

Brown George Garvin IV  
 Form 4  
 August 01, 2011

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Brown George Garvin IV

2. Issuer Name and Ticker or Trading Symbol  
 BROWN FORMAN CORP  
 [BFA/BFB]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
 850 DIXIE HWY  
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)  
 07/28/2011

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
 Executive Vice President

LOUISVILLE, KY 40210  
 (City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D) Price			
Class A Common					331,250	D	
Class A Common					381,811	I	George Garvin Brown III Trust UW
Class A Common					2,642,357	I	CBGB LLC
Class A Common					38,447	I	Trust u/a FBO Geo. Garvin

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			Brown IV
Class A Common	353,036	I	GGB4 2010#1 LLC
Class B Common	71,429	I	GGB4 2010 #2 LLC
Class B Common	100,777.7 <sup>(1)</sup>	D	
Class B Common	6,567.644 <sup>(2)</sup>	I	By 401(k) Plan
Class B Common	95,452	I	George Garvin Brown III Trust UW
Class B Common	360,589	I	CBGB, LLC
Class B Common	9,987	I	Trust u/a FBO Geo. Garvin Brown IV

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	8. Amount or Number of Shares	
				Code V (A) (D)		Date Exercisable	Expiration Date	Title	
Non-Qualified Stock Option (right to buy)	\$ 24.7					05/01/2005	04/30/2012	Class B Common	82

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Non-Qualified Stock Option (right to buy)	\$ 30.18					05/01/2006	04/30/2013	Class B Common	1,1
Non-Qualified Stock Option (right to buy)	\$ 35.83					05/01/2007	04/30/2014	Class B Common	1,9
Stock Appreciation Right	\$ 45.53					05/01/2008	04/30/2015	Class B Common	67
Stock Appreciation Right	\$ 55.69					05/01/2009	04/30/2016	Class B Common	92
Stock Appreciation Right	\$ 53.8					05/01/2010	04/30/2017	Class B Common	1,0
Stock Appreciation Right	\$ 56.58					05/01/2011	07/24/2018	Class B Common	96
Stock Appreciation Right	\$ 43.1					05/01/2012	04/30/2019	Class B Common	2,9
Restricted Stock Unit	(3)					(4)	(4)	Class B Common	54
Restricted Stock Unit	(3)	07/28/2011		A	2,078	(5)	(5)	Class B Common	2,0

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Brown George Garvin IV 850 DIXIE HWY LOUISVILLE, KY 40210	X		Executive Vice President	

## Signatures

Diane M. Barhorst, Atty. in Fact for George Garvin Brown IV 08/01/2011

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes shares held under the Brown-Forman Dividend Reinvestment Plan as of July 28, 2011.

(2) Held in 401(k) plan as of July 28, 2011.

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- (3) Each restricted stock unit represents a contingent right to receive one share of Brown-Forman Class B common stock.
- (4) The restricted stock units were granted July 22, 2010, and vest April 30, 2014.
- (5) The restricted stock units vest April 30, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.