

Clough Global Opportunities Fund
Form N-PX
August 23, 2017

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED
MANAGEMENT INVESTMENT COMPANY

Investment Company Act file number: 811-21846

CLOUGH GLOBAL OPPORTUNITIES FUND
(Exact name of registrant as specified in charter)

1290 Broadway, Suite 1100, Denver, Colorado 80203
(Address of principal executive offices) (Zip code)

Sareena Khwaja-Dixon, Esq.
Clough Global Opportunities Fund
1290 Broadway, Suite 1100
Denver, Colorado 80203
(Name and address of agent for service)

Registrant's Telephone Number, including Area Code: (303) 623-2577

Date of fiscal year end: October 31

Date of reporting period: July 1, 2016 – June 30, 2017

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Item 1 – Proxy Voting Record.

Vote Summary

AKORN, INC.

Security	009728106	Meeting Type	Annual
Ticker Symbol	AKRX	Meeting Date	01-Jul-2016
ISIN	US0097281069	Agenda	934429437 - Management
Record Date	11-May-2016	Holding Recon Date	11-May-2016
City / Country	/ United States	Vote Deadline Date	30-Jun-2016

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 JOHN KAPOOR, PHD		For	For
	2 KENNETH ABRAMOWITZ		For	For
	3 ADRIENNE GRAVES, PHD		For	For
	4 RONALD JOHNSON		For	For
	5 STEVEN MEYER		For	For
	6 TERRY ALLISON RAPPUHN		For	For
	7 BRIAN TAMBI		For	For
	8 ALAN WEINSTEIN		For	For
2.	PROPOSAL TO RATIFY THE APPOINTMENT OF BDO USA, LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR YEAR ENDING DECEMBER 31, 2016.	Management	For	For
3.	PROPOSAL TO APPROVE, THROUGH A NON- BINDING ADVISORY VOTE, THE COMPANY'S EXECUTIVE COMPENSATION PROGRAM AS DESCRIBED IN THE	Management	For	For

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COMPANY'S 2016 PROXY
STATEMENT.

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	9,000	18,800	21-May-2016	21-May-2016
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	5,500	46,700	21-May-2016	21-May-2016
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	5,200	111,100	21-May-2016	21-May-2016

ARMSTRONG WORLD INDUSTRIES, INC.

Security	04247X102	Meeting Type	Annual
Ticker Symbol	AWI	Meeting Date	08-Jul-2016
ISIN	US04247X1028	Agenda	934420237 - Management
Record Date	15-Apr-2016	Holding Recon Date	15-Apr-2016
City / Country	/ United States	Vote Deadline Date	07-Jul-2016

SEDOL(s) Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 STAN A. ASKREN		For	For
	2 VICTOR D. GRIZZLE		For	For
	3 TAO HUANG		For	For
	4 LARRY S. MCWILLIAMS		For	For

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5	JAMES C. MELVILLE	For	For
6	JAMES J. O'CONNOR	For	For
7	JOHN J. ROBERTS	For	For
8	GREGORY P. SPIVY	For	For
9	CHERRYL T. THOMAS	For	For

2. TO RATIFY THE SELECTION OF KPMG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2016. Management For For

3. TO APPROVE OF THE ARMSTRONG WORLD INDUSTRIES, INC. 2016 DIRECTORS' STOCK UNIT PLAN. Management For For

4. TO APPROVE OF THE ARMSTRONG WORLD INDUSTRIES, INC. 2016 LONG-TERM INCENTIVE PLAN. Management For For

Account Number	Account Name	Internal Account	Ballot Custodian Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO 113,400	0	07-May-2016	11-Jul-2016
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO 27,400	0	07-May-2016	11-Jul-2016
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO 45,200	0	07-May-2016	11-Jul-2016

CHINA LONGYUAN POWER GROUP CORPORATION LTD

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Security	Y1501T101	Meeting Type	ExtraOrdinary General Meeting
Ticker Symbol		Meeting Date	12-Aug-2016
ISIN	CNE100000HD4	Agenda	707215744 - Management
Record Date	11-Jul-2016	Holding Recon Date	11-Jul-2016
City / Country	BEIJING / China	Vote Deadline Date	08-Aug-2016
SEDOL(s)	B3MFW30 - B4Q2TX3 - B4XWG35 - BP3RS86	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- http://www.hkexnews.hk/listedco/listconews/SEHK/2016/0624/LTN20160624371.pdf ,- http://www.hkexnews.hk/listedco/listconews/SEHK/2016/0624/LTN20160624389.pdf	Non-Voting		
1	TO CONSIDER AND APPROVE THE APPOINTMENT OF MR. LUAN BAOXING AS A NONEXECUTIVE DIRECTOR OF THE COMPANY TO FILL THE VACANCY LEFT BY RESIGNATION OF MR. SHAO GUOYONG, WITH EFFECT FROM THE DATE OF THE EGM WHEN THE NOMINATION IS APPROVED BY THE SHAREHOLDERS OF THE COMPANY AND UNTIL THE EXPIRATION OF THE TERM OF THE CURRENT SESSION OF THE BOARD OF DIRECTORS OF THE COMPANY (THE "BOARD"). MEANWHILE, TO AUTHORIZE THE REMUNERATION AND	Management	For	For

ASSESSMENT COMMITTEE
OF THE BOARD TO
DETERMINE THE
REMUNERATION OF THE
NEW DIRECTORS
ACCORDING TO THE
DIRECTORS' AND
SUPERVISORS'
REMUNERATION PLAN FOR
THE YEAR 2016 AS
APPROVED AT THE
ANNUAL GENERAL
MEETING UPON THE
CANDIDATES FOR THE NEW
DIRECTORS AS APPROVED
AT THE EGM, AND TO
AUTHORIZE THE
CHAIRMAN OF THE BOARD
OF THE COMPANY OR ANY
ONE OF THE EXECUTIVE
DIRECTORS TO ENTER INTO
A SERVICE CONTRACT
WITH EACH OF THE NEW
DIRECTORS AND HANDLE
OTHER RELEVANT
MATTERS ON BEHALF OF
THE COMPANY UPON THE
CANDIDATES FOR THE NEW
DIRECTORS BEING
APPROVED AT THE EGM

TO CONSIDER AND
APPROVE THE
APPOINTMENT OF MR.
YANG XIANGBIN AS A
NONEXECUTIVE DIRECTOR
OF THE COMPANY TO FILL
THE VACANCY LEFT BY
RESIGNATION OF MR. CHEN
JINGDONG, WITH EFFECT
FROM THE DATE OF THE
EGM WHEN THE
NOMINATION IS APPROVED
BY THE SHAREHOLDERS OF
THE COMPANY AND UNTIL
THE EXPIRATION OF THE
TERM OF THE CURRENT
SESSION OF THE BOARD.
MEANWHILE, TO
AUTHORIZE THE
REMUNERATION AND

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Management For For

ASSESSMENT COMMITTEE
 OF THE BOARD TO
 DETERMINE THE
 REMUNERATION OF THE
 NEW DIRECTORS
 ACCORDING TO THE
 DIRECTORS' AND
 SUPERVISORS'
 REMUNERATION PLAN FOR
 THE YEAR 2016 AS
 APPROVED AT THE
 ANNUAL GENERAL
 MEETING UPON THE
 CANDIDATES FOR THE NEW
 DIRECTORS AS APPROVED
 AT THE EGM, AND TO
 AUTHORIZE THE
 CHAIRMAN OF THE BOARD
 OF THE COMPANY OR ANY
 ONE OF THE EXECUTIVE
 DIRECTORS TO ENTER INTO
 A SERVICE CONTRACT
 WITH EACH OF THE NEW
 DIRECTORS AND HANDLE
 OTHER RELEVANT
 MATTERS ON BEHALF OF
 THE COMPANY UPON THE
 CANDIDATES FOR THE NEW
 DIRECTORS BEING
 APPROVED AT THE EGM.

