

CHIN DEAN A
Form 4
September 10, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
CHIN DEAN A

2. Issuer Name and Ticker or Trading Symbol
ABM INDUSTRIES INC /DE/
[ABM]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
ONE LIBERTY PLAZA, 7TH FLOOR

3. Date of Earliest Transaction (Month/Day/Year)
09/07/2018

____ Director
 Officer (give title below) _____ 10% Owner
_____ Other (specify below)
SVP - Chief Accounting Officer

(Street)
NEW YORK, NY 10006

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				(A) or (D)	Price			
				Code	V	Amount		
Common Stock	09/07/2018		M		5,682	A	\$ 19.09 32,847	D
Common Stock	09/07/2018		S ⁽¹⁾		2,000	D	\$ 32.689 (2) 30,847	D
Common Stock	09/07/2018		S ⁽¹⁾		1,593	D	\$ 33.5852 (3) 29,254	D
Common Stock	09/07/2018		S ⁽¹⁾		2,089	D	\$ 34.4855 (4) 27,165 (5)	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Options <u>(6)</u>	\$ 19.09	09/07/2018		M	5,682	<u>(7)</u> 09/13/2018	Common Stock	5,682

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
CHIN DEAN A ONE LIBERTY PLAZA 7TH FLOOR NEW YORK, NY 10006			SVP - Chief Accounting Officer	

Signatures

By: Barbara L. Smithers, by power of attorney 09/10/2018

Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) All sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan entered into by the reporting person.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices from 32.15 to 32.96, inclusive. The reporting person undertakes to provide to ABM Industries Incorporated, any security holder of ABM Industries Incorporated, or staff of the Securities and Exchange Commission, upon request, full information regarding the number shares sold at each separate price within the range set forth in footnote 2 of this Form 4.

Edgar Filing: CHIN DEAN A - Form 4

(3) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices from 33.16 to 33.98, inclusive. The reporting person undertakes to provide to ABM Industries Incorporated, any security holder of ABM Industries Incorporated, or staff of the Securities and Exchange Commission, upon request, full information regarding the number shares sold at each separate price within the range set forth in footnote 3 of this Form 4.

(4) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices from 34.01 to 34.84, inclusive. The reporting person undertakes to provide to ABM Industries Incorporated, any security holder of ABM Industries Incorporated, or staff of the Securities and Exchange Commission, upon request, full information regarding the number shares sold at each separate price within the range set forth in footnote 4 of this Form 4.

(5) Includes 12,560 RSUs, adjusted to reflect the cumulative effect of fractional shares, 1,490 performance shares earned but not vested with respect to TSR performance shares granted on 9/8/2015 and DERs related thereto.

(6) Stock options granted under the 2006 Equity Incentive Plan.

(7) 25% exercisable on 9/13/2012 and 25% on the anniversary date of the following three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.