

EMCORE CORP
Form 4
March 16, 2006

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
STALL RICHARD A

(Last) (First) (Middle)

C/O EMCORE CORPORATION, 145 BELMONT DRIVE

(Street)

SOMERSET, NJ 08873

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
EMCORE CORP [EMKR]

3. Date of Earliest Transaction (Month/Day/Year)
03/15/2006

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
CTO

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock	03/15/2006		S		8,450 D \$ 9.47	85,282	D
Common Stock	03/15/2006		S		100 D \$ 9.48	85,182	D
Common Stock	03/15/2006		S		950 D \$ 9.49	84,232	D
Common Stock	03/15/2006		S		500 D \$ 9.5	83,732	D
Common Stock	03/15/2006		S		6,000 (1) D \$ 8.8	77,732	D

Edgar Filing: EMCORE CORP - Form 4

Common Stock	03/15/2006	M	6,250	A	\$ 2.63	83,982	D
Common Stock	03/15/2006	S	<u>6,250</u> (1)	D	\$ 8.8	77,732	D
Common Stock	03/15/2006	M	7,120	A	\$ 5.1	85,852	D
Common Stock	03/15/2006	S	<u>7,120</u> (1)	D	\$ 8.8	77,732	D
Common Stock	03/15/2006	M	1,000	A	\$ 5.1	78,732	D
Common Stock	03/15/2006	S	<u>1,000</u> (1)	D	\$ 8.88	77,732	D
Common Stock	03/15/2006	M	23,500	A	\$ 6.785	101,232	D
Common Stock	03/15/2006	S	23,500	D	\$ 9.35	77,732	D
Common Stock	03/15/2006	M	3,000	A	\$ 6.785	80,732	D
Common Stock	03/15/2006	S	3,000	D	\$ 9.36	77,732	D
Common Stock	03/15/2006	M	1,800	A	\$ 6.785	79,532	D
Common Stock	03/15/2006	S	1,800	D	\$ 9.37	77,732	D
Common Stock	03/15/2006	M	1,600	A	\$ 6.785	79,332	D
Common Stock	03/15/2006	S	1,600	D	\$ 9.38	77,732	D
Common Stock	03/15/2006	M	4,900	A	\$ 6.785	82,632	D
Common Stock	03/15/2006	S	4,900	D	\$ 9.39	77,732	D
Common Stock	03/15/2006	M	200	A	\$ 6.785	77,932	D
Common Stock	03/15/2006	S	200	D	\$ 9.3991	77,732	D
Common Stock	03/15/2006	M	3,500	A	\$ 6.785	81,232	D
Common Stock	03/15/2006	S	3,500	D	\$ 9.4	77,732	D
	03/15/2006	M	1,400	A	\$ 6.785	79,132	D

Edgar Filing: EMCORE CORP - Form 4

Common Stock									
Common Stock	03/15/2006		S	1,400	D	\$ 9.41	77,732	D	
Common Stock	03/15/2006		M	100	A	\$ 6.785	77,832	D	
Common Stock	03/15/2006		S	100	D	\$ 9.42	77,732	D	
Common Stock							548	I	By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	
Employee Stock Option (right to buy)	\$ 5.1	03/15/2006		M	8,120	<u>(2)</u>	11/22/2006	Common Stock	8,120
Employee Stock Option (right to buy)	\$ 2.63	03/15/2006		M	6,250	<u>(4)</u>	05/18/2014	Common Stock	6,250
Employee Stock Option (right to buy)	\$ 6.785	03/15/2006		M	40,000	<u>(5)</u>	02/28/2008	Common Stock	40,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
STALL RICHARD A C/O EMCORE CORPORATION 145 BELMONT DRIVE SOMERSET, NJ 08873	X		CTO	

Signatures

/s/ Richard A. Stall 03/16/2006

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Sale Made Pursuant to 10b5-1 Plan.
- (2) The option vested in five equal annual installments beginning on November 22, 1997.
- (3) Stock Option grant
- (4) This option vests in four equal installments beginning on May 18, 2005.
- (5) This option vested in four equal installments beginning on February 27, 1999

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.