Account Number	Account Name	Internal Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
GLV	GLV	STATE STREET BANK	71,000	0	25-Jun-2016	10-Aug-2016
GLQ	GLQ	STATE STREET BANK	253,000	0	25-Jun-2016	10-Aug-2016
GLO	GLO	STATE STREET BANK	161,000	0	25-Jun-2016	10-Aug-2016

LIBERTY MEDIA CORPORATION

Security	531229409	Meeting Type	Annual
Ticker Symbol	LSXMA	Meeting Date	23-Aug-2016

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ISIN	US5312294094	Agenda	934458870 - Management
Record Date	01-Jul-2016	Holding Recon Date	01-Jul-2016
City / Country	/ United States	Vote Deadline Date	22-Aug-2016

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 JOHN C. MALONE		For	For
	2 ROBERT R. BENNETT		For	For
	3 M. IAN G. GILCHRIST		For	For
2.	A PROPOSAL TO RATIFY THE SELECTION OF KPMG LLP AS OUR INDEPENDENT AUDITORS FOR THE FISCAL YEAR ENDING DECEMBER 31, 2016.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	1,656	113,800	09-Jul-2016	24-Aug-2016
997AL61	GLQ MS PLEDGE	997AL61	STATE STREET BANK & TRUST CO	9,168	0	09-Jul-2016	24-Aug-2016

LIBERTY INTERACTIVE CORPORATION

Security	53071M880	Meeting Type	Annual
Ticker Symbol	LVNTA	Meeting Date	23-Aug-2016
ISIN	US53071M8800	Agenda	934458882 - Management
Record Date	01-Jul-2016	Holding Recon Date	01-Jul-2016
City / Country	/ United States	Vote Deadline Date	22-Aug-2016

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SEDOL(s)			Quick Code	
Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 JOHN C. MALONE		For	For
	2 M. IAN G. GILCHRIST		For	For
	3 MARK C. VADON		For	For
	4 ANDREA L. WONG		For	For
2.	A PROPOSAL TO RATIFY THE SELECTION OF KPMG LLP AS OUR INDEPENDENT AUDITORS FOR THE FISCAL YEAR ENDING DECEMBER 31, 2016.	Management	For	For
3.	A PROPOSAL TO ADOPT THE LIBERTY INTERACTIVE CORPORATION 2016 OMNIBUS INCENTIVE PLAN.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	997GLV	STATE STREET BANK & TRUST CO	24,200	0	09-Jul-2016	24-Aug-2016
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	26,100	0	09-Jul-2016	24-Aug-2016
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	65,800	0	09-Jul-2016	24-Aug-2016
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK	10,872	0	09-Jul-2016	24-Aug-2016

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Item	Proposal	Security	Quantity	Value	Start Date	End Date
997AL47	GLQ BNP PLEDGE	997AL47	3,403	64,000	09-Jul-2016	24-Aug-2016
		& TRUST CO STATE STREET BANK &				
997AL49	GLO BNP PLEDGE	997AL49	14,471	109,900	09-Jul-2016	24-Aug-2016
		TRUST CO STATE STREET BANK &				
997AL60	GLV MS PLEDGE	997AL60	24,000	0	09-Jul-2016	24-Aug-2016
		TRUST CO STATE STREET BANK &				

VIASAT, INC.

Security	92552V100	Meeting Type	Annual
Ticker Symbol	VSAT	Meeting Date	08-Sep-2016
ISIN	US92552V1008	Agenda	934463643 - Management
Record Date	15-Jul-2016	Holding Recon Date	15-Jul-2016
City / Country	/ United States	Vote Deadline Date	07-Sep-2016

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 RICHARD BALDRIDGE		For	For
	2 B. ALLEN LAY		For	For
	3 DR. JEFFREY NASH		For	For
2.	RATIFICATION OF APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS VIASAT'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM	Management	For	For

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FOR FISCAL YEAR 2017

3. ADVISORY VOTE ON EXECUTIVE COMPENSATION Management For For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	149	34,500	26-Jul-2016	09-Sep-2016
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	142	63,600	26-Jul-2016	09-Sep-2016
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	135	145,600	26-Jul-2016	09-Sep-2016

HILTON WORLDWIDE HOLDINGS INC.

Security	43300A104	Meeting Type	Special
Ticker Symbol	HLT	Meeting Date	04-Oct-2016
ISIN	US43300A1043	Agenda	934479216 - Management
Record Date	26-Aug-2016	Holding Recon Date	26-Aug-2016
City / Country	/ United States	Vote Deadline Date	03-Oct-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	TO APPROVE AND ADOPT AN AMENDMENT TO THE COMPANY'S CERTIFICATE OF INCORPORATION TO EFFECT A REVERSE STOCK SPLIT AND DECREASE	Management	For	For

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AUTHORIZED SHARES.

2. TO APPROVE AN
ADJOURNMENT OF THE Management For For
SPECIAL MEETING.

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	997GLV	STATE STREET BANK & TRUST CO	20,000	0	09-Sep-2016	05-Oct-2016
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	30,000	0	09-Sep-2016	05-Oct-2016
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	2,400	0	09-Sep-2016	05-Oct-2016
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	100	159,900	09-Sep-2016	05-Oct-2016
997AL60	GLV MS PLEDGE	997AL60	STATE STREET BANK & TRUST CO	19,800	0	09-Sep-2016	05-Oct-2016
997AL61	GLQ MS PLEDGE	997AL61	STATE STREET BANK & TRUST CO	34,300	0	09-Sep-2016	05-Oct-2016

SINOPHARM GROUP CO. LTD.

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Security	Y8008N107	Meeting Type	ExtraOrdinary General Meeting
Ticker Symbol		Meeting Date	18-Oct-2016
ISIN	CNE100000FN7	Agenda	707423264 - Management
Record Date	15-Sep-2016	Holding Recon Date	15-Sep-2016
City / Country	SHANGHAI / China	Vote Deadline Date	04-Oct-2016
SEDOL(s)	B3ZVDV0 - B4M8B73 - B5NVZ21 - BP3RXT2	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE THAT THIS IS AN AMENDMENT TO MEETING ID 675300 DUE TO ADDITION OF- RESOLUTION 2. ALL VOTES RECEIVED ON THE PREVIOUS MEETING WILL BE DISREGARDED-AND YOU WILL NEED TO REINSTRUCT ON THIS MEETING NOTICE. THANK YOU	Non-Voting		
CMMT	NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING ON THE-URL LINKS:- http://www.hkexnews.hk/listedco/listconews/sehk/2016/0930/ltm20160930476.pdf ,- http://www.hkexnews.hk/listedco/listconews/sehk/2016/0930/ltm20160930421.pdf ,- http://www.hkexnews.hk/listedco/listconews/sehk/2016/0901/ltm201609011181.pdf -AND- http://www.hkexnews.hk/listedco/listconews/sehk/2016/0901/ltm201609011318.pdf	Non-Voting		
CMMT	PLEASE NOTE IN THE HONG KONG MARKET THAT A VOTE OF "ABSTAIN" WILL BE TREATED-THE SAME AS A "TAKE NO ACTION" VOTE	Non-Voting		

- | | | | | |
|---|--|------------|-----|-----|
| 1 | <p>TO CONSIDER AND, IF
THOUGHT FIT, TO
APPROVE: "THAT (I) THE
ADOPTION OF THE SCHEME
(AS DEFINED IN THE
CIRCULAR DATED 1
SEPTEMBER 2016
DESPATCHED TO THE
SHAREHOLDERS OF THE
COMPANY) BY THE
COMPANY AND THE
DELEGATION OF
AUTHORIZATION TO ANY
DIRECTOR OF THE
COMPANY OR AUTHORIZED
REPRESENTATIVE OF THE
BOARD TO EXECUTE ANY
DOCUMENTS AND
INSTRUMENTS AS MAY BE
NECESSARY OR
INCIDENTAL TO THE
ADOPTION OF THE SCHEME
AND TO DO ALL SUCH ACTS
AND THINGS AS THEY
CONSIDER NECESSARY OR
EXPEDIENT OR DESIRABLE
IN CONNECTION WITH THE
IMPLEMENTATION OF THE
SCHEME; AND (II) THE
BOARD BE AND IS HEREBY
AUTHORIZED TO
IMPLEMENT THE SCHEME
AS WELL AS TO APPROVE
GRANTS OF RESTRICTED
SHARES UNDER THE
SCHEME FROM TIME TO
TIME (INCLUDING BUT NOT
LIMITED TO THE INITIAL
GRANT OF THE SCHEME)"</p> | Management | For | For |
| 2 | <p>TO CONSIDER AND, IF
THOUGHT FIT, TO APPROVE
THE APPOINTMENT OF MR.
MA PING AS A NON-
EXECUTIVE DIRECTOR OF
THE COMPANY, TO
AUTHORISE THE BOARD OF
DIRECTORS OF THE
COMPANY TO DETERMINE
HIS REMUNERATION, AND
TO AUTHORISE ANY</p> | Management | For | For |

