Edgar Filing: Modi Sohrab - Form 4

Modi Sohrab Form 4 March 27, 20	19										
FORM /							OMB APPROVAL				
	UNITEDS		SECURITIES AND EXCHANGE COMM Washington, D.C. 20549					OMB Number:	3235-0287		
Check this if no long subject to Section 16 Form 4 or Form 5	er STATEM	GES IN BENEFICIAL OWNERSHI SECURITIES					Expires: January 3 200 Estimated average burden hours per response 0.				
obligation may conti <i>See</i> Instru 1(b).	ns Section 17(a	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940									
(Print or Type R	lesponses)										
1. Name and Address of Reporting Person <u>*</u> Modi Sohrab			2. Issuer Name and Ticker or Trading Symbol ADESTO TECHNOLOGIES Corp					5. Relationship of Reporting Person(s) to Issuer			
			[IOTS]					(Check all applicable)			
	(First) (M O TECHNOLOG FION, 3600 PETE		3. Date of (Month/Da 03/25/20	-	insaction			Director X Officer (give below) Chief			
	(Street) 4. If Amer Filed(Mon				-			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
SANTA CLA	ARA, CA 95054								Aore than One Re		
(City)	(State) (2	Zip)	Table	e I - Non-Do	erivative S	ecurit	ies Acc	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)		3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or				Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Common Stock	03/25/2019			Code V A	Amount 11,809 (1)	(D) A	Price \$ 0	(Instr. 3 and 4) 23,594	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Transaction Derivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option (right to buy)	\$ 5.87	03/25/2019		A	9,634	(2)	03/25/2029	Common Stock	9,634	

Reporting Owners

Reporting Owner Name / Address		Relationships					
	Director	10% Owner	Officer	Other			
Modi Sohrab C/O ADESTO TECHNOLOGIES CORPORATION 3600 PETERSON WAY SANTA CLARA, CA 95054			Chief Strategy Officer				
Signatures							
/s/ Ron Shelton, as Attorney-in-Fact for Sohrab Modi	03/2	27/2019					
<u>**</u> Signature of Reporting Person		Date					
Explanation of Responses:							

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Each restricted stock unit ("RSU") represents a contingent right to receive one share of the Issuer's Common Stock upon settlement for no consideration. The RSUs will vest in equal quarterly installments over 4 years beginning on July 1, 2019, subject to the continued service

- (1) consideration. The RSOS will vest in equal quarterly instantients over 4 years beginning on July 1, 2019, subject to the continued service of the Reporting Person through each vesting date. Shares of the Issuer's common stock will be delivered to the Reporting Person upon vesting.
- (2) The stock option will vest in equal quarterly installments over 4 years beginning on July 1, 2019, subject to the continued service of the Reporting Person through each vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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