

POLYONE CORP
Form 4
June 04, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Van Hulle John V

(Last) (First) (Middle)

POLYONE CENTER, 33587
WALKER ROAD

(Street)

AVON LAKE, OH 44012

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
POLYONE CORP [POL]

3. Date of Earliest Transaction (Month/Day/Year)
06/03/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
X Officer (give title below) ___ Other (specify below)

SVP, President of GCAI

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	(A) or (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	Price		
Common Stock	03/24/2015		G ⁽¹⁾	V	7,253	\$ 0	0	D
Common Stock	06/03/2015		M		5,367	\$ 14.81	5,367	D
Common Stock	06/03/2015		D		3,591	\$ 39.38	1,776	D
Common Stock	06/03/2015		M		15,533	\$ 14.61	17,309	D
Common Stock	06/03/2015		D		9,992	\$ 39.38	7,317	D

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Common Stock	06/03/2015	G ⁽¹⁾	V	7,317	D	\$ 0	0	D	
Common Stock	03/24/2015	G ⁽¹⁾	V	7,253	A	\$ 0	41,225	I	by Trust
Common Stock	06/03/2015	G ⁽¹⁾	V	7,317	A	\$ 0	48,542	I	by Trust
Common Stock	06/03/2015	S		12,000	D	\$ 39.3207 <u>(2)</u>	36,542	I	by Trust
Common Stock	06/03/2015	S		8,000	D	\$ 39.0734 <u>(3)</u>	28,542	I	by Trust <u>(4)</u>
Common Stock							10,000	I	Cust of UGMA Acct
Common Stock							3,000	I	by Spouse's IRA

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	
Stock Appreciation Right	\$ 14.81	06/03/2015		M	5,367	<u>(5)</u>	02/16/2021	Common Stock	5,367
Stock Appreciation Rights	\$ 14.61	06/03/2015		M	15,533	<u>(6)</u>	02/14/2022	Common Stock	15,533

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Van Hulle John V POLYONE CENTER 33587 WALKER ROAD AVON LAKE, OH 44012			SVP, President of GCAI	

Signatures

By: Lisa K. Kunkle, Power of Attorney For: John V Van Hulle

06/04/2015

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This transaction involved a gift of securities by the reporting person to a trust, which holds the shares for the benefit of the reporting person's spouse.

The sales price is a weighted average for the sales transactions. The sales prices range from a low of \$39.30 per share to a high of \$39.386 per share. Full information regarding the number of shares sold at each separate price is available upon request by the Commission staff, PolyOne Corporation, or a security holder of PolyOne Corporation.

(2) The sales price is a weighted average for the sales transactions. The sales prices range from a low of \$38.998 per share to a high of \$39.40 per share. Full information regarding the number of shares sold at each separate price is available upon request by the Commission staff, PolyOne Corporation, or a security holder of PolyOne Corporation.

(3) This form does not include 10,000 shares previously reported by the insider as indirectly owned by the insider's daughter.

(4) The stock appreciation rights vest in three equal annual installments beginning February 16, 2012.

SARs become exercisable and vest one-third on the attainment of 10%, 15% and 20% stock appreciation (which must be maintained for a minimum of thirty consecutive trading days) from the grant date closing price of \$14.61 per share, with no more than one-third vesting per year during the first three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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