

STIFEL FINANCIAL CORP

Form 5

February 10, 2016

FORM 5**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**
Washington, D.C. 20549Check this box if
no longer subject
to Section 16.Form 4 or Form
5 obligations
may continue.See Instruction
1(b).Form 3 Holdings
Reported

Form 4

Transactions

Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL
OWNERSHIP OF SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0362Expires: January 31,
2005Estimated average
burden hours per
response... 1.01. Name and Address of Reporting Person *
Minnick David M

(Last) (First) (Middle)

12609 CONWAY ROAD

(Street)

2. Issuer Name and Ticker or Trading
Symbol

STIFEL FINANCIAL CORP [SF]

3. Statement for Issuer's Fiscal Year Ended

(Month/Day/Year)

12/31/2015

4. If Amendment, Date Original
Filed(Month/Day/Year)5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

General Counsel & Senior VP

6. Individual or Joint/Group Reporting

(check applicable line)

CREVE COEUR, MO 63141

☒ Form Filed by One Reporting Person
☐ Form Filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				Amount	(A) or (D)	Price			
Common Stock	01/26/2015	Â	G	200	D	\$ 0	11,976	D	Â
Common Stock	01/26/2015	Â	G	30	D	\$ 0	11,946	D	Â
Common Stock	01/26/2015	Â	G	250	D	\$ 0	11,696	D	Â
Common Stock	01/26/2015	Â	G	250	D	\$ 0	11,446	D	Â
	01/26/2015	Â	G	250	D	\$ 0	11,196	D	Â

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Common
Stock

Common Stock	01/26/2015	Â	G	250	D	\$ 0	10,946	D	Â
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Common Stock	01/26/2015	Â	G	20	D	\$ 0	10,926	D	Â
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Pric Deriva Securi (Instr.
					(A) (D)	Date Exercisable Expiration Date	Title	Amount or Number of Shares
Phantom Stock Units	\$ 0	Â	Â	Â	Â Â Â <u>(1)</u> Â <u>(2)</u>		Common Stock	13,787 Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Minnick David M 12609 CONWAY ROAD CREVE COEUR,Â MOÂ 63141	Â	Â	Â General Counsel & Senior VP	Â

Signatures

DAVID MICHAEL
MINNICK

02/10/2016

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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(1) Units vest in 20% increments over a five year period.

(2) No expiration date for these Units.

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