

Edgar Filing: Apyx Medical Corp - Form 144

Apyx Medical Corp
Form 144
January 28, 2019

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 144

NOTICE OF PROPOSED SALE OF SECURITIES PURSUANT TO RULE 144
UNDER
THE SECURITIES ACT OF 1933
ATTENTION: Transmit for filing 3 copies of this form concurrently
with
either placing an order with a broker to execute sale or executing a
sale
directly with a market maker.
OMB APPROVAL

OMB Number: 3235-0101
Expires: June 30, 2020 Estimated average burden hours per
response
1.00

SEC USE ONLY

DOCUMENT SEQUENCE NO.

CUSIP NUMBER
1 (a) NAME OF
ISSUER
(Please type or print)
APYX Medical Corporation
(b) IRS IDENT. NO.
112644611
(c) S.E.C. FILE NO.

001-31885

WORK LOCATION
1 (d) ADDRESS OF ISSUER STREET CITY
STATE ZIP CODE

5115 Ulmerton Road, Clearwater, FL 33760
(b)
(e) TELEPHONE NO.

800
NUMBER
537-2790
2 (a) NAME OF PERSON FOR WHOSE ACCOUNT THE SECURITIES
ARE TO BE SOLD
John Robert Saron

RELATIONSHIP TO ISSUER
Affiliate
(c) ADDRESS STREET CITY STATE ZIP CODE
846 35th Avenue, St. Petersburg, FL 33704
INSTRUCTION: The person
filing this
notice should contact the issuer to obtain the I.R.S. Identification
Number
and the S.E.C. File Number.

Edgar Filing: Apyx Medical Corp - Form 144

3 (a)

(b)

SEC USE ONLY

(c)

Number of Shares or Other Units

To Be Sold

(See instr. 3(c))

(d)

Aggregate Market Value

(See instr. 3(d))

(e)

Number of Shares or Other Units Outstanding

(See instr. 3(e))

(f)

Approximate Date of Sale

(See instr. 3(f))

(MO. DAY YR.)

(g)

Name of Each Securities Exchange

(See instr. 3(g))

Title of the

Class of Securities To Be Sold

Name and Address of Each Broker Through Whom the Securities
are to be Offered

or Each Market Maker who is Acquiring the Securities

Broker-Dealer File Number

Common

RBC Wealth Management

60 South Sixth Street

Minneapolis, MN 55402

7f9-05171

30,000

8.68

33.20m

01/28/2019

NYSE

INSTRUCTIONS:

Edgar Filing: Apyx Medical Corp - Form 144

3 . (a) Title of the class of securities to be sold

1. (a) Name of issuer (b) Name and address of each broker through whom the securities are intended to be sold

(b) Issuers I.R.S. Identification Number (c) Number of shares or other units to be sold (if debt securities, give the aggregate face amount)

(c) Issuers S.E.C. file number, if any (d) Aggregate market value of the securities to be sold as of a specified date within 10 days prior to the filing of this notice

(d) Issuers address, including zip code (e) Number of shares or other units of the class outstanding, or if debt securities the face amount thereof outstanding, as shown

(e) Issuers telephone number, including area code by the most recent report or statement published by the issuer

(f) Approximate date on which the securities are to be sold

2. . (a) Name of person for whose account the securities are to be sold

(g) Name of each securities exchange, if any, on which the securities are intended to be sold

(b) Such persons relationship to the issuer (e.g., officer, director, 10% stockholder, or member of immediate family of any of the foregoing)

(c) Such persons address, including zip code

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. SEC 1147 (08-07)

TABLE I SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

Title of the Class
Date you Acquired
Nature of Acquisition Transaction

Name of
Person from Whom Acquired
(If gift, also give date donor acquired)
Amount of Securities

Acquired
Date of Payment
Nature of Payment
COMMON
6/8/2005
EMPLOYEE STOCK
OPTION
BOVIE MEDICAL CORPORATION
219,363

Edgar Filing: Apyx Medical Corp - Form 144

INSTRUCTIONS: If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

TABLE II SECURITIES SOLD DURING THE PAST 3 MONTHS

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

Name and Address of Seller

Title of Securities Sold

Date of Sale

Amount of Securities Sold

Gross Proceeds

REMARKS:

INSTRUCTIONS:

See the definition of person in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

ATTENTION: The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule

Edgar Filing: Apyx Medical Corp - Form 144

10b5-1 under the Exchange Act, by signing the form and indicating the date that

the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

1/14/2019

JOHN ROBERT SARON (ORIGINAL SIGNATURE ON FILE)

DATE OF NOTICE (SIGNATURE)

DATE OF PLAN ADOPTION OR GIVING OF INSTRUCTION,

The notice shall be signed by the person for whose account the securities are to be sold. At least one copy

IF RELYING ON RULE 10B5-1 of the notice shall be manually signed. Any copies

not manually signed shall bear typed or printed signatures.
SEC 1147 (02-08)