EXECUTIVE DIRECTOR OF
THE COMPANY TO
EXECUTE A SERVICE
CONTRACT OR SUCH
OTHER DOCUMENTS OR
SUPPLEMENTAL
AGREEMENTS OR DEEDS
ON BEHALF OF THE
COMPANY

Account Number	Account Name	Internal Account	Ballot Custodian Shares	Unavailable Shares	Vote Date	Date Confirmed
GLV	GLV	STATE STREET BANK	42,400	0	01-Oct-2016	12-Oct-2016
GLQ	GLQ	STATE STREET BANK	236,800	0	01-Oct-2016	12-Oct-2016
GLO	GLO	STATE STREET BANK	183,600	0	01-Oct-2016	12-Oct-2016

BYD COMPANY LTD, SHENZHEN

Security	Y1023R104	Meeting Type	ExtraOrdinary General Meeting
Ticker Symbol		Meeting Date	01-Nov-2016
ISIN	CNE100000296	Agenda	707516110 - Management
Record Date	30-Sep-2016	Holding Recon Date	30-Sep-2016
City / Country	SHENZHEN / China	Vote Deadline Date	04-Oct-2016
SEDOL(s)	6536651 - B01XKF2 - B0WVS95 - BD8GJR0	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE THAT THIS IS AN AMENDMENT TO MEETING ID 681715 DUE TO ADDITION OF- RESOLUTION 3. ALL VOTES RECEIVED ON THE PREVIOUS MEETING	Non-Voting		

WILL BE DISREGARDED-IF
 VOTE DEADLINE
 EXTENSIONS ARE
 GRANTED. THEREFORE
 PLEASE REINSTRUCT ON
 THIS- MEETING NOTICE ON
 THE NEW JOB. IF HOWEVER
 VOTE DEADLINE
 EXTENSIONS ARE
 NOT-GRANTED IN THE
 MARKET, THIS MEETING
 WILL BE INACTIVATED
 AND YOUR
 VOTE-INTENTIONS ON THE
 ORIGINAL MEETING WILL
 BE APPLICABLE. PLEASE
 ENSURE VOTING-IS
 SUBMITTED PRIOR TO
 CUTOFF ON THE ORIGINAL
 MEETING, AND AS SOON AS
 POSSIBLE-ON THIS NEW
 AMENDED MEETING.
 THANK YOU.

PLEASE NOTE THAT THE
 COMPANY NOTICE AND
 PROXY FORM ARE
 AVAILABLE BY
 CLICKING-ON THE URL
 LINKS:-

CMMT <http://www.hkexnews.hk/listedco/listconews/SEHK/2016/0913/LTN20160913500.pdf>,- Non-Voting
<http://www.hkexnews.hk/listedco/listconews/SEHK/2016/1019/LTN20161019505.pdf>-AND-
<http://www.hkexnews.hk/listedco/listconews/SEHK/2016/0913/LTN20160913545.pdf>,-
<http://www.hkexnews.hk/listedco/listconews/SEHK/2016/1019/LTN20161019509.pdf>

- | | | | | |
|---|---|------------|-----|-----|
| 1 | TO CONSIDER AND APPROVE THE INTERIM PROFIT DISTRIBUTION PLAN OF THE COMPANY FOR THE SIX MONTHS ENDED 30 JUNE 2016 | Management | For | For |
| 2 | TO CONSIDER AND APPROVE THE PROPOSED CHANGE OF THE COMPANY'S BUSINESS SCOPE AND AMENDMENT | Management | For | For |

TO THE ARTICLES OF
ASSOCIATION OF THE
COMPANY: ARTICLE 11

TO CONSIDER AND
APPROVE THE PROPOSED
FURTHER CHANGE OF THE
COMPANY'S BUSINESS
SCOPE AND AMENDMENT
TO THE ARTICLES OF
ASSOCIATION OF THE
COMPANY: ARTICLE 11

3

Management For For

Account Number	Account Name	Internal Account	Ballot Custodian Shares	Unavailable Shares	Vote Date	Date Confirmed
GLQ	GLQ	STATE STREET BANK	50,500	0	20-Oct-2016	27-Oct-2016
GLO	GLO	STATE STREET BANK	80,000	0	20-Oct-2016	27-Oct-2016

LIBERTY INTERACTIVE CORPORATION

Security	53071M880	Meeting Type	Special
Ticker Symbol	LVNTA	Meeting Date	01-Nov-2016
ISIN	US53071M8800	Agenda	934488152 - Management
Record Date	28-Sep-2016	Holding Recon Date	28-Sep-2016
City / Country	/ United States	Vote Deadline Date	31-Oct-2016

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	A PROPOSAL TO APPROVE THE REDEMPTION BY LIBERTY INTERACTIVE CORPORATION OF A PORTION OF THE OUTSTANDING SHARES OF LIBERTY VENTURES COMMON STOCK FOR ALL OF THE OUTSTANDING SHARES OF LIBERTY EXPEDIA HOLDINGS, INC., WHICH WOULD HOLD	Management	For	For

LIBERTY INTERACTIVE CORPORATION'S OWNERSHIP AND VOTING INTERESTS IN .. (DUE TO SPACE LIMITS, SEE PROXY STATEMENT FOR FULL PROPOSAL).

A PROPOSAL TO AUTHORIZE THE ADJOURNMENT OF THE SPECIAL MEETING BY LIBERTY INTERACTIVE CORPORATION TO PERMIT FURTHER SOLICITATION OF PROXIES, IF NECESSARY OR APPROPRIATE, IF SUFFICIENT VOTES ARE NOT REPRESENTED AT THE SPECIAL MEETING TO APPROVE THE OTHER PROPOSAL TO BE PRESENTED AT THE SPECIAL MEETING.

2. Management For For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	997GLV	STATE STREET BANK & TRUST CO	1,572	0	05-Oct-2016	02-Nov-2016
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	4,200	0	05-Oct-2016	02-Nov-2016
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	15,003	44,000	05-Oct-2016	02-Nov-2016
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET	3,871	109,900	05-Oct-2016	02-Nov-2016

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		BANK & TRUST CO				
		STATE STREET				
997AL60	GLV MS PLEDGE	997AL60	BANK & TRUST CO	24,000	0	05-Oct-2016 02-Nov-2016

THE WILLIAMS COMPANIES, INC.

Security	969457100	Meeting Type	Annual
Ticker Symbol	WMB	Meeting Date	23-Nov-2016
ISIN	US9694571004	Agenda	934492579 - Management
Record Date	07-Oct-2016	Holding Recon Date	07-Oct-2016
City / Country	/ United States	Vote Deadline Date	22-Nov-2016

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: ALAN S. ARMSTRONG	Management	For	For
1B.	ELECTION OF DIRECTOR: STEPHEN W. BERGSTROM	Management	For	For
1C.	ELECTION OF DIRECTOR: STEPHEN I. CHAZEN	Management	For	For
1D.	ELECTION OF DIRECTOR: KATHLEEN B. COOPER	Management	For	For
1E.	ELECTION OF DIRECTOR: PETER A. RAGAUS	Management	For	For
1F.	ELECTION OF DIRECTOR: SCOTT D. SHEFFIELD	Management	For	For
1G.	ELECTION OF DIRECTOR: MURRAY D. SMITH	Management	For	For
1H.	ELECTION OF DIRECTOR: WILLIAM H. SPENCE	Management	For	For
1I.	ELECTION OF DIRECTOR: JANICE D. STONEY	Management	For	For
2.		Management	For	For

RATIFICATION OF ERNST &
YOUNG LLP AS AUDITORS
FOR 2016.

APPROVAL, BY

NONBINDING ADVISORY

3. VOTE, OF THE COMPANY'S Management For For
EXECUTIVE
COMPENSATION.

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	100	63,100	20-Oct-2016	20-Oct-2016
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	100	159,700	20-Oct-2016	20-Oct-2016
997AL60	GLV MS PLEDGE	997AL60	STATE STREET BANK & TRUST CO	39,100	0	20-Oct-2016	20-Oct-2016

AMSURG CORP.

