

Harvey Charles A  
 Form 4  
 February 03, 2010

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Harvey Charles A

(Last) (First) (Middle)  
 5757 N. GREEN BAY AVENUE, P.O. BOX 591  
 (Street)

MILWAUKEE, WI 53201-0591

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
 JOHNSON CONTROLS INC [JCI]

3. Date of Earliest Transaction (Month/Day/Year)  
 02/01/2010

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
 Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 \_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
| Common Stock                    | 02/01/2010                           |  | A                              | V   | 87.633 A \$ 28.528  | D  |  |
| Common Stock                    |                                      |  |                                |   | 37,058.384 (1)  | I  | By 401(k) Plan Trust                       |
|                                 |                                      |  |                                |   | 7,368.268 (2)   | I  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3)  | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |              |                      |
|---|--|--------------------------------------|--|--------------------------------|---|--|---|--------------|----------------------|
|   |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title        | Amount Number Shares |
| Phantom Stock Units - Restricted Stock Plan | (3)  |                                      |  |                                |   | (4)  | (4)   | Common Stock | 6,530                |
| Phantom Stock Units - Restricted Stock Plan | (3)  |                                      |  |                                |   | (5)  | (5)   | Common Stock | 223,000              |
| Phantom Stock Units/Annual Incentive Plan   | (3)  |                                      |  |                                |   | (6)  | (6)   | Common Stock | 2,760                |
| Employee Stock Option (Right to Buy)        | \$ 17.5167   |                                      |  |                                |   | 11/19/2005   | 11/19/2013  | Common Stock | 40,600               |
| Employee Stock Option (Right to Buy)        | \$ 20.5633   |                                      |  |                                |   | 11/17/2006   | 11/17/2014  | Common Stock | 35,700               |
| Employee Stock Option (Right to Buy)        | \$ 22.5617   |                                      |  |                                |   | 11/16/2007   | 11/16/2015  | Common Stock | 72,000               |
| Employee Stock Option (Right to Buy)        | \$ 23.965  |                                      |  |                                |   | 10/02/2008   | 10/02/2016  | Common Stock | 60,000               |
| Employee Stock Option (Right to Buy)        | \$ 40.21   |                                      |  |                                |   | 10/01/2009 <sup>(7)</sup>                                | 10/01/2017  | Common Stock | 42,000               |

Buy)

|   |          |                           |            |                 |      |
|---|----------|---------------------------|------------|-----------------|------|
| Employee<br>Stock Option<br>(Right to<br>Buy) | \$ 28.79 | 10/01/2010 <sup>(7)</sup> | 10/01/2018 | Common<br>Stock | 54,0 |
|---|----------|---------------------------|------------|-----------------|------|

|   |          |                           |            |                 |      |
|---|----------|---------------------------|------------|-----------------|------|
| Employee<br>Stock Option<br>(Right to<br>Buy) | \$ 24.87 | 10/01/2011 <sup>(7)</sup> | 10/01/2019 | Common<br>Stock | 54,0 |
|---|----------|---------------------------|------------|-----------------|------|

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                |       |
|--|---------------|-----------|----------------|-------|
|  | Director      | 10% Owner | Officer        | Other |
| Harvey Charles A<br>5757 N. GREEN BAY AVENUE<br>P.O. BOX 591<br>MILWAUKEE, WI 53201-0591 |               |           | Vice President |       |

## Signatures

|  |            |
|--|------------|
| Angela M. Blair, Attorney-in-Fact for Charles A.<br>Harvey | 02/03/2010 |
|--|------------|

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes 4,500 shares of restricted stock which vest on November 1, 2011.

(2) The number of underlying securities is based on the stock fund balance on February 1, 2010. The actual number of shares issuable upon the distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market fund. The stock account balance reflected in this report is based on a February 1, 2010, stock fund price of \$28.73 per share.

(3) Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock.

(4) Consists of an award of 6,500 restricted stock units granted on November 2, 2009, which vest as follows: 3,250 units on November 2, 2011, and 3,250 units on November 2, 2013. The phantom stock units representing the award and the dividends which accrue during the restrictive period are to be settled 100% in cash, pending vesting.

(5) The restricted stock units represent the reinvestment of dividends which are accruing under the Johnson Controls deferred Restricted Stock Plan and are to be settled 100% in cash upon the vesting of the restricted stock grant to which they relate.

(6) Shares of phantom stock are payable in cash following the reporting person's termination of employment with the issuer and may be transferred by the reporting person into an alternative investment account at any time.

(7) Fifty percent of the options become exercisable two years after the grant date; the remaining 50%, three years after grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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