

Robie Robert J.
Form 4
March 29, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Robie Robert J.

2. Issuer Name and Ticker or Trading Symbol
FACTSET RESEARCH SYSTEMS INC [FDS]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)
 Director 10% Owner
 Officer (give title below) Other (specify below)
EVP, Head of Trading&Analytics

(Last) (First) (Middle)
C/O FACTSET RESEARCH SYSTEMS INC., 601 MERRITT 7
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
03/27/2019

NORWALK, CT 06851

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | | (A) or (D) | Price | | |
| Common Stock | 03/27/2019 | | M | A | \$ 2,720 102.01 | 4,331 | D |
| Common Stock | 03/27/2019 | | M | A | \$ 934 159.14 | 5,265 | D |
| Common Stock | 03/27/2019 | | M | A | \$ 1,467 175.2 | 6,732 | D |
| Common Stock | 03/27/2019 | | M | A | \$ 963 152.28 | 7,695 | D |
| Common Stock | 03/27/2019 | | M | A | \$ 2,324 166.18 | 10,019 | D |

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| | | | | | | | |
|--------------|------------|---|-------|---|--------------------------|--------|---|
| Common Stock | 03/27/2019 | M | 1,038 | A | \$ 189.98 | 11,057 | D |
| Common Stock | 03/27/2019 | S | 800 | D | \$ 239.55 <u>(1)</u> | 10,257 | D |
| Common Stock | 03/27/2019 | S | 700 | D | \$ 240.83 <u>(2)</u> | 9,557 | D |
| Common Stock | 03/27/2019 | S | 920 | D | \$ 241.99 <u>(3)</u> | 8,637 | D |
| Common Stock | 03/27/2019 | S | 300 | D | \$ 243.12 <u>(4)</u> | 8,337 | D |
| Common Stock | 03/27/2019 | S | 934 | D | \$ 243.07 <u>(5)</u> | 7,403 | D |
| Common Stock | 03/27/2019 | S | 504 | D | \$ 239.55 <u>(6)</u> | 6,899 | D |
| Common Stock | 03/27/2019 | S | 300 | D | \$ 240.85 <u>(7)</u> | 6,599 | D |
| Common Stock | 03/27/2019 | S | 500 | D | \$ 241.91 <u>(8)</u> | 6,099 | D |
| Common Stock | 03/27/2019 | S | 163 | D | \$ 243.09 <u>(9)</u> | 5,936 | D |
| Common Stock | 03/27/2019 | S | 963 | D | \$ 241.71 | 4,973 | D |
| Common Stock | 03/27/2019 | S | 600 | D | \$ 239.52 <u>(10)</u> | 4,373 | D |
| Common Stock | 03/27/2019 | S | 510 | D | \$ 240.47 <u>(11)</u> | 3,863 | D |
| Common Stock | 03/27/2019 | S | 700 | D | \$ 241.7 <u>(12)</u> | 3,163 | D |
| Common Stock | 03/27/2019 | S | 414 | D | \$ 242.51 <u>(13)</u> | 2,749 | D |
| | 03/27/2019 | S | 100 | D | | 2,649 | D |

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| | | | | | | | |
|--------------|------------|---|-----|---|-------------------|-------|---|
| Common Stock | | | | | \$ 243.47 | | |
| Common Stock | 03/27/2019 | S | 438 | D | \$ 240.81 (14) | 2,211 | D |
| Common Stock | 03/27/2019 | S | 600 | D | \$ 242.82 (15) | 1,611 | D |
| Common Stock | 03/27/2019 | S | 512 | D | \$ 239.71 (16) | 1,099 | D |
| Common Stock | 03/27/2019 | S | 301 | D | \$ 240.71 (17) | 798 | D |
| Common Stock | 03/27/2019 | S | 200 | D | \$ 241.93 (18) | 598 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Employee Stock Option (right to buy) | \$ 102.01 | 03/27/2019 | | M | 2,720 | (19) 02/03/2024 | Common Stock | 2,720 |
| Employee Stock Option | \$ 159.14 | 03/27/2019 | | M | 934 | (20) 05/01/2025 | Common Stock | 934 |

(right to
buy)

Employee

Stock

Option

\$ 175.2

03/27/2019

M

1,467

(21)

11/02/2025

Common
Stock

1,467

(right to
buy)

Employee

Stock

Option

\$ 152.28

03/27/2019

M

963

(22)

11/01/2026

Common
Stock

963

(right to
buy)

Employee

Stock

Option

\$ 166.18

03/27/2019

M

2,324

(23)

06/30/2027

Common
Stock

2,324

(right to
buy)

Employee

Stock

Option

\$ 189.98

03/27/2019

M

1,038

(24)

11/02/2027

Common
Stock

1,038

(right to
buy)

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Robie Robert J.
C/O FACTSET RESEARCH SYSTEMS INC.
601 MERRITT 7
NORWALK, CT 06851

EVP, Head of Trading&Analytics

Signatures

/s/ Robert J.

03/29/2019

Robie

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Price reported in Column 4 is a weighted average price based on various sales prices within a range. For the shares aggregating 800, the per share sales prices ranged from \$239.14 to \$240.13. The reporting person undertakes to provide FactSet, any security holder of FactSet and the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold in each separate price within the ranges set forth in this footnote to this Form 4.
- (2) Price reported in Column 4 is a weighted average price based on various sales prices within a range. For the shares aggregating 700, the per share sales prices ranged from \$240.32 to \$241.30. The reporting person undertakes to provide FactSet, any security holder of

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- (16) Price reported in Column 4 is a weighted average price based on various sales prices within a range. For the shares aggregating 512, the per share sales prices ranged from \$239.17 to \$240.11. The reporting person undertakes to provide FactSet, any security holder of FactSet and the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold in each separate price within the ranges set forth in this footnote to this Form 4.
- (17) Price reported in Column 4 is a weighted average price based on various sales prices within a range. For the shares aggregating 301, the per share sales prices ranged from \$240.28 to \$240.99. The reporting person undertakes to provide FactSet, any security holder of FactSet and the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold in each separate price within the ranges set forth in this footnote to this Form 4.
- (18) Price reported in Column 4 is a weighted average price based on various sales prices within a range. For the shares aggregating 200, the per share sales prices ranged from \$241.48 to \$242.38. The reporting person undertakes to provide FactSet, any security holder of FactSet and the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold in each separate price within the ranges set forth in this footnote to this Form 4.
- (19) Options granted on February 3, 2014 vest over a 5 year period with 60% exercisable three years after the grant date and the remaining 40% exercisable five years after the grant date.
- (20) Options granted on May 1, 2015 vest over a 5 year period with 60% exercisable three years after the grant date and the remaining 40% exercisable five years after the grant date.
- (21) Options granted on November 2, 2015 vest 20% annually on the anniversary date of the grant and are fully vested after five years.
- (22) Options granted on November 1, 2016 vest 20% annually on the anniversary date of the grant and are fully vested after five years.
- (23) Options granted on June 30, 2017 vest 20% annually on the anniversary date of the grant and are fully vested after five years.
- (24) Options granted on November 2, 2017 vest 20% annually on the anniversary date of the grant and are fully vested after five years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.