Security	03232P405	Meeting Type	Special
Ticker Symbol	AMSG	Meeting Date	28-Nov-2016
ISIN	US03232P4054	Agenda	934494826 - Management
Record Date	07-Oct-2016	Holding Recon Date	07-Oct-2016
City / Country	/ United States	Vote Deadline Date	25-Nov-2016

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	TO CONSIDER AND VOTE ON A PROPOSAL TO	Management	For	For

APPROVE THE AGREEMENT AND PLAN OF MERGER, DATED AS OF JUNE 15, 2016 (THE "MERGER AGREEMENT"), BY AND AMONG ENVISION HEALTHCARE HOLDINGS, INC., AMSURG CORP. AND NEW AMETHYST CORP., AND THE TRANSACTIONS CONTEMPLATED BY THE MERGER AGREEMENT.

TO CONSIDER AND VOTE ON A NON-BINDING, ADVISORY PROPOSAL TO APPROVE THE COMPENSATION THAT MAY BECOME PAYABLE TO AMSURG'S NAMED EXECUTIVE OFFICERS IN CONNECTION WITH THE CONSUMMATION OF THE MERGER.

2.	BECOME PAYABLE TO AMSURG'S NAMED EXECUTIVE OFFICERS IN CONNECTION WITH THE CONSUMMATION OF THE MERGER.	Management	For	For
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TO CONSIDER A VOTE ON A PROPOSAL TO APPROVE ANY MOTION TO ADJOURN THE AMSURG SPECIAL MEETING IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES.

3.	THE AMSURG SPECIAL MEETING IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES.	Management	For	For
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Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	6,400	0	26-Oct-2016	29-Nov-2016
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	2,200	6,200	26-Oct-2016	29-Nov-2016
997AL47		997AL47		2,700	13,600	26-Oct-2016	29-Nov-2016

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	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO			
997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	100	34,500	26-Oct-2016 29-Nov-2016

CHR. HANSEN HOLDING A/S

Security	K1830B107	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	29-Nov-2016
ISIN	DK0060227585	Agenda	707583793 - Management
Record Date	22-Nov-2016	Holding Recon Date	22-Nov-2016
City / Country	HORSHO LM / Denmark	Vote Deadline Date	18-Nov-2016
SEDOL(s)	B3LL574 - B3SMD32 - B573M11 - B63NJ00 - BHZLC88	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	IN THE MAJORITY OF MEETINGS THE VOTES ARE CAST WITH THE REGISTRAR WHO WILL-FOLLOW CLIENT INSTRUCTIONS. IN A SMALL PERCENTAGE OF MEETINGS THERE IS NO-REGISTRAR AND CLIENTS VOTES MAY BE CAST BY THE CHAIRMAN OF THE BOARD OR A-BOARD MEMBER AS PROXY. CLIENTS CAN ONLY EXPECT THEM TO ACCEPT PRO-MANAGEMENT-VOTES. THE ONLY WAY TO GUARANTEE THAT	Non-Voting		

ABSTAIN AND/OR AGAINST
 VOTES ARE-REPRESENTED
 AT THE MEETING IS TO
 SEND YOUR OWN
 REPRESENTATIVE OR
 ATTEND THE-MEETING IN
 PERSON. THE SUB
 CUSTODIAN BANKS OFFER
 REPRESENTATION
 SERVICES FOR- AN ADDED
 FEE IF REQUESTED. THANK
 YOU

PLEASE BE ADVISED THAT
 SPLIT AND PARTIAL
 VOTING IS NOT
 AUTHORISED FOR

CMMT A-BENEFICIAL OWNER IN THE DANISH MARKET. PLEASE CONTACT YOUR GLOBAL CUSTODIAN-FOR FURTHER INFORMATION. Non-Voting

IMPORTANT MARKET
 PROCESSING
 REQUIREMENT: A
 BENEFICIAL OWNER
 SIGNED POWER OF-
 ATTORNEY (POA) IS
 REQUIRED IN ORDER TO
 LODGE AND EXECUTE

CMMT YOUR VOTING-INSTRUCTIONS IN THIS MARKET. ABSENCE OF A POA, MAY CAUSE YOUR INSTRUCTIONS TO-BE REJECTED. IF YOU HAVE ANY QUESTIONS, PLEASE CONTACT YOUR CLIENT SERVICE- REPRESENTATIVE Non-Voting

PLEASE NOTE THAT
 SHAREHOLDERS ARE
 ALLOWED TO VOTE 'IN
 FAVOR' OR 'ABSTAIN'-ONLY
 FOR RESOLUTIONS 6.A.A,
 6.B.A TO 6.B.F AND 7.A.
 THANK YOU

CMMT FAVOR' OR 'ABSTAIN'-ONLY Non-Voting

1 REPORT ON THE COMPANY'S ACTIVITIES Non-Voting

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2	APPROVAL OF THE 2015/16 ANNUAL REPORT	Management	For	For
3	RESOLUTION ON THE APPROPRIATION OF PROFIT OR COVERING OF LOSS: DKK 5.23 PER SHARE	Management	For	For
4	DECISION ON REMUNERATION OF MEMBERS OF THE BOARD OF DIRECTORS	Management	For	For
5.A	PROPOSALS FROM THE BOARD OF DIRECTOR: AMENDMENT OF ARTICLES OF ASSOCIATION TO REFLECT COMPUTERSHARE A/S AS NEW COMPANY REGISTRAR	Management	For	For
5.B	PROPOSALS FROM THE BOARD OF DIRECTOR: AMENDMENT OF ARTICLES OF ASSOCIATION TO REFLECT LEGAL NAME CHANGE OF NASDAQ OMX COPENHAGEN A/S	Management	For	For
6.A.A	RE-ELECTION OF CHAIRMAN OF THE BOARD OF DIRECTOR: OLE ANDERSEN	Management	For	For
6.B.A	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: FREDERIC STEVENIN	Management	For	For
6.B.B	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: MARK WILSON	Management	For	For
6.B.C	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: DOMINIQUE REINICHE	Management	For	For
6.B.D	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: TIINA MATTILA-SANDHOLM	Management	For	For

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6.B.E	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: KRISTIAN VILLUMSEN	Management	For	For
6.B.F	ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: LUIS CANTARELL ROCAMORA	Management	For	For
7.A	RE-ELECTION OF PRICEWATERHOUSECOOPERS STATS AUTORISERET REVISIONSPARTNERSELSKAB	Management	For	For
8	AUTHORIZATION OF THE CHAIRMAN OF THE ANNUAL GENERAL MEETING	Management	For	For
CMMT	07 NOV 2016: PLEASE NOTE THAT THIS IS A REVISION DUE TO RECEIPT OF DIVIDEND-AMOUNT. IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO NOT VOTE AGAIN-UNLESS YOU DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK YOU.	Non-Voting		

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
GLV	GLV	STATE STREET BANK		9,244	0	05-Nov-2016	22-Nov-2016
GLQ	GLQ	STATE STREET BANK		1,409	0	05-Nov-2016	22-Nov-2016
GLO	GLO	STATE STREET BANK		8,002	0	05-Nov-2016	22-Nov-2016

MICROSOFT CORPORATION

Security	594918104	Meeting Type	Annual
Ticker Symbol	MSFT	Meeting Date	30-Nov-2016
ISIN	US5949181045	Agenda	934491224 - Management

