

Young Tracie
Form 4
March 13, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
Young Tracie

2. Issuer Name **and** Ticker or Trading
Symbol

REPUBLIC FIRST BANCORP INC
[FRBK]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)

____ Director ____ 10% Owner
____ Officer (give title below) ____ Other (specify below)

C/O REPUBLIC FIRST BANCORP,
INC., 50 S 16TH STREET, SUITE
2400

08/07/2018

Chief Risk Officer

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)

____ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

PHILADELPHIA, PA 19102

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	08/07/2018		M		12,000	A	\$ 3.68	13,425	D	
Common Stock	08/07/2018		S		12,000	D	\$ 7.74	1,425	D	
Common Stock	08/07/2018		M		6,000	A	\$ 3.55	7,425	D	
Common Stock	08/07/2018		S		6,000	D	\$ 7.74	1,425	D	
	08/07/2018		M		6,000	A		7,425	D	

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Common Stock					\$ 3.99		
Common Stock	08/07/2018	S	6,000	D	\$ 7.74	1,425	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to Purchase Common Stock	\$ 3.68	08/07/2018		M	12,000	<u>(1)</u>	02/28/2024	Common Stock	12,000
Option to Purchase Common Stock	\$ 3.55	08/07/2018		M	6,000	<u>(2)</u>	03/12/2025	Common Stock	6,000
Option to Purchase Common Stock	\$ 3.99	08/07/2018		M	6,000	<u>(3)</u>	02/23/2026	Common Stock	6,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Young Tracie C/O REPUBLIC FIRST BANCORP, INC. 50 S 16TH STREET, SUITE 2400 PHILADELPHIA, PA 19102			Chief Risk Officer	

Signatures

/s/ Tracie Young

03/13/2019

__Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 25% exercisable on 2/28/15; 25% on 2/28/16; 25% on 2/28/17; 25% on 2/28/18
- (2) 25% exercisable on 3/12/16; 25% on 3/12/17; 25% on 3/12/18; 25% on 3/12/19
- (3) 25% exercisable on 2/23/17; 25% on 2/23/18; 25% on 2/23/19; 25% on 2/23/20

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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