

Brown Campbell P
Form 4
June 13, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Brown Campbell P

2. Issuer Name and Ticker or Trading Symbol
BROWN FORMAN CORP [BFA, BFB]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
05/30/2018

Director 10% Owner
 Officer (give title below) Other (specify below)

850 DIXIE HIGHWAY

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

LOUISVILLE, KY 40210

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D) Price			
Class A Common	05/30/2018		G	V 1,366,618 D \$ 0	242,858	D	
Class A Common	05/30/2018		G	V 242,858 D \$ 0	0	D	
Class A Common	05/30/2018		G	V 1,366,618 A \$ 0	1,366,618	I	CPBee Family 2018 LLC
Class A Common	05/30/2018		G	V 242,858 A \$ 0	242,858	I	CPBee Endowment 2018 LLC
	05/30/2018		G ⁽¹⁾	V 240,429 D \$ 0	242,858	I	

Edgar Filing: Brown Campbell P - Form 4

Class A Common									CPBee Endowment 2018 LLC
Class A Common	05/30/2018	<u>G⁽¹⁾</u>	V	240,429	A	\$ 0	242,858	I	CPBee Endowment 2018 LLC
Class A Common	05/30/2018	<u>G⁽²⁾</u>	V	953,901	D	\$ 0	963,536	I	Brown Barker 2013 LLC
Class A Common	05/30/2018	<u>G⁽²⁾</u>	V	953,901	A	\$ 0	963,536	I	Brown Barker 2013 LLC
Class B Common	05/30/2018	<u>G</u>	V	293,986	D	\$ 0	720,783 ⁽³⁾	D	
Class B Common	05/30/2018	<u>G</u>	V	293,986	A	\$ 0	293,986	I	CPBee Endowment 2018 LLC
Class B Common	05/30/2018	<u>G</u>	V	5,340	D	\$ 0	30,285	I	Trust FBO Campbell P. Brown
Class B Common	05/30/2018	<u>G</u>	V	5,340	A	\$ 0	299,326	I	CPBee Endowment 2018 LLC
Class B Common	05/30/2018	<u>G⁽¹⁾</u>	V	296,333	D	\$ 0	299,326	I	CPBee Endowment 2018 LLC
Class B Common	05/30/2018	<u>G⁽¹⁾</u>	V	296,333	A	\$ 0	299,326	I	CPBee Endowment 2018 LLC
Class B Common	05/30/2018	<u>G⁽²⁾</u>	V	907,817	D	\$ 0	916,987	I	Brown Barker 2013 LLC
Class B Common	05/30/2018	<u>G⁽²⁾</u>	V	907,817	A	\$ 0	916,987	I	Brown Barker 2013 LLC
Class B Common	05/30/2018	<u>G⁽⁴⁾</u>	V	87,284	D	\$ 0	88,166 ⁽⁵⁾	I	CPBee Charitable 2018 LLC
Class B Common							88,166 ⁽⁵⁾	I	CPBee Sale 2018 LLC
Class A Common							1,059,108	I	CPB 2010 #1 LLC
							142,500	I	

Edgar Filing: Brown Campbell P - Form 4

Class A Common							Trust FBO Campbell P. Brown
Class A Common				142,500	I		Trust FBO Geo Garvin Brown IV (Irrev)
Class B Common				21,239.9324	I		By 401k
Class B Common				45	I		Child #1
Class B Common				45	I		Child #2
Class B Common				264,777	I		CPB 2010 #1 LLC
Class B Common				60,543	I		CPB 2010 #2 LLC
Class B Common				12,241.2376	I		ESPP
Class B Common				35,625	I		Trust FBO Geo Garvin Brown IV (Irrev)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned (Instr. 6)
				Code	V	(A) (D) Date Exercisable	Expiration Date	Title	Amount or Number of

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Brown Campbell P 850 DIXIE HIGHWAY LOUISVILLE, KY 40210	X			

Signatures

Michael E. Carr, Jr., Attorney in Fact for Campbell P.
Brown 06/13/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents a gift of LLC interests to a family trust.
- (2) Represents a gift of LLC interests to CPBee Family 2018 LLC.
- (3) Excludes 88,166 shares that were transferred to CPBee Sale 2018 LLC and 88,166 shares that were transferred to CPBee Charitable 2018 LLC on May 30, 2018.
- (4) Represents a gift of LLC interests to a charitable foundation.
- (5) Shares were previously owned directly until transfer to the LLC on May 30, 2018.

Remarks:

The reporting person disclaims beneficial ownership of shares held by trusts and entities set forth on this form except to the extent otherwise indicated.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.