

UNITED COMMUNITY BANKS INC
Form 10-Q/A
May 12, 2014

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 10-Q/A

(Amendment No. 1)

- QUARTERLY REPORT PURSUANT TO SECTION 13 OR 15(d)
OF THE SECURITIES EXCHANGE ACT OF 1934

For the Quarterly Period Ended March 31, 2014

OR

- TRANSITION REPORT PURSUANT TO SECTION 13 OR 15(d)
OF THE SECURITIES EXCHANGE ACT OF 1934

For the Transition Period from _____ to _____

Commission file number 001-35095

UNITED COMMUNITY BANKS, INC.

(Exact name of registrant as specified in its charter)

Georgia
(State of Incorporation)

58-1807304
(I.R.S. Employer Identification No.)

125 Highway 515 East
Blairsville, Georgia
Address of Principal
Executive Offices

30512
(Zip Code)

(706) 781-2265

(Telephone Number)

Indicate by check mark whether the registrant (1) has filed all reports required to be filed by Section 13 or 15(d) of the Securities Exchange Act of 1934 during the preceding 12 months (or for such shorter period that the registrant was required to file such reports), and (2) has been subject to such filing requirements for the past 90 days.

YES NO

Indicate by check mark whether the registrant has submitted electronically and posted on its corporate Web site, if

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any, every Interactive Data File required to be submitted and posted pursuant to Rule 405 of Regulation S-T (§232.405 of this chapter) during the preceding 12 months (or for such shorter period that the registrant was required to submit and post such files).

YES NO

Indicate by check mark whether the registrant is a large accelerated filer, an accelerated filer, a non-accelerated filer or a smaller reporting company. See definitions of “large accelerated filer”, “accelerated filer” and “smaller reporting company” in Rule 12b-2 of the Exchange Act.

Large accelerated filer

Accelerated filer

Non-accelerated filer (Do not check if a smaller reporting company)

Smaller Reporting Company

Indicate by check mark whether the registrant is a shell company (as defined in Rule 12b-2 of the Act).

YES NO

Common stock, par value \$1 per share 50,012,974 shares voting and 10,080,787 shares non-voting outstanding as of April 30, 2014.

EXPLANATORY NOTE

United Community Banks, Inc. (“United”) is filing this Amendment No. 1 (this “Amendment”) to its Quarterly Report on Form 10-Q for the quarter ended March 31, 2014 (the “Form 10-Q”), originally filed with the Securities and Exchange Commission on May 9, 2014, for the sole purpose of furnishing, in accordance with Rule 405 of Regulation S-T, certain information formatted in XBRL (eXtensible Business Reporting Language) that was omitted from Note 5 – Loans and Allowance for Loan Losses of the Interactive Data Files contained in Exhibit 101 due to an error on the part of United’s third party financial printer. Such information was included in Note 5 – Loans and Allowance for Loan Losses contained in the body of the Form 10-Q. The sole purpose of this Amendment is to furnish a corrected version of the Interactive Data Files contained in Exhibit 101. This Amendment makes no other changes to the Form 10-Q.

Item 6. Exhibits

| Exhibit No. | Description |
|-------------|---|
| 10.1** | Form of Stock Option Award Agreement (Incentive Stock Option). |
| 10.2** | Form of Stock Option Award Agreement (Incentive Stock Option – Key Employee). |
| 10.3** | Form of Stock Option Award Agreement (Nonqualified Stock Option). |
| 10.4** | Form of Stock Option Award Agreement (Nonqualified Stock Option – Key Employee). |
| 10.5** | Form of Restricted Stock Unit Award Agreement. |
| 10.6** | Form of Restricted Stock Unit Award Agreement (Key Employee). |
| 10.7** | Form of Restricted Stock Unit Award Agreement (Key Employee – Retirement Eligible). |
| 10.8** | Form of Change in Control Severance Agreement. |
| 31.1** | Certification by Jimmy C. Tallent, President and Chief Executive Officer of United Community Banks, Inc., as adopted pursuant to Section 302 of the Sarbanes-Oxley Act of 2002. |
| 31.2** | Certification by Rex S. Schuette, Executive Vice President and Chief Financial Officer of United Community Banks, Inc., as adopted pursuant to Section 302 of the Sarbanes-Oxley Act of 2002. |
| 32** | Certification Pursuant to 18 U.S.C. Section 1350, as Adopted Pursuant to Section 906 of the Sarbanes-Oxley Act of 2002. |
| 101.INS | XBRL Instance Document (1) |
| 101.SCH | XBRL Taxonomy Extension Schema Document (1) |
| 101.CAL | XBRL Taxonomy Extension Calculation Linkbase Document (1) |
| 101.DEF | XBRL Taxonomy Extension Definition Linkbase Document (1) |
| 101.LAB | XBRL Taxonomy Extension Label Linkbase Document (1) |
| 101.PRE | XBRL Taxonomy Extension Presentation Linkbase Document (1) |

** Previously Filed

(1) Pursuant to Rule 406T of Regulation S-T, the Interactive Data Files on Exhibit 101 hereto are deemed not filed or part of a registration statement or prospectus for purposes of Sections 11 or 12 of the Securities Act of 1933 are

deemed not filed for purposes of Section 18 of the Securities and Exchange Act of 1934 and otherwise are not subject to liability under those sections.

Signatures

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this Report to be signed on its behalf by the undersigned thereunto duly authorized.

UNITED COMMUNITY BANKS,
INC.

/ s / J i m m y C .
Tallent
Jimmy C. Tallent
President and Chief Executive Officer
(Principal Executive Officer)

/ s / R e x S .
Schuette
Rex S. Schuette
Executive Vice President and
Chief Financial Officer
(Principal Financial Officer)

/ s / A l a n H .
Kumler
Alan H. Kumler
Senior Vice President and Controller
(Principal Accounting Officer)

Date: May 12, 2014