

SAFEGUARD SCIENTIFICS INC  
Form DEFA14A  
April 06, 2016

**UNITED STATES**

**SECURITIES AND EXCHANGE COMMISSION**

**Washington, D.C. 20549**

**SCHEDULE 14A**

**(Rule 14a-101)**

**INFORMATION REQUIRED IN PROXY STATEMENT**

**SCHEDULE 14A INFORMATION**

**Proxy Statement Pursuant to Section 14(a) of the Securities**

**Exchange Act of 1934 (Amendment No.        )**

Filed by the Registrant

Filed by a Party other than the Registrant

Check the appropriate box:

Preliminary Proxy Statement

Confidential, For use of the Commission Only (as permitted by Rule 14a-6(e)(2))

Definitive Proxy Statement

Definitive Additional Materials

Soliciting Material Pursuant to §240.14a-12

**SAFEGUARD SCIENTIFICS, INC.**

---

(Name of Registrant as Specified In Its Charter)

---

(Name of Person(s) Filing Proxy Statement, if Other Than the Registrant)

Payment of Filing Fee (Check the appropriate box):

No fee required.

Fee computed on table below per Exchange Act Rules 14a-6(i)(1) and 0-11.

(1) Title of each class of securities to which transaction applies:

(2) Aggregate number of securities to which transaction applies:

(3) Per unit price or other underlying value of transaction computed pursuant to Exchange Act Rule 0-11 (set forth the amount on which the filing fee is calculated and state how it was determined):

(4) Proposed maximum aggregate value of transaction:

(5) Total fee paid

Fee paid previously with preliminary materials:

Edgar Filing: SAFEGUARD SCIENTIFICS INC - Form DEFA14A

Check box if any part of the fee is offset as provided by Exchange Act Rule 0-11(a)(2) and identify the filing for which the offsetting fee was paid previously. Identify the previous filing by registration statement number, or the Form or Schedule and the date of its filing.

(1)

Amount previously paid:

(2) Form, Schedule or Registration Statement No.:

(3) Filing Party:

(4) Date Filed:

\*\*\* Exercise Your *Right to Vote* \*\*\*

**Important Notice Regarding the Availability of Proxy Materials for the Shareholder Meeting to Be Held on May 18, 2016.**

**SAFEGUARD SCIENTIFICS, INC.**

**Meeting Information**

**Meeting Type:** Annual Meeting

**For holders as of:** March 18, 2016

**Date:** May 18, 2016    **Time:** 8:00 AM ET

**Location:** Meeting live via the Internet-please visit  
[www.virtualshareholdermeeting.com/SFE2016](http://www.virtualshareholdermeeting.com/SFE2016).

The company will be hosting the meeting live via the Internet this year. To attend the meeting via the Internet please visit [www.virtualshareholdermeeting.com/SFE2016](http://www.virtualshareholdermeeting.com/SFE2016) and be sure to have the information that is printed in the box marked by the arrow (located on the following page).

You are receiving this communication because you hold shares in the company named above.

*SAFEGUARD SCIENTIFICS, INC.  
170 NORTH RADNOR-CHESTER ROAD  
SUITE 200  
RADNOR, PA 19087*

This is not a ballot. You cannot use this notice to vote these shares. This communication presents only an overview of the more complete proxy materials that are available to you on the Internet. You may view the proxy materials online at [www.proxyvote.com](http://www.proxyvote.com), scan the QR Barcode on the reverse side, or easily request a paper copy (see reverse side).

We encourage you to access and review all of the important information contained in the proxy materials before voting.

**See the reverse side of this notice to obtain proxy materials and voting instructions.**

E01277-P74799



## **Before You Vote**

### *How to Access the Proxy Materials*

#### **Proxy Materials Available to VIEW or RECEIVE:**

NOTICE PROXY STATEMENT      FORM 10-K

#### **How to View Online:**

Have the information that is printed in the box marked by the arrow (located on the following page) and visit: [www.proxyvote.com](http://www.proxyvote.com), or scan the QR Barcode below.

#### **How to Request and Receive a PAPER or E-MAIL Copy:**

If you want to receive a paper or e-mail copy of these documents, you must request one. There is NO charge for requesting a copy. Please choose one of the following methods to make your request:

- 1)     *BY INTERNET:* [www.proxyvote.com](http://www.proxyvote.com)
- 2)     *BY TELEPHONE:* 1-800-579-1639
- 3)     *BY E-MAIL\*:* [sendmaterial@proxyvote.com](mailto:sendmaterial@proxyvote.com)

\* If requesting materials by e-mail, please send a blank e-mail with the information that is printed in the box marked by the arrow (located on the following page) in the subject line.

Requests, instructions and other inquiries sent to this e-mail address will NOT be forwarded to your investment advisor. Please make the request as instructed above on or before May 4, 2016 to facilitate timely delivery.

## **How To Vote**

*Please Choose One of the Following Voting Methods*

**SCAN TO  
VIEW MATERIALS & VOTE**

**Vote By Internet:**

*Before The Meeting:*

Go to [www.proxyvote.com](http://www.proxyvote.com) or from a smart phone, scan the QR Barcode above. Have the information that is printed in the box marked by the arrow (located on the following page) available and follow the instructions.

*During The Meeting:*

Go to [www.virtualshareholdermeeting.com/SFE2016](http://www.virtualshareholdermeeting.com/SFE2016). Have the information that is printed in the box marked by the arrow (located on the following page) available and follow the instructions.

**Vote By Mail:** You can vote by mail by requesting a paper copy of the materials, which will include a proxy card.

E01278-P74799

**Voting  
Items**

**The Board of  
Directors  
recommends  
you vote FOR  
the following:**

1. Election of  
Directors

**Nominees:**

- |     |                                          |     |
|-----|------------------------------------------|-----|
| 01) | Mara G.<br>Aspinall                      | 05) |
|     | John J. Roberts<br>Julie A. Dobson       | 06) |
| 02) | Robert J.<br>Rosenthal<br>Stephen Fisher | 07) |
| 03) | Stephen T.<br>Zarrilli                   |     |
| 04) | George<br>MacKenzie                      |     |

**The Board of Directors  
recommends you vote  
FOR the following  
proposals:**

- Advisory resolution to  
approve the
2. compensation of the  
Company's named  
executive officers.
3. Ratification of the  
appointment of KPMG  
LLP as the Company's  
independent registered  
public accounting firm



for 2016.

To consider such other  
business as may

4. properly come before  
the meeting or any  
adjournment thereof.

E01279-P74799