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Record Date	30-Sep-2016	Holding Recon Date	30-Sep-2016	
City / Country	/ United States	Vote Deadline Date	29-Nov-2016	
SEDOL(s)		Quick Code		
Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: WILLIAM H. GATES III	Management	For	For
1B.	ELECTION OF DIRECTOR: TERI L. LIST-STOLL	Management	For	For
1C.	ELECTION OF DIRECTOR: G. MASON MORFIT	Management	For	For
1D.	ELECTION OF DIRECTOR: SATYA NADELLA	Management	For	For
1E.	ELECTION OF DIRECTOR: CHARLES H. NOSKI	Management	For	For
1F.	ELECTION OF DIRECTOR: HELMUT PANKE	Management	For	For
1G.	ELECTION OF DIRECTOR: SANDRA E. PETERSON	Management	For	For
1H.	ELECTION OF DIRECTOR: CHARLES W. SCHARF	Management	For	For
1I.	ELECTION OF DIRECTOR: JOHN W. STANTON	Management	For	For
1J.	ELECTION OF DIRECTOR: JOHN W. THOMPSON	Management	For	For
1K.	ELECTION OF DIRECTOR: PADMASREE WARRIOR	Management	For	For
2.	ADVISORY VOTE TO APPROVE NAMED EXECUTIVE OFFICER COMPENSATION	Management	For	For
3.	RATIFICATION OF DELOITTE & TOUCHE LLP AS OUR INDEPENDENT AUDITOR FOR FISCAL YEAR 2017	Management	For	For
4.	APPROVAL OF AMENDMENT TO OUR AMENDED AND RESTATED	Management	For	For

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ARTICLES OF
INCORPORATION

5. APPROVAL OF FRENCH SUB
PLAN UNDER THE 2001 Management For For
STOCK PLAN

6. SHAREHOLDER PROPOSAL -
REQUESTING CERTAIN Shareholder Against For
PROXY ACCESS BYLAW
AMENDMENTS

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL45	100	39,600	20-Oct-2016	01-Dec-2016
997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL47	100	48,500	20-Oct-2016	01-Dec-2016
997AL49	GLO BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL49	100	121,300	20-Oct-2016	01-Dec-2016
997AL60	GLV MS PLEDGE	STATE STREET BANK & TRUST CO 997AL60	20,400	0	20-Oct-2016	01-Dec-2016

DIAMONDBACK ENERGY, INC.

Security	25278X109	Meeting Type	Special
Ticker Symbol	FANG	Meeting Date	07-Dec-2016
ISIN	US25278X1090	Agenda	934506392 - Management
Record Date	11-Nov-2016	Holding Recon Date	11-Nov-2016
City / Country	/	Vote Deadline Date	06-Dec-2016

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United
States

SEDOL(s)	Quick Code			
Item	Proposal	Proposed by	Vote	For/Against Management
1.	PROPOSAL TO APPROVE AN AMENDMENT TO DIAMONDBACK ENERGY, INC.'S AMENDED AND RESTATED CERTIFICATE OF INCORPORATION TO INCREASE THE TOTAL NUMBER OF AUTHORIZED SHARES OF COMMON STOCK FROM 100,000,000 TO 200,000,000.	Management	For	For

Account Number	Account Name	Internal Account	Ballot Custodian Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO 2,500	11,300	19-Nov-2016	08-Dec-2016
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO 6,100	28,800	19-Nov-2016	08-Dec-2016

AGNC INVESTMENT CORP

Security	00123Q104	Meeting Type	Special
Ticker Symbol	AGNC	Meeting Date	09-Dec-2016
ISIN		Agenda	934498127 - Management
Record Date	24-Oct-2016	Holding Recon Date	24-Oct-2016
City / Country	/ United States	Vote Deadline Date	08-Dec-2016

SEDOL(s)	Quick Code			
Item	Proposal	Proposed by	Vote	For/Against Management

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1. APPROVAL OF THE AGNC INVESTMENT CORP. 2016 EQUITY AND INCENTIVE COMPENSATION PLAN. Management For For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	165,300	0	04-Nov-2016	12-Dec-2016
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	269,600	0	04-Nov-2016	12-Dec-2016
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	679,599	0	04-Nov-2016	12-Dec-2016

ARES CAPITAL CORPORATION

Security	04010L103	Meeting Type	Special
Ticker Symbol	ARCC	Meeting Date	15-Dec-2016
ISIN	US04010L1035	Agenda	934494864 - Management
Record Date	17-Oct-2016	Holding Recon Date	17-Oct-2016
City / Country	/ United States	Vote Deadline Date	14-Dec-2016

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	TO APPROVE THE ISSUANCE OF THE SHARES OF ARES CAPITAL COMMON STOCK TO BE ISSUED PURSUANT TO THE AGREEMENT AND PLAN OF MERGER, AS SUCH	Management	For	For

AGREEMENT MAY BE AMENDED FROM TIME TO TIME, DATED AS OF MAY 23, 2016 (THE "MERGER AGREEMENT"), BY AND AMONG ARES CAPITAL, AMERICAN CAPITAL, LTD., ORION ACQUISITION SUB, INC., IVY HILL ASSET MANAGEMENT, L.P. ("IHAM"), IVY HILL ASSET MANAGEMENT GP, LLC, IN ITS CAPACITY AS GENERAL PARTNER OF IHAM, AMERICAN CAPITAL ASSET MANAGEMENT, LLC, AND SOLELY FOR THE ...(DUE TO SPACE LIMITS, SEE PROXY STATEMENT FOR FULL PROPOSAL).

2. TO APPROVE THE ISSUANCE OF THE SHARES OF ARES CAPITAL COMMON STOCK TO BE ISSUED PURSUANT TO THE MERGER AGREEMENT IN ACCORDANCE WITH NASDAQ LISTING RULE REQUIREMENTS. Management For For

3. TO APPROVE THE ADJOURNMENT OF THE ARES CAPITAL SPECIAL MEETING, IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES IF THERE ARE NOT SUFFICIENT VOTES AT THE TIME OF THE ARES CAPITAL SPECIAL MEETING TO APPROVE PROPOSAL 1 OR PROPOSAL 2. Management For For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK	57,900	0	26-Oct-2016	16-Dec-2016

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997AL45	GLV BNP PLEDGE	997AL45	& TRUST CO STATE STREET BANK & TRUST CO	291,600	0	26-Oct-2016	16-Dec-2016
997AL47	GLQ BNP PLEDGE	997AL47	& TRUST CO STATE STREET BANK & TRUST CO	335,300	0	26-Oct-2016	16-Dec-2016
997AL49	GLO BNP PLEDGE	997AL49	& TRUST CO STATE STREET BANK & TRUST CO	964,300	0	26-Oct-2016	16-Dec-2016
997AL53	GLO MS PLEDGE	997AL53	& TRUST CO STATE STREET BANK & TRUST CO	80,000	0	26-Oct-2016	16-Dec-2016

AKORN, INC.

Security	009728106	Meeting Type	Special
Ticker Symbol	AKRX	Meeting Date	16-Dec-2016
ISIN	US0097281069	Agenda	934505225 - Management
Record Date	28-Oct-2016	Holding Recon Date	28-Oct-2016
City / Country	/ United States	Vote Deadline Date	15-Dec-2016

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	PROPOSAL TO APPROVE THE AKORN, INC. 2016 EMPLOYEE STOCK PURCHASE PLAN.	Management	For	For
2.		Management	For	For

PROPOSAL TO APPROVE
THE AMENDMENT AND
RESTATEMENT OF THE
AKORN, INC. 2014 STOCK
OPTION PLAN.

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	997GLV	STATE STREET BANK & TRUST CO	20,500	0	18-Nov-2016	19-Dec-2016
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	7,200	0	18-Nov-2016	19-Dec-2016
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	34,300	0	18-Nov-2016	19-Dec-2016
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	5,800	35,000	18-Nov-2016	19-Dec-2016
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	900	71,800	18-Nov-2016	19-Dec-2016

SINOPHARM GROUP CO. LTD.

