**OSHKOSH CORP** 

Form 4

September 17, 2014

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB APPROVAL

Number: 3235-0287

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

if no longer subject to Section 16. Form 4 or Form 5

Check this box

Form 5
obligations
may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

(City)

1. Name and Address of Reporting Person \*\*
Fredericksen Gregory L

2. Issuer Name **and** Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

deficased diegoly L

(First)

(Middle)

(Zip)

OSHKOSH CORP [OSK]
3. Date of Earliest Transaction

(Month/Day/Year) 09/15/2014

\_\_\_\_ Director \_\_\_\_\_ 10% Owner \_\_\_\_ X\_\_ Officer (give title \_\_\_\_\_ Other (specify

below) below)

EVP & Chief Procurement Officer

C/O OSHKOSH CORPORATION, 2307 OREGON STREET

(State)

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

\_X\_ Form filed by One Reporting Person \_\_\_\_ Form filed by More than One Reporting Person

OSHKOSH, WI 54902

			1011	Delivative Se	cuiiii	cs ricquii	cu, Disposeu oi,	or Deficitedan,	y Owned
1.Title of Security	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if	3. Transactio	4. Securities a	•	red (A)	5. Amount of Securities	6. Ownership	7. Nature of Indirect
(Instr. 3)		any	Code	(Instr. 3, 4 an	d 5)		Beneficially	Form:	Beneficial
		(Month/Day/Year)	(Instr. 8)				Owned	Direct (D)	Ownership
							Following	or Indirect	(Instr. 4)
					(4)		Reported	(I)	
					(A)		Transaction(s)	(Instr. 4)	
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	09/16/2014		M	1,719.526	A	<u>(1)</u>	42,295.776	D	
Common Stock	09/16/2014		F	855	D	\$ 46.72	41,473.826 (2)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number orDerivative Acquired Disposed (Instr. 3, 4	e Securities (A) or of (D)	6. Date Exercis Expiration Dat (Month/Day/Y	e	7. Title and A Underlying S (Instr. 3 and 4
				Code V	(4)	(D)	Date Exercisable	Expiration Date	Title
Options (3)	\$ 46.94	09/15/2014		Code V A	(A) 10,800	(D)	<u>(4)</u>	09/15/2021	Common Stock
Restricted Stock Units	<u>(1)</u>	09/15/2014		A	5,175		<u>(5)</u>	<u>(5)</u>	Common Stock
Restricted Stock Units	<u>(1)</u>	09/16/2014		M		1,719.526	09/16/2014	<u>(6)</u>	Common Stock

# **Reporting Owners**

Reporting Owner Name / Address	Keiationsnips					
	Director	10% Owner	Officer	Other		

Fredericksen Gregory L C/O OSHKOSH CORPORATION 2307 OREGON STREET OSHKOSH, WI 54902

**EVP & Chief Procurement Office** 

#### **Signatures**

Bryan J. Blankfield, for Gregory L.

Fredericksen

09/17/2014

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of OSK common stock.
  - Amount includes a total of 637.30 shares acquired under the Oshkosh Corporation Employee Stock Purchase Plan through 9/17/2014.
- (2) Between 2/19/2014 and 9/17/2014, the reporting person acquired 33.05 shares under the Oshkosh Corporation Employee Stock Purchase Plan.
- (3) Option (right to buy) granted pursuant to the Company's Stock Plan.
- (4) Options vest in one-third (1/3) annual increments commencing on 9/15/2015.
- (5) Restricted Stock Unit Award vests in one-third (1/3) annual increments commencing on 9/15/2015.
- (6) Restricted Stock Unit Award vests in one-third (1/3) annual increments commencing on 9/16/2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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