

Steel Excel Inc.
Form 15-12G
April 04, 2016
UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 15

CERTIFICATION AND NOTICE OF TERMINATION OF REGISTRATION UNDER SECTION 12(g) OF THE SECURITIES EXCHANGE ACT OF 1934 OR SUSPENSION OF DUTY TO FILE REPORTS UNDER SECTIONS 13 AND 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934.

Commission File Number: 0-15071

STEEL EXCEL INC.

(Exact name of registrant as specified in its charter)

1133 Westchester Avenue, Suite N222

White Plains, New York 10604

(914) 461-1300

(Address, including zip code, and telephone number, including area code, of registrant's principal executive offices)

Common Stock, \$0.001 par value per share

Preferred Stock Purchase Rights

(Title of each class of securities covered by this Form)

None

(Titles of all other classes of securities for which a duty to file reports under section 13(a) or 15(d) remains)

Edgar Filing: Steel Excel Inc. - Form 15-12G

Please place an X in the box(es) to designate the appropriate rule provision(s) relied upon to terminate or suspend the duty to file reports:

Rule 12g-4(a)(1) ☒ x

Rule 12g-4(a)(2) ☐ o

Rule 12h-3(b)(1)(i) ☐ o

Rule 12h-3(b)(1)(ii) ☐ o

Rule 15d-6 ☐ o

Rule 15d-22(b) ☐ o

Approximate number of holders of record as of the certification or notice date: 114

Pursuant to the requirements of the Securities Exchange Act of 1934, Steel Excel Inc. has duly caused this certification/notice to be signed on its behalf by the undersigned duly authorized person.

By: /s/Jack L. Howard

Name: Jack L. Howard

Title: Vice Chairman

(Principal Executive
Officer)

Date: April 1, 2016

Instruction: This form is required by Rules 12g-4, 12h-3 and 15d-6 and Rule 15d-22(b) of the General Rules and Regulations under the Securities Exchange Act of 1934. The registrant shall file with the Commission three copies of Form 15, one of which shall be manually signed. It may be signed by an officer of the registrant, by counsel or by any other duly authorized person. The name and title of the person signing the form shall be typed or printed under the signature.