Edgar Filing: HELMS LARRY R - Form 4

HELMS LARRY R Form 4 October 09, 2001

U.S. SECURITIES AND EXCHANGE COMMISSION Washington, DC 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

2002	on out the investment	ie company nee or 1910	
	longer subject to Secti ee Instruction 1(b).	on 16. Form 4 or Form 5	obligations
1. Name and Address	of Reporting Person*		 -
Helms	Larry	R	
(Last)	(First)	(Middle)	
4905 North Tillots	son Avenue		
	(Street)		
Muncie	IN	47304	
(City)	(State)	(Zip)	
2. Issuer Name and	Ticker or Trading Symbol		
First Merchants Corpo	oration - FRME		
3. IRS Identificati	on Number of Reporting P	Person, if an Entity (Vol	untary)
314-40-0819			
4. Statement for Mc	onth/Year		
September/2001			
5. If Amendment, Da	ate of Original (Month/Ye	ear)	
6. Relationship of (Check all appli	Reporting Person to Issu cable)	ner	
[_] Director [x] Officer (gi	ve title below)	[_] 10% Owner [_] Other (specify be	elow)

Senior Vice President, Secretary & General Counsel

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7.	Individual or	Joint/Gro	oup Filing	(Check a	applica	able lin	e)			
		ed by one ed by more			ng Pers	son				
===:							====		==	
===:	Table I	Non-De		Securitie		uired, D	ispos	ed of,	==	
						3.		4. Securities Acc Disposed of (I))	(A) or
1.				2. Transact	tion	Transac Code (Instr.		(Instr. 3, 4 a		
	le of Security str. 3)			Date	уу)	 Code	V	Amount	or (D)	Price
Com	mon Stock									
===:					=====		====	==========		:======
*	If the Form is 4(b)(v).	s filed by	y more tha	in one Re	eportin	ng Pers	on,	see Instruction	on	
Rem	inder: Report of owned di	n a separa rectly or			class o	of secur	ities	beneficially		
			(Print or	Type Res	sponse)	1		(Ove	r)	
FORI	M 4 (continued)									
Tab	le II Deriva [.] (e.g., pu [.]	tive Secur ts, calls,								
===:	=========						====	========	==	
		2. Conversion or Exercise	3. Trans-	4. Trans-	Secu: Acqui	vative rities ired (A)		rcisable and	of Und Securi	a. 3 and 4)
1.		Price of	Trans- action	action Code	or Di	isposed	_	iration Date nth/Day/Year)		Amount

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Title of	Deriv-	Date	(Instr.	(Instr.	3,				or
Derivative	ative	(Month/	8)	4 and 5	5)	Date	Expira-		Number
Security	Secur-	Day/				Exer-	tion		of
(Instr. 3)	ity	Year)	Code V	(A)	(D)	cisable	Date	Title	Shares

Employee Stock Option (Right to Buy)

Buy)

Deferred Stock

Units

Explanation of Responses: On August 14, 2001, the Board of Directors of First Merchants Corporation declared a stock dividend payable to all holders of record of common stock on September 3, 2001 of one share of common stock for each 20 shares of common stock outstanding to be distributed on September 24, 2001. Fractional shares were distributed in cash. Pursuant to anti-dilution provisions of First Merchants Corporation's various stock option plans, options previously reported were also adjusted to reflect the stock dividend.

/s/ Larry R. Helms October 9, 2001

**Signature of Reporting Person Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

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