CAVCO INDUSTRIES INC

Form 4

October 12, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

OMB APPROVAL

3235-0287

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obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

(City)

1. Name and Address of Reporting Person *

Greenblatt David A.

(First)

(Street)

(State)

(Middle)

(Zip)

2. Issuer Name and Ticker or Trading Symbol

CAVCO INDUSTRIES INC [CVCO]

3. Date of Earliest Transaction

10/10/2016

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

10% Owner

(Month/Day/Year)

Officer (give title Other (specify

Filed(Month/Day/Year)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

_X__ Director

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

PHOENIX, AZ 85004

1001 N. CENTRAL

AVENUE, SUITE 800

		Tubic	1 11011 1	CIIVALIVE	occui	incs required, Disposed of, or Denemenary Owned			
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securi	ties A	cquired	5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactio	on(A) or Di	ispose	d of (D)	Securities	Form: Direct	Indirect
(Instr. 3)		any	Code	(Instr. 3, 4 and 5)		Beneficially	(D) or	Beneficial	
		(Month/Day/Year)	(Instr. 8)				Owned	Indirect (I)	Ownership
							Following	(Instr. 4)	(Instr. 4)
							Reported		
					(A)		Transaction(s)		
			G 1 17		or	ъ.	(Instr. 3 and 4)		
			Code V	Amount	(D)	Price			
Common	10/10/2016		M	2,500	٨	\$	8,020	D	
Stock	10/10/2010		IVI	2,300	Α	33.25	0,020	ע	
Common	10/10/2016		F ⁽¹⁾	015	Ъ	\$	7 175	D	
Stock	10/10/2016		$\Gamma_{(1)}$	845	D	98.32	7,175	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securiti (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Share
Non-Employee Director Stock Option (Right to Buy)	\$ 33.25	10/10/2016		M	2,500	<u>(2)</u>	10/21/2016	Common Stock	2,50

Reporting Owners

Reporting Owner Name / Address	Relationships						
<u>.</u>	Director	10% Owner	Officer	Other			
Greenblatt David A. 1001 N. CENTRAL AVENUE SUITE 800 PHOENIX, AZ 85004	X						

Signatures

/s/ James P. Glew attorney-in-fact for David A.
Greenblatt

10/12/2016

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents a "net exercise" of outstanding stock options. Mr. Greenblatt received 1,655 shares of common stock on a net exercise of option to purchase 2,500 shares of common stock. Mr. Greenblatt forfeited 845 shares of common stock underlying the option in payment of the exercise price, using the closing stock price on October 7, 2016 of \$98.32.
- (2) This option vested 25% on each of the following dates: October 21, 2009; October 21, 2010; October 21, 2011; and October 21, 2012 Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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