

Hangen Charles  
Form 4  
October 02, 2018

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Hangen Charles

(Last) (First) (Middle)

200 PALMER STREET

(Street)

STROUDSBURG, PA 18360

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
ESSA Bancorp, Inc. [ESSA]

3. Date of Earliest Transaction  
(Month/Day/Year)  
09/30/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

EVP and COO

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock	04/02/2018		L	V 57 A \$ 14.6467	9,361 <sup>(1)</sup>	I	By IRA
Common Stock	07/02/2018		L	V 53 A \$ 15.7563	9,414 <sup>(1)</sup>	I	By IRA
Common Stock	10/01/2018		L	V 52 A \$ 16.3461	9,466 <sup>(1)</sup>	I	By IRA
Common Stock	09/30/2018		F	749 D \$ 16.26	10,727 <sup>(2) (3) (4)</sup>	D	
Common Stock	10/01/2018		A	3,077 <sup>(5)</sup> A \$ 0	13,804 <sup>(2) (3) (4)</sup>	D	



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- (2) Includes shares of restricted stock which vest at a rate of 25% per year commencing on September 30, 2016.
- (3) Includes shares of restricted stock which vest at a rate of 25% per year commencing on September 30, 2017.
- (4) Includes shares of restricted stock which vest at a rate of 25% per year commencing on September 30, 2018.
- (5) Shares of restricted stock vest at a rate of 25% per year commencing on September 30, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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