>	Class A Common Stock, \$.01 par value	1,317.77 <u>(4)</u>
Phantom Stock Unit	\$ 0				<u>(4)</u>	<u>(4)</u>	Class A Common Stock, \$.01 par value	1,601.74 <u>(4)</u>
Phantom Stock Unit	\$ 0				<u>(4)</u>	<u>(4)</u>	Class A Common Stock, \$.01 par value	1,041.67 <u>(4)</u>
Phantom Stock Unit	\$ 0				<u>(5)</u>	<u>(5)</u>	Class A Common Stock, \$.01 par value	1,339.86 <u>(5)</u>
Phantom Stock Unit	\$ 0				<u>(5)</u>	<u>(5)</u>	Class A Common Stock, \$.01 par value	788.74 <u>(5)</u>
	\$ 0	12/30/2004		A	<u>(6)</u>	<u>(6)</u>		

Phantom Stock Unit	864.22 <u>(6)</u>	Class A Common Stock \$.01 par value	864.22 <u>(6)</u>
--------------------------	----------------------	--	----------------------

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
RINGO PHILIP J C/O GENESEE & WYOMING INC. 66 FIELD POINT ROAD GREENWICH, CT 06830	X			

## Signatures

Thomas P. Loftus, Attorney-in-fact for Philip J. Ringo	01/03/2005
**Signature of Reporting Person	Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares are owned by Mr. Ringo's wife. Mr. Ringo disclaims beneficial ownership of these shares.
- (2) This option was previously reported by Mr. Ringo.
- These aggregated Phantom Stock Units were previously reported by Mr. Ringo. The Units were credited to Mr. Ringo's account under the
- (3) Issuer's Deferred Stock Plan for Non-Employee Directors. The Units are to be settled in the Issuer's Common Stock on a deferred basis pursuant to Mr. Ringo's prior election.
- This Phantom Stock Unit was previously reported by Mr. Ringo. The Unit was credited to Mr. Ringo's account under the Issuer's
- (4) Deferred Stock Plan for Non-Employee Directors. The Unit is to be settled in the Issuer's Common Stock on a deferred basis pursuant to Mr. Ringo's prior election.
- (5) This Phantom Stock Unit was previously reported by Mr. Ringo. The Unit was credited to Mr. Ringo's account under the Issuer's 2004 Omnibus Incentive Plan. The Unit is to be settled in the Issuer's Common Stock on a deferred basis pursuant to Mr. Ringo's prior election.
- This Phantom Stock Unit was credited to Mr. Ringo's account on 12/30/04 at \$28.06 per share under the Issuer's 2004 Omnibus Incentive
- (6) Plan in a transaction exempt under Rule 16b-3. The Unit is to be settled in the Issuer's Common Stock on a deferred basis pursuant to Mr. Ringo's prior election.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.