Advanced Emissions Solutions, Inc.

Form 4

November 19, 2013

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB APPROVAL OMB

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Check this box if no longer subject to Section 16. Form 4 or

Form 5

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction 1(b).

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * Miller Richard

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

Advanced Emissions Solutions, Inc.

(Check all applicable)

[ADES]

(Last) (First) (Middle)

(Street)

(Ctata)

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner X_ Officer (give title _ __Other (specify below) below)

4589 LEHIGH DRIVE

11/18/2013

VP 6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line) _X_ Form filed by One Reporting Person

Form filed by More than One Reporting Person

WALNUTPORT, PA 18088

| (City) | (State) | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | |
|--------------------------------------|-----------------------------------------|----------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------|---------|----------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------------------|--|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transactic Code (Instr. 8) | 4. Securit or Dispos (Instr. 3, 4 | ed of (| ` ' | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common Stock | 11/18/2013 | | A | 13,000 (1) | A | \$ 13.8 (2) | 34,310 (3) (5) | D | | |
| Common Stock | 11/18/2013 | | D | 12,955 (4) | D | \$ 47.0818 | 21,355 (3) | D | | |
| Common Stock | 11/18/2013 | | D | 45 (4) | D | \$ 47.02 | 21,310 (3) | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. | 6. Date Exerc | cisable and | 7. Titl | e and | 8. Price of | 9. Nu |
|-------------|-------------|---------------------|--------------------|-------------|------------|---------------|-------------|---------|--------------|-------------|--------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transaction | orNumber | Expiration D | ate | Amou | nt of | Derivative | Deriv |
| Security | or Exercise | | any | Code | of | (Month/Day/ | Year) | Under | lying | Security | Secui |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Derivative | e | | Securi | ities | (Instr. 5) | Bene |
| | Derivative | | | | Securities | | | (Instr. | 3 and 4) | | Owne |
| | Security | | | | Acquired | | | | | | Follo |
| | | | | | (A) or | | | | | | Repo |
| | | | | | Disposed | | | | | | Trans |
| | | | | | of (D) | | | | | | (Instr |
| | | | | | (Instr. 3, | | | | | | |
| | | | | | 4, and 5) | | | | | | |
| | | | | | | | | | Amount | | |
| | | | | | | | | | Amount | | |
| | | | | | | Date | Expiration | Title | Or | | |
| | | | | | | Exercisable | Date | ritie | Number of | | |
| | | | | Codo V | (A) (D) | | | | | | |
| | | | | Code V | (A) (D) | | | | Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--------------------------------|---------------|-----------|---------|-------|--|--|--|
| 1 | Director | 10% Owner | Officer | Other | | | |
| Miller Richard | | | | | | | |
| 4589 LEHIGH DRIVE | | | VP | | | | |
| WALNUTPORT, PA 18088 | | | | | | | |

Signatures

Richard Miller 11/19/2013

**Signature of Person Date

Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the amount of stock options exercised.
- (2) Represents exercise price.
- (3) Of the amount shown, 5,810 shares are held in the qualified pension plan account of the reporting person and 1,500 sares were issued pursuant to programs under the 2007 Plan, are not fully vested and are subject to certain repurchase rights.
- (4) Represents the number of shares sold upon exercising of stock options.

On July 1, 2013, Advanced Emissions Solutions, Inc., a Delaware corporation ("Issuer"), became the successor of ADA-ES, Inc., a Colorado corporation ("ADA"), pursuant to a reorganization whereby a wholly owned subsidiary of Issuer was merged with and into ADA, with ADA continuing as the surviving entity and becoming a wholly owned subsidiary of Issuer. In the merger, each outstanding

share of ADA common stock, no par value, immediately prior to the merger was automatically converted into one share of common stock, par value \$0.001 per share, of Issuer ("Issuer Common Stock"). All stock-based benefit plans of ADA were assumed by Issuer and, going forward, Issuer Common Stock will be issued upon the vesting of any stock-based awards or the exercise of options to reflect the substitution of the Issuer's Common Stock for ADA's common stock. The reorganization did not alter the proportionate interests of security holders.

Reporting Owners 2

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