

MARTIN CHRISTOPHER P

Form 4

March 06, 2019

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
MARTIN CHRISTOPHER P

2. Issuer Name **and** Ticker or Trading  
Symbol  
PROVIDENT FINANCIAL  
SERVICES INC [PFS]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)

239 WASHINGTON STREET

(Street)

JERSEY CITY, NJ 07302

(City)

(State)

(Zip)

3. Date of Earliest Transaction  
(Month/Day/Year)  
03/04/2019

4. If Amendment, Date Original  
Filed(Month/Day/Year)

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)  
Chairman, President and CEO

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|----------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|
|                                       |                                         |                                                             | Code                                 | V Amount (A) or (D) Price                                                  |                                                                                                                    |                                                                      |                                                                   |
| Common<br>Stock                       |                                         |                                                             |                                      |                                                                            | 359,077                                                                                                            | D                                                                    |                                                                   |
| Common<br>Stock                       |                                         |                                                             |                                      |                                                                            | 151,811 <sup>(1)</sup>                                                                                             | I                                                                    | By 401(k)<br>Plan                                                 |
| Common<br>Stock                       |                                         |                                                             |                                      |                                                                            | 15,480 <sup>(1)</sup>                                                                                              | I                                                                    | By ESOP                                                           |
| Common<br>Stock                       |                                         |                                                             |                                      |                                                                            | 17,785                                                                                                             | I                                                                    | By<br>Deferred<br>Fee Plan                                        |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |     | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                    |                 |                                     |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|--------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|-----|---------------------------------------------------------------------|--------------------|-----------------|-------------------------------------|
|                                                     |                                                                    |                                         |                                                             | Code                                 | V                                                                                                            | (A)                                                            | (D) | Date<br>Exercisable                                                 | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of Shares |
| Stock<br>Options                                    | \$ 27.25                                                           | 03/04/2019                              |                                                             | A                                    |                                                                                                              | 41,685                                                         |     | 03/04/2020                                                          | 03/04/2029         | Common<br>Stock | 41,685                              |
| Stock<br>Options                                    | \$ 14.88                                                           |                                         |                                                             |                                      |                                                                                                              |                                                                |     | 02/03/2013                                                          | 02/03/2022         | Common<br>Stock | 20,000                              |
| Stock<br>Options                                    | \$ 15.23                                                           |                                         |                                                             |                                      |                                                                                                              |                                                                |     | 02/19/2014                                                          | 02/19/2023         | Common<br>Stock | 26,755                              |
| Stock<br>Options                                    | \$ 14.5                                                            |                                         |                                                             |                                      |                                                                                                              |                                                                |     | 02/24/2012                                                          | 02/24/2021         | Common<br>Stock | 29,430                              |
| Stock<br>Options                                    | \$ 25.58                                                           |                                         |                                                             |                                      |                                                                                                              |                                                                |     | 03/05/2019                                                          | 03/05/2028         | Common<br>Stock | 43,124                              |
| Stock<br>Options                                    | \$ 26.31                                                           |                                         |                                                             |                                      |                                                                                                              |                                                                |     | 03/07/2018                                                          | 03/07/2027         | Common<br>Stock | 42,857                              |
| Stock<br>Options                                    | \$ 18.34                                                           |                                         |                                                             |                                      |                                                                                                              |                                                                |     | 02/19/2016                                                          | 02/19/2025         | Common<br>Stock | 65,972                              |
| Stock<br>Options                                    | \$ 16.38                                                           |                                         |                                                             |                                      |                                                                                                              |                                                                |     | 02/19/2015                                                          | 02/19/2024         | Common<br>Stock | 35,000                              |
| Stock<br>Options                                    | \$ 16.38                                                           |                                         |                                                             |                                      |                                                                                                              |                                                                |     | 03/04/2016                                                          | 02/19/2024         | Common<br>Stock | 45,762                              |
| Stock<br>Options                                    | \$ 18.7                                                            |                                         |                                                             |                                      |                                                                                                              |                                                                |     | 02/24/2017                                                          | 02/24/2026         | Common<br>Stock | 76,327                              |
| Stock<br>Options                                    | \$ 14.5                                                            |                                         |                                                             |                                      |                                                                                                              |                                                                |     | 02/24/2011                                                          | 02/24/2021         | Common<br>Stock | 14,623                              |
| Stock<br>Options                                    | \$ 15.23                                                           |                                         |                                                             |                                      |                                                                                                              |                                                                |     | 03/04/2015                                                          | 02/19/2023         | Common<br>Stock | 25,126                              |
| Stock<br>Options                                    | \$ 14.88                                                           |                                         |                                                             |                                      |                                                                                                              |                                                                |     | 03/04/2015                                                          | 02/03/2022         | Common<br>Stock | 22,542                              |

## Reporting Owners

| Reporting Owner Name / Address                                         | Relationships |           |                             |       |
|------------------------------------------------------------------------|---------------|-----------|-----------------------------|-------|
|                                                                        | Director      | 10% Owner | Officer                     | Other |
| MARTIN CHRISTOPHER P<br>239 WASHINGTON STREET<br>JERSEY CITY, NJ 07302 | X             |           | Chairman, President and CEO |       |

## Signatures

/s/ Leonard G. Gleason, Pursuant to Power of Attorney

03/06/2019

\_\_\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects transactions not required to be reported pursuant to Section 16 of the Securities Exchange Act of 1934, as amended.
- (2) Stock options vest at a rate of 33.3% per year over a period ending March 4,2022.
- (3) Stock options have fully vested.
- (4) Stock options vest at a rate of 33.3% per year.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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