

Furfaro Vincent J.
Form 3
January 11, 2019

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Furfaro Vincent J.

(Last) (First) (Middle)

485 MADISON
AVENUE,Â 14TH FLOOR

(Street)

NEW YORK,Â NYÂ 10022

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

01/07/2019

3. Issuer Name **and** Ticker or Trading Symbol
INDEPENDENCE HOLDING CO [IHC]

4. Relationship of Reporting
Person(s) to Issuer

5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

___ Director ___ 10% Owner

☒ X ___ Officer ___ Other

(give title below) (specify below)

SVP Corp. Development & CISO

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ X ___ Form filed by One Reporting

Person

___ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

Date Expiration
Exercisable Date

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title Amount or
Number of
Shares

4. Conversion
or Exercise
Price of
Derivative
Security

5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect
(I)

6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

(Instr. 5)

Employee Stock Option (Right to Buy)	Â <u>(1)</u>	12/01/2021	Common Stock	6,000	\$ 19.95	D	Â
Employee Stock Option (Right to Buy)	Â <u>(2)</u>	09/12/2022	Common Stock	6,000	\$ 22.2	D	Â
Employee Stock Option (Right to Buy)	Â <u>(3)</u>	03/13/2023	Common Stock	13,000	\$ 31.3	D	Â
Employee Stock Option (Right to Buy)	Â <u>(4)</u>	05/06/2022	Common Stock	15,000	\$ 35.6	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Furfaro Vincent J. 485 MADISON AVENUE 14TH FLOOR NEW YORK, NY 10022	Â	Â	Â SVP Corp. Development & CISO	Â

Signatures

/s/ Vincent J.
Furfaro

01/11/2019

__Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Vests 1/3 on each of the first three annual anniversaries of the grant date of December 1, 2016.

(2) Vests 1/3 on each of the first three annual anniversaries of the grant date of September 12, 2017.

(3) Vests 1/3 on each of the first three annual anniversaries of the grant date of March 13, 2018.

(4) Vests 1/3 on each of the first three annual anniversaries of the grant date of November 6, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.