Furfaro Vincent J. Form 3 January 11, 2019

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

Furfaro Vincent J.

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

01/07/2019

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

INDEPENDENCE HOLDING CO [IHC]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

485 MADISON

AVENUE, Â 14TH FLOOR

(Street)

(Check all applicable)

SVP Corp. Development & CISO

10% Owner Director _X__ Officer Other

(give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person

Form filed by More than One

Reporting Person

4. Nature of Indirect Beneficial

NEW YORK, NYÂ 10022

(City) (State) (Zip)

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

Table I - Non-Derivative Securities Beneficially Owned

Ownership (Instr. 5)

Direct (D) or Indirect (I)

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Date Expiration Exercisable Date

Title

Amount or Number of Shares

Derivative Security Direct (D) or Indirect

(I)

						(Instr. 5)	
Employee Stock Option (Right to Buy)	(1)	12/01/2021	Common Stock	6,000	\$ 19.95	D	Â
Employee Stock Option (Right to Buy)	(2)	09/12/2022	Common Stock	6,000	\$ 22.2	D	Â
Employee Stock Option (Right to Buy)	(3)	03/13/2023	Common Stock	13,000	\$ 31.3	D	Â
Employee Stock Option (Right to Buy)	(4)	05/06/2022	Common Stock	15,000	\$ 35.6	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
1 0	Director	10% Owner	Officer	Other		
Furfaro Vincent J. 485 MADISON AVENUE 14TH FLOOR NEW YORK, NY 10022	Â	Â	SVP Corp. Development & CISO	Â		

Signatures

/s/ Vincent J.
Furfaro

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Vests 1/3 on each of the first three annual anniversaries of the grant date of December 1, 2016.
- (2) Vests 1/3 on each of the first three annual anniversaries of the grant date of September 12, 2017.
- (3) Vests 1/3 on each of the first three annual anniversaries of the grant date of March 13, 2018.
- (4) Vests 1/3 on each of the first three annual anniversaries of the grant date of November 6, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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