

Engle Robb William
Form 4
July 03, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Engle Robb William

(Last) (First) (Middle)
164 LUCAS AVENUE
(Street)

KINGSTON, NY 12401

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
SONO TEK CORP [SOTK]

3. Date of Earliest Transaction
(Month/Day/Year)
06/29/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Sono-Tek Corp Common Stock	06/29/2018		M		10,000 (1) \$ 0.61	15,896	D
Sono-Tek Corp Common Stock	06/29/2018		F		3,211 (2) \$ 1.9	12,685	D
Sono-Tek Corp Common Stock	06/29/2018		M		11,000 (3) \$ 0.48	23,685	D

Edgar Filing: Engle Robb William - Form 4

Sono-Tek Corp Common Stock	06/29/2018	F	<u>2,779</u> (4)	D	\$ 1.9	20,906	D
Sono-Tek Corp Common Stock	06/29/2018	M	<u>12,000</u> (5)	A	\$ 1.05	32,906	D
Sono-Tek Corp Common Stock	06/29/2018	F	<u>6,632</u> (6)	D	\$ 1.9	26,274	D
Sono-Tek Corp Common Stock	06/29/2018	M	<u>14,400</u> (7)	A	\$ 1.19	40,674	D
Sono-Tek Corp Common Stock	06/29/2018	F	<u>9,019</u> (8)	D	\$ 1.9	31,655	D
Sono-Tek Corp Common Stock	06/29/2018	M	<u>30,000</u> (9)	A	\$ 0.91	61,655	D
Sono-Tek Corp Common Stock	06/29/2018	F	<u>14,368</u> (10)	D	\$ 1.9	47,287	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)		
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
						Code	V	(A)	(D)

Sono-Tek Corp Options	\$ 0.61	06/29/2018	M	10,000 ⁽¹⁾	11/08/2015	11/08/2022	Sono-Tek Corp Common Stock	10,
Sono-Tek Corp Options	\$ 0.48	06/29/2018	M	11,000 ⁽³⁾	01/24/2016	01/24/2023	Sono-Tek Corp Common Stock	11,
Sono-Tek Options	\$ 1.05	06/29/2018	M	12,000 ⁽⁵⁾	02/20/2015	02/20/2024	Sono-Tek Corp Common Stock	12,
Sono-Tek Options	\$ 1.19	06/28/2018	M	14,400 ⁽⁷⁾	02/19/2016	02/19/2025	Sono-Tek Corp Common Stock	14,
Sono-Tek Options	\$ 0.91	06/29/2018	M	30,000 ⁽⁹⁾	07/21/2016 ⁽¹¹⁾	07/20/2026	Sono-Tek Corp Common Stock	30,

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Engle Robb William 164 LUCAS AVENUE KINGSTON, NY 12401			Vice President	

Signatures

Robb William
Engle 07/03/2018

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting person exercised 10000 options.
- (2) Reporting person surrendered 3211 shares of Sono-Tek Corp common stock as payment for the exercise of vested options.
- (3) Reporting person exercised 11000 options.
- (4) Reporting person surrendered 2779 shares of Sono-Tek Corp Common stock as payment for the exercise of vested options.
- (5) Reporting person exercised 12000 options.
- (6) Reporting person surrendered 6632 shares of Sono-Tek Corp Common stock as payment for the exercise of vested options.
- (7) Reporting person exercised 14400 options.

Edgar Filing: Engle Robb William - Form 4

- (8) Reporting person surrendered 9019 shares of Sono-Tek Corp common stock as payment for the exercise of vested options.
- (9) Reporting person exercised 30000 options.
- (10) Reporting person surrendered 14368 shares of Sono-Tek Corp common stock as payment for the exercise of vested options.
- (11) Subject to vesting schedule.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.