Security	Y8008N107	Meeting Type	ExtraOrdinary General Meeting
Ticker Symbol		Meeting Date	30-Dec-2016
ISIN	CNE100000FN7	Agenda	707595990 - Management

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Record Date	29-Nov-2016	Holding Recon Date	29-Nov-2016
City / Country	SHANGHAI / China	Vote Deadline Date	16-Dec-2016
SEDOL(s)	B3ZVDV0 - B4M8B73 - B5NVZ21 - BP3RXT2	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- http://www.hkexnews.hk/listedco/listconews/SEHK/2016/1114/LTN20161114274.pdf -AND- http://www.hkexnews.hk/listedco/listconews/SEHK/2016/1114/LTN20161114240.pdf	Non-Voting		
CMMT	PLEASE NOTE IN THE HONG KONG MARKET THAT A VOTE OF 'ABSTAIN' WILL BE TREATED-THE SAME AS A 'TAKE NO ACTION' VOTE	Non-Voting		
1	TO CONSIDER AND, IF THOUGHT FIT, TO APPROVE (I) THE ISSUE OF THE ACCOUNTS RECEIVABLE ASSET-BACKED SECURITIES IN THE PRC OF NO MORE THAN RMB8 BILLION IN SCALE (THE "ASSET- BACKED SECURITIES"); AND (II) THE AUTHORISATION TO THE BOARD OF DIRECTORS OF THE COMPANY OR THE PERSON(S) AUTHORISED BY IT DEAL WITH ALL SPECIFIC MATTERS PURSUANT TO THE PROPOSAL IN RESPECT OF THE ISSUE OF THE ASSET-BACKED SECURITIES AS SET OUT IN	Management	For	For

THE NOTICE OF EGM OF
THE COMPANY DATED 14
NOVEMBER 2016

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
GLV	GLV	STATE STREET BANK		42,400	0	15-Nov-2016	22-Dec-2016
GLQ	GLQ	STATE STREET BANK		36,800	0	15-Nov-2016	22-Dec-2016
GLO	GLO	STATE STREET BANK		83,600	0	15-Nov-2016	22-Dec-2016

D.R. HORTON, INC.

Security	23331A109	Meeting Type	Annual
Ticker Symbol	DHI	Meeting Date	19-Jan-2017
ISIN	US23331A1097	Agenda	934514147 - Management
Record Date	28-Nov-2016	Holding Recon Date	28-Nov-2016
City / Country	/ United States	Vote Deadline Date	18-Jan-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: DONALD R. HORTON	Management	For	For
1B.	ELECTION OF DIRECTOR: BARBARA K. ALLEN	Management	For	For
1C.	ELECTION OF DIRECTOR: BRAD S. ANDERSON	Management	For	For
1D.	ELECTION OF DIRECTOR: MICHAEL R. BUCHANAN	Management	For	For
1E.	ELECTION OF DIRECTOR: MICHAEL W. HEWATT	Management	For	For
2.	APPROVAL OF THE ADVISORY RESOLUTION ON EXECUTIVE COMPENSATION.	Management	For	For

3. RATIFY THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM. Management For For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45 STATE STREET BANK & TRUST CO	14,626	61,500	13-Dec-2016	20-Jan-2017
997AL47	GLQ BNP PLEDGE	997AL47 STATE STREET BANK & TRUST CO	23,621	104,400	13-Dec-2016	20-Jan-2017
997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	59,001	256,300	13-Dec-2016	20-Jan-2017
997AL61	GLQ MS PLEDGE	997AL61 STATE STREET BANK & TRUST CO	10,800	0	13-Dec-2016	20-Jan-2017

GOLUB CAPITAL BDC, INC.

Security	38173M102	Meeting Type	Annual
Ticker Symbol	GBDC	Meeting Date	07-Feb-2017
ISIN	US38173M1027	Agenda	934516557 - Management
Record Date	12-Dec-2016	Holding Recon Date	12-Dec-2016
City / Country	/ United States	Vote Deadline Date	06-Feb-2017
SEDOL(s)		Quick Code	
Item	Proposal	Vote	

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		Proposed by		For/Against Management
1.1	ELECTION OF CLASS I DIRECTOR: DAVID B. GOLUB	Management	For	For
1.2	ELECTION OF CLASS I DIRECTOR: ANITA R. ROSENBERG	Management	For	For
2.	TO RATIFY THE SELECTION OF ERNST & YOUNG LLP TO SERVE AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING SEPTEMBER 30, 2017.	Management	For	For

Account Number	Account Name	Internal Account	Ballot Custodian Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO 24,000	0	22-Dec-2016	08-Feb-2017
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO 100	128,300	22-Dec-2016	08-Feb-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO 150,809	0	22-Dec-2016	08-Feb-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO 157	454,300	22-Dec-2016	08-Feb-2017

APPLE INC.

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Security	037833100	Meeting Type	Annual
Ticker Symbol	AAPL	Meeting Date	28-Feb-2017
ISIN	US0378331005	Agenda	934520556 - Management
Record Date	30-Dec-2016	Holding Recon Date	30-Dec-2016
City / Country	/ United States	Vote Deadline Date	27-Feb-2017

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: JAMES BELL	Management	For	For
1B.	ELECTION OF DIRECTOR: TIM COOK	Management	For	For
1C.	ELECTION OF DIRECTOR: AL GORE	Management	For	For
1D.	ELECTION OF DIRECTOR: BOB IGER	Management	For	For
1E.	ELECTION OF DIRECTOR: ANDREA JUNG	Management	For	For
1F.	ELECTION OF DIRECTOR: ART LEVINSON	Management	For	For
1G.	ELECTION OF DIRECTOR: RON SUGAR	Management	For	For
1H.	ELECTION OF DIRECTOR: SUE WAGNER	Management	For	For
2.	RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS APPLE'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017	Management	For	For
3.	ADVISORY VOTE TO APPROVE EXECUTIVE COMPENSATION	Management	For	For
4.	ADVISORY VOTE ON THE FREQUENCY OF SHAREHOLDER VOTES ON EXECUTIVE COMPENSATION	Management	3 Years	Against
5.		Shareholder	Against	For

A SHAREHOLDER
 PROPOSAL ENTITLED
 "CHARITABLE GIVING -
 RECIPIENTS, INTENTS AND
 BENEFITS"

6. A SHAREHOLDER
 PROPOSAL REGARDING
 DIVERSITY AMONG OUR
 SENIOR MANAGEMENT
 AND BOARD OF DIRECTORS
 Shareholder Against For

7. A SHAREHOLDER
 PROPOSAL ENTITLED
 "SHAREHOLDER PROXY
 ACCESS AMENDMENTS"
 Shareholder Against For

8. A SHAREHOLDER
 PROPOSAL ENTITLED
 "EXECUTIVE
 COMPENSATION REFORM"
 Shareholder Against For

9. A SHAREHOLDER
 PROPOSAL ENTITLED
 "EXECUTIVES TO RETAIN
 SIGNIFICANT STOCK"
 Shareholder Against For

Account Number	Account Name	Internal Account	Ballot Custodian Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	997GLV	STATE STREET BANK & TRUST CO 2,500	0	07-Jan-2017	01-Mar-2017
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO 31,200	0	07-Jan-2017	01-Mar-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO 39,600	0	07-Jan-2017	01-Mar-2017
997AL45		997AL45	100	27,500	07-Jan-2017	01-Mar-2017

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	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO				
997AL47	GLQ BNP PLEDGE	997AL47 STATE STREET BANK & TRUST CO	100	32,400	07-Jan-2017	01-Mar-2017
997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	100	81,900	07-Jan-2017	01-Mar-2017

TRANSDIGM GROUP INCORPORATED

Security	893641100	Meeting Type	Annual
Ticker Symbol	TDG	Meeting Date	01-Mar-2017
ISIN	US8936411003	Agenda	934523451 - Management
Record Date	03-Jan-2017	Holding Recon Date	03-Jan-2017
City / Country	/ United States	Vote Deadline Date	28-Feb-2017

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 WILLIAM DRIES		For	For
	2 MERVIN DUNN		For	For
	3 MICHAEL GRAFF		For	For
	4 SEAN HENNESSY		For	For
	5 W. NICHOLAS HOWLEY		For	For
	6 RAYMOND LAUBENTHAL		For	For
	7 DOUGLAS PEACOCK		For	For
	8 ROBERT SMALL		For	For

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- | | | | | | |
|----|---|--|------------|---------|-----|
| | 9 | JOHN STAER | | For | For |
| | | TO APPROVE (IN AN
ADVISORY VOTE) | | | |
| 2. | | COMPENSATION PAID TO
THE COMPANY'S NAMED
EXECUTIVE OFFICERS | Management | For | For |
| | | TO DETERMINE HOW
OFTEN TO CONDUCT THE
ADVISORY VOTE | | | |
| 3. | | REGARDING
COMPENSATION PAID TO
THE COMPANY'S NAMED
EXECUTIVE OFFICERS | Management | 3 Years | |
| | | TO RATIFY THE SELECTION
OF ERNST & YOUNG LLP AS
THE COMPANY'S | | | |
| 4. | | INDEPENDENT
ACCOUNTANTS FOR THE
FISCAL YEAR ENDING
SEPTEMBER 30, 2017 | Management | For | For |

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	20,810	0	21-Jan-2017	02-Mar-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	33,850	0	21-Jan-2017	02-Mar-2017

HOLOGIC, INC.

