

BAER ROBERT J
Form 4
January 21, 2009

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
BAER ROBERT J

(Last) (First) (Middle)

UNIGROUP INC, ONE PREMIER DR

(Street)

FENTON, MO 63026

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
STIFEL FINANCIAL CORP [SF]

3. Date of Earliest Transaction
(Month/Day/Year)
01/20/2009

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Amount or Price			
Common Stock	01/20/2009		M	283	A \$ 0	9,764	D	
Common Stock	01/20/2009		M	172	A \$ 0	9,936	D	
Common Stock	01/20/2009		M	211	A \$ 0	10,147	D	
Common Stock	01/20/2009		M	54	A \$ 0	10,201	D	
Common Stock	01/20/2009		M	262	A \$ 0	10,463	D	

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Common Stock	01/20/2009	M	268	A	\$ 0	10,731	D
Common Stock	01/20/2009	M	257	A	\$ 0	10,988	D
Common Stock	01/20/2009	M	158	A	\$ 0	11,146	D
Common Stock	01/20/2009	M	248	A	\$ 0	11,394	D
Common Stock	01/20/2009	M	251	A	\$ 0	11,645	D
Common Stock	01/20/2009	M	256	A	\$ 0	11,901	D
Common Stock	01/20/2009	M	408	A	\$ 0	12,309	D
Common Stock	01/20/2009	M	102	A	\$ 0	12,411	D
Common Stock	01/20/2009	M	193	A	\$ 0	12,604	D
Common Stock	01/20/2009	M	48	A	\$ 0	12,652	D
Common Stock	01/20/2009	M	1,034	A	\$ 0	13,686	D
Common Stock	01/20/2009	M	151	A	\$ 0	13,837	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

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Phantom Stock Units	\$ 0	01/20/2009	M	283	01/02/2003	01/02/2013	Common Stock	283
Phantom Stock Units	\$ 0	01/20/2009	M	172	01/30/2003	01/30/2013	Common Stock	172
Phantom Stock Units	\$ 0	01/20/2009	M	211	02/03/2003	02/03/2013	Common Stock	211
Phantom Stock Units	\$ 0	01/20/2009	M	54	02/03/2003	02/03/2013	Common Stock	54
Phantom Stock Units	\$ 0	01/20/2009	M	262	03/03/2003	03/03/2013	Common Stock	262
Phantom Stock Units	\$ 0	01/20/2009	M	268	04/01/2003	04/01/2013	Common Stock	268
Phantom Stock Units	\$ 0	01/20/2009	M	257	05/01/2003	05/01/2013	Common Stock	257
Phantom Stock Units	\$ 0	01/20/2009	M	158	05/12/2003	05/12/2013	Common Stock	158
Phantom Stock Units	\$ 0	01/20/2009	M	248	06/01/2003	06/01/2013	Common Stock	248
Phantom Stock Units	\$ 0	01/20/2009	M	251	07/01/2003	07/01/2013	Common Stock	251
Phantom Stock Units	\$ 0	01/20/2009	M	256	08/01/2003	08/01/2013	Common Stock	256
Phantom Stock Units	\$ 0	01/20/2009	M	408	08/06/2003	08/06/2013	Common Stock	408
Phantom Stock Units	\$ 0	01/20/2009	M	102	08/06/2003	08/06/2013	Common Stock	102
Phantom Stock Units	\$ 0	01/20/2009	M	193	09/01/2003	09/01/2013	Common Stock	193
Phantom Stock	\$ 0	01/20/2009	M	48	09/01/2003	09/01/2013	Common Stock	48

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Units vest quarterly over a one year period.
- (2) No expiration date for these Units.
- (3) Options vest in 20% increments on 1/3/04, 1/3/05, 1/3/06, 1/3/07 and 1/3/08.
- (4) Stock Options vest in 20% increments on 5/9/03, 5/9/04, 5/9/05, 5/9/06 and 5/9/07.
- (5) Options vest in 20% increments yearly from the grant date.
- (6) Options vest in 20 percent increments on 1/3/06, 1/3/07, 1/3/08, 1/3/09 and 1/3/10.
- (7) Options vest in 20% increments on 1/3/07, 1/3/08, 1/3/09, 1/3/10 and 1/3/11.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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