

Rexnord Corp  
Form 4  
May 04, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
ADAMS TODD A.

(Last) (First) (Middle)  
4701 WEST GREENFIELD AVENUE  
(Street)

MILWAUKEE, WI 53214

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
Rexnord Corp [RXN]

3. Date of Earliest Transaction (Month/Day/Year)  
05/02/2016

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
President & CEO

6. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code	V	Amount or Price				
Common Stock	05/02/2016		M <sup>(1)</sup>		25,000 <sup>(1)</sup>	A	\$ 4.79 94,200	D	
Common Stock	05/02/2016		S <sup>(1)</sup>		25,000 <sup>(1)</sup>	D	\$ 21.8066 <sup>(2)</sup> 69,200	D	
Common Stock							3,690	I	By 401(k) Plan <sup>(3)</sup>
Common Stock							1,200	I	By SEP IRA

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Stock Option (right to buy)	\$ 4.79	05/02/2016		M <sup>(1)</sup>	25,000 <sup>(1)</sup>	<sup>(4)</sup>	07/21/2016	Common Stock	25,000
Stock Option (right to buy)	\$ 4.79					<sup>(4)</sup>	04/19/2017	Common Stock	165,100
Stock Option (right to buy)	\$ 9.609					<sup>(4)</sup>	06/24/2018	Common Stock	89,900
Stock Option (right to buy)	\$ 4.804					<sup>(4)</sup>	07/30/2019	Common Stock	224,700
Stock Option (right to buy)	\$ 4.804					<sup>(4)</sup>	09/11/2019	Common Stock	499,500
Stock Option (right to buy)	\$ 8.888					<sup>(4)</sup>	10/29/2020	Common Stock	166,500
Stock Option (right to buy)	\$ 18					03/29/2012 <sup>(5)</sup>	03/29/2022	Common Stock	937,000

# Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ADAMS TODD A. 4701 WEST GREENFIELD AVENUE MILWAUKEE, WI 53214	X		President & CEO	

# Signatures

/s/ Jeffrey J. LaValle under Power of Attorney for Todd A. Adams  
 Adams 05/03/2016

\_\_Signature of Reporting Person
Date

# Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transactions were executed pursuant to a Rule 10b5-1 plan.  
 This transaction was executed in multiple trades at prices ranging from \$21.61 to \$21.97. The price reported above reflects the weighted average sale price. The Reporting Person hereby undertakes to provide upon request to the SEC staff, the Issuer or a security holder of the Issuer full information regarding the number of shares and prices at which the transaction was effectuated.
- (2) Based on information from the trustee of the 401(k) Plan.
- (3) Option fully vested.
- (4) One half of the original option vested three years from the date listed above and the other half vests five years from the date listed above.

## Remarks:

Pursuant to the Reporting Person's Rule 10b5-1 plan, options that were nearing expiration were exercised and the underlying s

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