

Boor William C  
Form 4  
August 24, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Boor William C

2. Issuer Name and Ticker or Trading Symbol  
CAVCO INDUSTRIES INC.  
[CVCO]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
3636 N. CENTRAL AVENUE, SUITE 1200  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
08/22/2018

Director  10% Owner  
 Officer (give title below)  Other (specify below)

PHOENIX, AZ 85012

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  |                                | (A) or (D)  | Price   |  |                                   |
| Common Stock                    | 08/22/2018                           |  | M                              | V   | 4,000 A \$ 49.41  | 7,397  | D                                 |
| Common Stock                    | 08/22/2018                           |  | M                              | V   | 4,000 A \$ 51.25  | 11,397   | D                                 |
| Common Stock                    | 08/22/2018                           |  | F(1)                           | V   | 1,677 D \$ 240  | 9,720  | D                                 |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form**

SEC 1474 (9-02)

displays a currently valid OMB control number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3)        | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | Amount or Number of Shares |
|---|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|
| Non-Employee Director Stock Option (Right to Buy) | \$ 49.41   | 08/22/2018                           |  | M                              | 4,000   | <sup>(2)</sup> 07/09/2019                                | Common Stock  | 4,000                      |
| Non-Employee Director Stock Option (Right to Buy) | \$ 51.25   | 08/22/2018                           |  | M                              | 4,000   | <sup>(3)</sup> 07/09/2020                                | Common Stock  | 4,000                      |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |         |       |
|---|---------------|-----------|---------|-------|
|   | Director      | 10% Owner | Officer | Other |
| Boor William C<br>3636 N. CENTRAL AVENUE<br>SUITE 1200<br>PHOENIX, AZ 85012 | X             |           |         |       |

## Signatures

/s/ James P. Glew, attorney-in-fact for William C. Boor 08/24/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents a "net exercise" of outstanding stock options. Mr. Boor received 6,323 shares of common stock on net exercise of options to purchase a total of 8,000 shares of common stock. Mr. Boor forfeited 1,677 shares of common stock underlying the option in payment of the exercise price, using the closing stock price on August 21, 2018 of \$240.00.

## Edgar Filing: Boor William C - Form 4

- (2) These options vested in equal amounts on July 9, 2012 and July 9, 2013, respectively.
- (3) These options vested in equal amounts on July 9, 2013 and July 9, 2014, respectively.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.