Edgar Filing: Maltezos Louis P - Form 4

| Maltezos Lou Form 4 | uis P | | | | | | | | | |
|---------------------------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------------------------------------------------------------------------------------------------|------------------------|--------------|-----------|------------------------------------------------------------------------------|----------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|------------------|-----------|
| September 20 | 0, 2018 | | | | | | | | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION | | | | | | | OMB AF OMB | PROVAL | | |
| Check this box Washington, D.C. 20549 | | | | | | Number: | 3235-0287 | | | |
| if no longer STATEMENT OF CHANGES IN RENEFICIAL OWNERSHIP OF | | | | | | NERSHIP OF | Expires: | January 31 2005 | | |
| subject to Section 1 Form 4 or Form 5 | tion 16. SECURITIES | | | | | | | Estimated a burden hou response | | |
| obligation may cont <i>See</i> Instru 1(b). | ns inue. Section 17(| (a) of the l | Public Ut | | ling Con | npany | Act of | 1935 or Section | 1 | |
| (Print or Type F | Responses) | | | | | | | | | |
| 1. Name and A Maltezos Lo | ddress of Reporting | Person [*] | Symbol | Name and | | Tradiı | ıg | 5. Relationship of Issuer | Reporting Pers | con(s) to |
| (Last) | (First) (| Middle) | | Earliest Tra | _ | | | (Checl | k all applicable |) |
| (Month/I | | | | /Day/Year) | | | | Director 10% Owner X_ Officer (give title Other (specify below) below) Executive Vice President | | |
| (Street) 4. If Amer | | | endment, Date Original | | | | 6. Individual or Joint/Group Filing(Check | | | |
| FRAMING | HAM, MA 0170 | 1 | Filed(Mon | th/Day/Year) |) | | | Applicable Line) _X_Form filed by C Form filed by M Person | | |
| (City) | (State) | (Zip) | Tabl | e I - Non-D | erivative | Secur | ities Acq | uired, Disposed of | , or Beneficial | ly Owned |
| 1.Title of Security (Instr. 3) 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year) | | 3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) | | | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | | |
| | | | | Code V | Amount | or (D) | Price | Transaction(s) (Instr. 3 and 4) | | |
| Class A Common Stock | 09/19/2018 | | | М | 5,000 | А | \$ 6.06 | 35,000 | D | |
| Class A Common Stock | 09/19/2018 | | | S <u>(1)</u> | 5,000 | D | \$ 13.58 (2) | 30,000 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. D So (I |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------|---------|----------------------------------------------------------------|--------------------|---------------------------------------------------------------------|----------------------------------------|---------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Stock Option (right to purchase) | \$ 6.06 | 09/19/2018 | | М | 5,000 | <u>(3)</u> | 07/22/2019 | Class A Common Stock | 5,000 | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|-----------------------------------------------------------------------------------------------|---------------|-----------|--------------------------------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |
| Maltezos Louis P C/O AMERESCO, INC. 111 SPEEN STREET, SUITE 410 FRAMINGHAM, MA 01701 | | | Executive Vice President | | | |

Signatures

| /s/ David J. Corrsin, | |
|-----------------------|------------|
| attorney-in-fact | 09/20/2018 |

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on December 1, (1) 2017.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$13.45 to \$13.70, inclusive. The reporting person undertakes to provide to Ameresco, Inc., any security holder of Ameresco, Inc., or the staff of

- (2) the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote (2) to this Form 4.
- (3) Of the shares subject to the option, 20% vest on July 22, 2010, and the remainder vest in 16 equal quarterly installments thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.