Edgar Filing: MAGOWAN PETER A - Form 4

MAGOWAN	PETER A										
Form 4 March 06, 20	13										
	Л								PPROVAL		
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB Number:	3235-0287			
Check this if no longe subject to Section 16	GES IN BENEFICIAL OWNERSHIP O SECURITIES					Expires: January 3 200 Estimated average burden hours per					
Form 4 or Form 5 obligation may contin <i>See</i> Instruct 1(b).	Filed purs s Section 17(a)	uant to Section 16) of the Public Ut 30(h) of the Inv	ility Hold	ing Com	pany	Act o	f 1935 or Sectio	response n	0.5		
(Print or Type R	esponses)										
1. Name and Ac MAGOWAN	Name and Ticker or Trading PILLAR INC [CAT]				5. Relationship of Reporting Person(s) to Issuer						
			f Earliest Transaction				(Check all applicable)				
100 N.E. AD	ay/Year) 013				X_ Director 10% Owner Officer (give title 0ther (specify below)						
			ndment, Date Original hth/Day/Year)				6. Individual or Joint/Group Filing(CheckApplicable Line)_X_ Form filed by One Reporting Person				
PEORIA, IL	61629						Form filed by M Person	More than One Re	eporting		
(City)	(State) (Z	Zip) Table	e I - Non-De	erivative S	Securi	ties Ac	quired, Disposed o	f, or Beneficial	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code Disposed of (D) Year) (Instr. 8) (Instr. 3, 4 and 5) (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
Common Stock	03/04/2013		Code V $A^{(1)}$	Amount 1,115	(D) A	Price \$ 0	309,624 <u>(2)</u>	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transact Code (Instr. 8)	of Deriv Secu Acqu (A) o Disp of (D (Inst	onNumber Expiration of (Month/Da Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,		ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
			Code V	4, an 7 (A)	ĺ.	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
MAGOWAN PETER A 100 N.E. ADAMS STREET PEORIA, IL 61629	Х							
Signatures								
Peter A. Magowan; S.R. Underwood, POA		03/06/2013						
de de								

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock awarded pursuant to the Caterpillar Inc. 2006 Long -Term Incentive Plan.
- (2) Includes adjustments for dividends accrued.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.