Edgar Filing: RAPP EDWARD J - Form 4

Form 4)]										
March 05, 2013											
FORM 4			GEGU						PPROVA	L	
Washington, D.C. 20549									3235-0		
Check this box if no longer							Expires:	Januar	y 31, 2005		
subject to Section 16. Form 4 or								Estimated burden hou response	Estimated average burden hours per response 0.5		
Form 5 obligations may continue. See Instruction 1(b).	Section 17(a) of the l	Public U	Itility Hol	ding C		ange Act of 1934, t of 1935 or Secti 1940				
(Print or Type Respo	onses)										
1. Name and Addre RAPP EDWAR	2. Issuer Name and Ticker or Trading Symbol				5. Relationship of Reporting Person(s) to Issuer						
			CATE	RPILLAR	INC	[CAT]	(Check all applicable)				
(3. Date of Earliest Transaction (Month/Day/Year) 03/04/2013			on	Director 10% Owner X_ Officer (give title Other (specify				
	03/04/2013				below) below) Group President						
			4. If Amendment, Date Original Filed(Month/Day/Year)			inal	 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 				
PEORIA, IL 610	529						Person	More than One R	eporting		
(City)	(State)	(Zip)	Tab	ole I - Non-l	Derivati	ive Securities	Acquired, Disposed	of, or Beneficia	lly Owned	l	
	ansaction Date nth/Day/Year)	2A. Deemo Execution any (Month/Da	Date, if	3. Transactio Code (Instr. 8)	Dispos		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature Indirect Beneficia Ownershi (Instr. 4)	1	
				Code V	Amou	nt (D) Price	(Instr. 3 and 4)				
Reminder: Report of	n a separate line	for each cl	ass of sec	urities bene	-	-	•				
					info req dis	ormation con uired to resp	espond to the colle ntained in this form bond unless the fo ently valid OMB co	n are not orm	SEC 1474 (9-02)		

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)

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(Instr. 3)	Instr. 3) Price of Derivative Security		(Month/Day/Year) (Instr. 8)			Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)					
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 89.75	03/04/2013		А		79,976		03/04/2016	03/04/2023	Common Stock	79,976
Report	tina Ow	ners									

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
RAPP EDWARD J 100 N.E. ADAMS STREET PEORIA, IL 61629			Group President					
Signatures								
E. J. Rapp; S.R. Underwood, POA		03/05/2013						
**Signature of Reporting Person		Date						

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.