GERSHOWITZ DIANE M

Form 4

December 28, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB

OMB APPROVAL

Number:

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obligations

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

may continue. See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * GERSHOWITZ DIANE M

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

MARCUS CORP [MCS]

(Check all applicable)

(First)

(Middle)

(Zip)

3. Date of Earliest Transaction

X_ Director Officer (give title

10% Owner Other (specify

THE MARCUS

(Last)

(City)

Stock

CORPORATION, 100 E.

WISCONSIN AVE., SUITE 1900

(State)

4. If Amendment, Date Original

Applicable Line)

(Street)

Filed(Month/Day/Year)

(Month/Day/Year)

12/27/2018

X Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

MILWAUKEE, WI 53202

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Code Disposed of ar) (Instr. 8) (Instr. 3, 4 a		l (A) o l of (D	or Securities (D) Beneficially nd 5) Owned Following Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/27/2018	Code V $A_{\underline{(1)}}$	Amount 1,500	(D)	Price \$ 0	(Instr. 3 and 4) 7,955	D	
Common						250	ī	By DG-LDJ

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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350

SEC 1474 (9-02)

Holdings, **LLC**

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy) (2)	\$ 38.51	12/27/2018		A		1,000		12/27/2018	12/27/2028	Common Stock	1,000
Stock Option (Right to Buy) (2)	\$ 10.78							05/28/2009	05/28/2019	Common Stock	500
Stock Option (Right to Buy) (2)	\$ 11.14							05/27/2010	05/27/2020	Common Stock	500
Stock Option (Right to Buy) (2)	\$ 10.5							05/26/2011	05/26/2021	Common Stock	500
Stock Option (Right to Buy) (2)	\$ 13.33							05/31/2012	05/31/2022	Common Stock	500
Stock Option (Right to Buy) (2)	\$ 13.45							05/30/2013	05/30/2023	Common Stock	1,000
Stock Option (Right to Buy) (2)	\$ 16.84							05/29/2014	05/29/2024	Common Stock	1,000
Stock Option (Right to Buy) (2)	\$ 19.65							05/28/2015	05/28/2025	Common Stock	1,000

Stock Option (Right to Buy) (2)	\$ 18.97	12/31/2015	12/31/2025	Common Stock	583
Stock Option (Right to Buy) (2)	\$ 31.55	12/29/2016	12/29/2026	Common Stock	1,000
Stock Option (Right to Buy) (2)	\$ 27.2	12/28/2017	12/28/2027	Common Stock	1,000
Class B Common Stock	(3)	<u>(4)</u>	(5)	Common Stock	131,506
Class B Common Stock	(3)	<u>(4)</u>	(5)	Common Stock	28,275
Class B Common Stock	(3)	<u>(4)</u>	<u>(5)</u>	Common Stock	2,832,14
Class B Common Stock	<u>(3)</u>	<u>(4)</u>	(5)	Common Stock	50,845

Reporting Owners

Reporting Owner Name / Address	Relationships						
• 0	Director	10% Owner	Officer	Other			
GERSHOWITZ DIANE M THE MARCUS CORPORATION 100 E. WISCONSIN AVE., SUITE 1900 MILWAUKEE, WI 53202	X						

Signatures

/s/ Steven R. Barth, Attorney-in-Fact for Diane M.
Gershowitz
12/28/2018

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock granted December 27, 2018 vests and becomes exercisable as follows: 50% after 2nd anniversary of the date of grant and 100% after 4th anniversary of the date of grant.

Date

Reporting Owners 3

- (2) Granted pursuant to The Marcus Corporation 2004 Equity and Incentive Awards Plan.
- (3) This security is convertible into common stock on a 1-for-1 basis at no cost.
- (4) This security is immediately exercisable.
- (5) No expiration date.
- (6) As trustee for brother's children.
- (7) By the Ben and Celia Marcus 1992 Revocable Trust F/B/O Diane M. Gershowitz.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.