Security	436440101	Meeting Type	Annual
Ticker Symbol	HOLX	Meeting Date	08-Mar-2017
ISIN	US4364401012	Agenda	934522423 - Management
Record Date	09-Jan-2017	Holding Recon Date	09-Jan-2017
City / Country	/ United States	Vote Deadline Date	07-Mar-2017

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SEDOL(s)			Quick Code	
Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 CHRISTOPHER J. COUGHLIN		For	For
	2 SALLY W. CRAWFORD		For	For
	3 SCOTT T. GARRETT		For	For
	4 LAWRENCE M. LEVY		For	For
	5 STEPHEN P. MACMILLAN		For	For
	6 CHRISTIANA STAMOULIS		For	For
	7 ELAINE S. ULLIAN		For	For
	8 AMY M. WENDELL		For	For
2.	A NON-BINDING ADVISORY RESOLUTION TO APPROVE EXECUTIVE COMPENSATION.	Management	For	For
3.	TO RECOMMEND, BY NON-BINDING VOTE, THE FREQUENCY OF EXECUTIVE COMPENSATION VOTES.	Management	3 Years	Against
4.	AMENDMENT TO HOLOGIC'S FIFTH AMENDED AND RESTATED BYLAWS.	Management	For	For
5.	RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR FISCAL 2017.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK	23,600	0	25-Jan-2017	09-Mar-2017

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997AL47	GLQ BNP PLEDGE	997AL47	& TRUST CO STATE STREET BANK & TRUST CO	100	28,500	25-Jan-2017	09-Mar-2017
997AL49	GLO BNP PLEDGE	997AL49	& TRUST CO STATE STREET BANK & TRUST CO	100	131,200	25-Jan-2017	09-Mar-2017

GW PHARMACEUTICALS PLC

Security	36197T103	Meeting Type	Annual
Ticker Symbol	GWPH	Meeting Date	14-Mar-2017
ISIN	US36197T1034	Agenda	934530660 - Management
Record Date	07-Feb-2017	Holding Recon Date	07-Feb-2017
City / Country	/ United States	Vote Deadline Date	06-Mar-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
O1	TO RECEIVE, CONSIDER AND ADOPT THE DIRECTORS' AND AUDITORS' REPORTS AND STATEMENT OF ACCOUNTS FOR THE YEAR ENDED 30 SEPTEMBER 2016 AND NOTE THAT THE DIRECTORS DO NOT RECOMMEND PAYMENT OF A DIVIDEND	Management	For	For
O2	TO APPROVE THE DIRECTORS' REMUNERATION REPORT	Management	For	For
O3	TO RE-ELECT GEOFFREY GUY AS A DIRECTOR	Management	For	For
O4	TO RE-ELECT THOMAS LYNCH AS A DIRECTOR	Management	For	For

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O5	TO RE-ELECT CABOT BROWN AS A DIRECTOR	Management	For	For
O6	TO APPOINT DELOITTE LLP AS AUDITOR	Management	For	For
O7	TO AUTHORISE THE DIRECTORS TO DETERMINE THE AUDITOR'S REMUNERATION	Management	For	For
S8	TO APPROVE THE ADOPTION OF THE COMPANY'S LONG-TERM INCENTIVE PLAN	Management	For	For
S9	TO AUTHORISE THE DIRECTORS TO ALLOT EQUITY SECURITIES, UNDER SECTION 551 OF THE 2006 ACT	Management	For	For
S10	TO AUTHORISE THE DIRECTORS TO ALLOT EQUITY SECURITIES, UNDER SECTION 570 OF THE 2006 ACT AS IF SECTION 561(1) OF THE 2006 ACT DID NOT APPLY TO SUCH ALLOTMENT	Management	For	For

Account Number	Account Name	Internal Account	Ballot Custodian Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO 1,600	0	15-Feb-2017	08-Mar-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO 3,100	0	15-Feb-2017	08-Mar-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & 4,300	0	15-Feb-2017	08-Mar-2017

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997AL49	GLO BNP PLEDGE	997AL49	8,300	0	15-Feb-2017	08-Mar-2017
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TRUST
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STATE
STREET
BANK
&
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SAMSUNG ELECTRONICS CO LTD, SUWON

Security	Y74718100	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	24-Mar-2017
ISIN	KR7005930003	Agenda	707790499 - Management
Record Date	31-Dec-2016	Holding Recon Date	31-Dec-2016
City / Country	SEOUL / Korea, Republic Of	Vote Deadline Date	14-Mar-2017
SEDOL(s)	6771720 - B19VC15 - B74V052	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1	APPROVAL OF FINANCIAL STATEMENTS	Management	For	For
2	APPROVAL OF REMUNERATION FOR DIRECTOR	Management	For	For

Account Number	Account Name	Internal Account	Ballot Custodian Shares	Unavailable Shares	Vote Date	Date Confirmed
GLV	GLV		STATE STREET BANK 56	0	25-Feb-2017	16-Mar-2017
GLQ	GLQ		STATE STREET BANK 197	0	25-Feb-2017	16-Mar-2017
GLO	GLO		STATE STREET BANK 1,046	0	25-Feb-2017	16-Mar-2017

LINE CORPORATION

Security	53567X101	Meeting Type	Annual
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Ticker Symbol	LN	Meeting Date	30-Mar-2017
ISIN	US53567X1019	Agenda	934536268 - Management
Record Date	29-Dec-2016	Holding Recon Date	29-Dec-2016
City / Country	/ Japan	Vote Deadline Date	23-Mar-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	PARTIAL AMENDMENTS TO THE ARTICLES OF INCORPORATION	Management	For	Against
2.	DIRECTORS	Management		
	1 TAKESHI IDEZAWA		For	For
	2 JUN MASUDA		For	For
	3 JOONGHO SHIN		For	For
	4 IN JOON HWANG		For	For
	5 HAE-JIN LEE		For	For
	6 TADASHI KUNIHIRO		For	For
	7 KOJI KOTAKA		For	For
	8 REHITO HATOYAMA		For	For
3.	ELECTION OF ONE (1) SUBSTITUTE CORPORATE AUDITOR: NAOKI WATANABE	Management	For	Against
4.	DETERMINATION OF AMOUNTS AND DETAILS OF STOCK OPTIONS (WARRANTS) TO BE PAID AS REMUNERATION, ETC. TO DIRECTORS	Management	For	Against

Account Number	Account Name	Internal Account	Ballot Custodian Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST	10,300	0	04-Mar-2017 27-Mar-2017

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997GLO	GLO	997GLO	39,100	0	04-Mar-2017	27-Mar-2017
		STATE STREET BANK & TRUST CO				
997AL47	GLQ BNP PLEDGE	997AL47	10,800	9,700	04-Mar-2017	27-Mar-2017
		STATE STREET BANK & TRUST CO				
997AL49	GLO BNP PLEDGE	997AL49	9,800	28,300	04-Mar-2017	27-Mar-2017
		STATE STREET BANK & TRUST CO				

BROADCOM LIMITED

Security	Y09827109	Meeting Type	Annual
Ticker Symbol	AVGO	Meeting Date	05-Apr-2017
ISIN	SG9999014823	Agenda	934531977 - Management
Record Date	08-Feb-2017	Holding Recon Date	08-Feb-2017
City / Country	/ Malaysia	Vote Deadline Date	03-Apr-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: MR. HOCK E. TAN	Management	For	For
1B.	ELECTION OF DIRECTOR: MR. JAMES V. DILLER	Management	For	For
1C.	ELECTION OF DIRECTOR: MR. LEWIS C. EGGBRECHT	Management	For	For
1D.	ELECTION OF DIRECTOR: MR. KENNETH Y. HAO	Management	For	For
1E.	ELECTION OF DIRECTOR: MR. EDDY W. HARTENSTEIN	Management	For	For

