

Urness Daniel L
Form 3
November 15, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Urness Daniel L
(Last) (First) (Middle)

3636 NORTH CENTRAL
AVENUE,Â SUITE 1200

(Street)

PHOENIX,Â AZÂ 85012

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)
11/08/2018

3. Issuer Name **and** Ticker or Trading Symbol
CAVCO INDUSTRIES INC. [CVCO]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
President and Acting CEO

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

19,068

D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)
Title

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Non-Qualified Stock Option (Right to Buy)	Â <u>(1)</u>	06/05/2019	Common Stock	5,700	\$ 44.28	D	Â
Non-Qualified Stock Option (Right to Buy)	Â <u>(1)</u>	07/11/2020	Common Stock	5,200	\$ 52.96	D	Â
Non-Qualified Stock Option (Right to Buy)	Â <u>(1)</u>	07/22/2021	Common Stock	4,000	\$ 79.26	D	Â
Non-Qualified Stock Option (Right to Buy)	Â <u>(1)</u>	07/09/2022	Common Stock	4,500	\$ 75.9	D	Â
Non-Qualified Stock Option (Right to Buy)	Â <u>(1)</u>	07/13/2023	Common Stock	3,600	\$ 99.96	D	Â
Non-Qualified Stock Option (Right to Buy)	Â <u>(2)</u>	07/18/2024	Common Stock	3,500	\$ 129.55	D	Â
Non-Qualified Stock Option (Right to Buy)	Â <u>(2)</u>	07/10/2025	Common Stock	2,000	\$ 217.15	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				Other
	Director	10% Owner	Officer		
Urness Daniel L 3636 NORTH CENTRAL AVENUE SUITE 1200 PHOENIX, AZ 85012	Â	Â	Â President and Acting CEO	Â	

Signatures

/s/ James P. Glew, Attorney-in-fact for Daniel L.
Urness

11/15/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares vest 25% on the first anniversary of the grant date and 25% on each anniversary thereafter until fully vested.

(2) Shares vest 10% on the second anniversary of the grant date and 30% on each anniversary thereafter until fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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