NTN BUZZTIME INC Form 8-K April 12, 2017

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, DC 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the

Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): April 10, 2017

NTN BUZZTIME, INC.

(Exact name of Registrant as Specified in Charter)

Delaware001-1146031-1103425(State or Other Jurisdiction(Commission(I.R.S. Employer

of Incorporation) File Number) Identification No.)

Edgar Filing: NTN BUZZTIME INC - Form 8-K

2231 Rutherford Rd. Suite 200Carlsbad, California92008(Address of Principal Executive Offices)(Zip Code)

Registrant's telephone number, including area code: (760) 438-7400

Indicate by check mark whether the registrant is an emerging growth company as defined in as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company []

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. []

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

[] Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

[]Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

[]Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

[]Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 5.03. Amendments to Articles of Incorporation or Bylaws; Change in Fiscal Year

On April 10, 2017, we filed a certificate of decrease with the Secretary of State of the State of Delaware with respect to the shares of our preferred stock designated as Series A Convertible Preferred Stock for the purpose of decreasing the number of shares of Series A stock authorized for issuance from 5,000,000 to 156,112. The effective date of the filing is April 10, 2017. The certificate of decrease is attached as an exhibit to this report and is incorporated herein by reference.

Item 9.01. Financial Statements and Exhibits.

(d) Exhibits

Exhibit No. Description

3.1 Certificate of Decrease of the Series A Convertible Preferred Stock

2

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

NTN BUZZTIME, INC.

Dated: April 12, 2017 By:/s/ Allen Wolff Allen Wolff Chief Financial Officer and Executive Vice President

3