

CATERPILLAR INC

Form 8-K

February 15, 2019

UNITED STATES

SECURITIES AND EXCHANGE

COMMISSION

Washington, D.C. 20549

FORM 8-K

Current Report

Pursuant to Section 13 or 15(d) of the
Securities Exchange Act of 1934

Date of Report (Date of earliest event
reported): February 13, 2019

CATERPILLAR INC.

(Exact name of registrant as specified
in its charter)

Delaware

(State or other jurisdiction of
incorporation)

1-768

(Country Code)

File (IRS Employer Identification No.)
Number)

510

Lake

Cook

Road,

Suite

100,

Deerfield,

Illinois (Zip Code)

(Address

of

principal

executive

offices)

Registrant's telephone number,
including area code: (224) 551-4000

Former name or former address, if
changed since last report: N/A

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant
☐ to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to
☐ Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement
communications pursuant to Rule
☐ 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement
communications pursuant to Rule
☐ 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined by Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company ☐

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. ☐

Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

On February 13, 2019, the Board of Directors of Caterpillar Inc. (the “Company”) appointed G. Michael Marvel, age 57, as Chief Accounting Officer. Mr. Marvel’s appointment is effective March 1, 2019 and follows the previously announced retirement of Jananne A. Copeland as the Company’s Chief Accounting Officer.

Mr. Marvel has served as the Company’s Director of Financial Reporting since August 2018 and between August 2013 and July 2018 served as Chief Financial Officer of a wholly owned subsidiary of the Company.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

CATERPILLAR INC.

February 15, 2019 By: /s/ Suzette M. Long
Suzette M. Long
General Counsel & Corporate Secretary