#### WHITMAN MARGARET C

Form 4 July 26, 2017

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

(City)

1(b).

1. Name and Address of Reporting Person \* WHITMAN MARGARET C

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(First) (Middle)

(Zin)

3. Date of Earliest Transaction

(Check all applicable)

C/O HP INC., 1501 PAGE MILL

(Street)

(State)

(Month/Day/Year) 07/25/2017

HP INC [HPQ]

Director 10% Owner Officer (give title Other (specify below)

6. Individual or Joint/Group Filing(Check

ROAD

4. If Amendment, Date Original

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Filed(Month/Day/Year)

PALO ALTO, CA 94304

(City)	(State)	(Zip) Tak	ole I - Non-	Derivative :	Securi	ities Acquire	ed, Disposed of, o	or Beneficially	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securitie orDisposed o (Instr. 3, 4	f(D)	uired (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	07/25/2017		M	221,960		\$ 6.4	692,849	D	
Common Stock	07/25/2017		S	221,960 (1)	D	\$ 19.3578 (2)	470,889	D	
Common Stock	07/26/2017		M	221,960	A	\$ 6.4	692,849	D	
Common Stock	07/26/2017		S	221,960 (1)	D	\$ 19.292 (3)	470,889	D	
Common Stock							66 (4)	Ι	By Living

Trust

(9-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Am Underlying Sec (Instr. 3 and 4)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title N
Employee Stock Option (right to buy)	\$ 6.4	07/25/2017		M	221,960	09/17/2015(5)	12/05/2020(6)	Common Stock 2
Employee Stock Option (right to buy)	\$ 6.4	07/26/2017		M	221,960	09/17/2015 <u>(5)</u>	12/05/2020 <u>(6)</u>	Common Stock 2

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		

WHITMAN MARGARET C C/O HP INC. 1501 PAGE MILL ROAD PALO ALTO, CA 94304

## **Signatures**

Katie Colendich as Attorney-in-Fact for Margaret C. 07/26/2017 Whitman

> \*\*Signature of Reporting Person Date

2 Reporting Owners

### Edgar Filing: WHITMAN MARGARET C - Form 4

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The sales reported on this Form 4 were effectuated pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on 3/31/2017.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$19.11-\$19.49, inclusive. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$19.18-\$19.46, inclusive. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (4) There is no reportable change since the last filing. This is a reiteration of holdings only.
- (5) This option became exercisable beginning on this date.
- (6) This option is no longer exercisable following this date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.