1F.	ELECTION OF DIRECTOR: MR. CHECK KIAN LOW	Management	For	For
1G.	ELECTION OF DIRECTOR: MR. DONALD MACLEOD	Management	For	For
1H.	ELECTION OF DIRECTOR: MR. PETER J. MARKS	Management	For	For
1I.	ELECTION OF DIRECTOR: DR. HENRY SAMUELI	Management	For	For
2.	TO APPROVE THE RE-APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS BROADCOM'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM AND INDEPENDENT SINGAPORE AUDITOR FOR THE FISCAL YEAR ENDING OCTOBER 29, 2017 AND TO AUTHORIZE THE AUDIT COMMITTEE TO FIX ITS REMUNERATION, AS SET FORTH IN BROADCOM'S NOTICE OF, AND PROXY STATEMENT RELATING TO, ITS 2017 ANNUAL GENERAL MEETING.	Management	For	For
3.	TO APPROVE THE GENERAL AUTHORIZATION FOR THE DIRECTORS OF BROADCOM TO ALLOT AND ISSUE SHARES IN OUR CAPITAL, AS SET FORTH IN BROADCOM'S NOTICE OF, AND PROXY STATEMENT RELATING TO, ITS 2017 ANNUAL GENERAL MEETING.	Management	For	For
4.	TO APPROVE THE COMPENSATION OF BROADCOM'S NAMED EXECUTIVE OFFICERS, AS DISCLOSED IN "COMPENSATION DISCUSSION AND ANALYSIS" AND IN THE COMPENSATION TABLES AND ACCOMPANYING	Management	For	For

NARRATIVE DISCLOSURE
 UNDER "EXECUTIVE
 COMPENSATION" IN
 BROADCOM'S PROXY
 STATEMENT RELATING TO
 ITS 2017 ANNUAL GENERAL
 MEETING.

TO RECOMMEND THAT A
 NON-BINDING, ADVISORY
 VOTE TO APPROVE THE
 COMPENSATION OF THE
 BROADCOM'S NAMED
 EXECUTIVE OFFICERS BE
 PUT TO SHAREHOLDERS

5. FOR THEIR
 CONSIDERATION EVERY:
 ONE; TWO; OR THREE
 YEARS, AS SET FORTH IN
 BROADCOM'S NOTICE OF,
 AND PROXY STATEMENT
 RELATING TO, ITS 2017
 ANNUAL GENERAL
 MEETING.
- Management 3 Years Against

Account Number	Account Name	Internal Account	Custodian Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO 5,500	0	22-Feb-2017	05-Apr-2017
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO 14,705	6,400	22-Feb-2017	05-Apr-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO 33,570	17,400	22-Feb-2017	05-Apr-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO 30,570	43,700	22-Feb-2017	05-Apr-2017

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LENNAR CORPORATION

Security	526057104	Meeting Type	Annual
Ticker Symbol	LEN	Meeting Date	18-Apr-2017
ISIN	US5260571048	Agenda	934533678 - Management
Record Date	21-Feb-2017	Holding Recon Date	21-Feb-2017
City / Country	/ United States	Vote Deadline Date	17-Apr-2017

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 IRVING BOLOTIN		For	For
	2 STEVEN L. GERARD		For	For
	3 THERON I. "TIG" GILLIAM		For	For
	4 SHERRILL W. HUDSON		For	For
	5 SIDNEY LAPIDUS		For	For
	6 TERI P. MCCLURE		For	For
	7 STUART MILLER		For	For
	8 ARMANDO OLIVERA		For	For
	9 DONNA SHALALA		For	For
	10 JEFFREY SONNENFELD		For	For

2.	RATIFICATION OF THE APPOINTMENT OF DELOITTE & TOUCHE LLP AS LENNAR'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING NOVEMBER 30, 2017.	Management	For	For
3.		Management	For	For

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APPROVAL, ON AN
ADVISORY BASIS, OF THE
COMPENSATION OF
LENNAR'S NAMED
EXECUTIVE OFFICERS.

4. APPROVAL, ON AN
ADVISORY BASIS, OF THE
FREQUENCY OF THE
STOCKHOLDER VOTE ON Management 3 Years Against
THE COMPENSATION OF
LENNAR'S NAMED
EXECUTIVE OFFICERS.

5. APPROVAL OF A
STOCKHOLDER PROPOSAL
REGARDING OUR COMMON Shareholder Against For
STOCK VOTING
STRUCTURE.

Account Number	Account Name	Internal Account	Custodian Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	997GLV	STATE STREET BANK & TRUST CO 5,500	0	08-Mar-2017	19-Apr-2017
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO 8,700	0	08-Mar-2017	19-Apr-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO 23,500	0	08-Mar-2017	19-Apr-2017
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO 8,700	30,900	08-Mar-2017	19-Apr-2017
997AL47		997AL47	11,700	60,500	08-Mar-2017	19-Apr-2017

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	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO				
997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	33,800	131,500	08-Mar-2017	19-Apr-2017

CITIGROUP INC.

Security	172967424	Meeting Type	Annual
Ticker Symbol	C	Meeting Date	25-Apr-2017
ISIN	US1729674242	Agenda	934541904 - Management
Record Date	27-Feb-2017	Holding Recon Date	27-Feb-2017
City / Country	/ United States	Vote Deadline Date	24-Apr-2017

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: MICHAEL L. CORBAT	Management	For	For
1B.	ELECTION OF DIRECTOR: ELLEN M. COSTELLO	Management	For	For
1C.	ELECTION OF DIRECTOR: DUNCAN P. HENNES	Management	For	For
1D.	ELECTION OF DIRECTOR: PETER B. HENRY	Management	For	For
1E.	ELECTION OF DIRECTOR: FRANZ B. HUMER	Management	For	For
1F.	ELECTION OF DIRECTOR: RENEE J. JAMES	Management	For	For
1G.	ELECTION OF DIRECTOR: EUGENE M. MCQUADE	Management	For	For
1H.	ELECTION OF DIRECTOR: MICHAEL E. O'NEILL	Management	For	For
1I.	ELECTION OF DIRECTOR: GARY M. REINER	Management	For	For

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1J.	ELECTION OF DIRECTOR: ANTHONY M. SANTOMERO	Management	For	For
1K.	ELECTION OF DIRECTOR: DIANA L. TAYLOR	Management	For	For
1L.	ELECTION OF DIRECTOR: WILLIAM S. THOMPSON, JR.	Management	For	For
1M.	ELECTION OF DIRECTOR: JAMES S. TURLEY	Management	For	For
1N.	ELECTION OF DIRECTOR: DEBORAH C. WRIGHT	Management	For	For
1O.	ELECTION OF DIRECTOR: ERNESTO ZEDILLO PONCE DE LEON	Management	For	For
2.	PROPOSAL TO RATIFY THE SELECTION OF KPMG LLP AS CITI'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017.	Management	For	For
3.	ADVISORY VOTE TO APPROVE CITI'S 2016 EXECUTIVE COMPENSATION.	Management	For	For
4.	ADVISORY VOTE TO APPROVE THE FREQUENCY OF FUTURE ADVISORY VOTES ON EXECUTIVE COMPENSATION.	Management	3 Years	Against
5.	STOCKHOLDER PROPOSAL REQUESTING A REPORT ON THE COMPANY'S POLICIES AND GOALS TO REDUCE THE GENDER PAY GAP.	Shareholder	Against	For
6.	STOCKHOLDER PROPOSAL REQUESTING THAT THE BOARD APPOINT A STOCKHOLDER VALUE COMMITTEE TO ADDRESS WHETHER THE DIVESTITURE OF ALL NON-CORE BANKING BUSINESS SEGMENTS WOULD ENHANCE SHAREHOLDER VALUE.	Shareholder	Against	For

7. STOCKHOLDER PROPOSAL REQUESTING A REPORT ON LOBBYING AND GRASSROOTS LOBBYING CONTRIBUTIONS. Shareholder Against For

8. STOCKHOLDER PROPOSAL REQUESTING AN AMENDMENT TO THE GENERAL CLAWBACK POLICY TO PROVIDE THAT A SUBSTANTIAL PORTION OF ANNUAL TOTAL COMPENSATION OF EXECUTIVE OFFICERS SHALL BE DEFERRED AND FORFEITED, IN PART OR WHOLE, AT THE DISCRETION OF THE BOARD, TO HELP SATISFY ANY MONETARY PENALTY ASSOCIATED WITH A VIOLATION OF LAW. Shareholder Against For

9. STOCKHOLDER PROPOSAL REQUESTING THAT THE BOARD ADOPT A POLICY PROHIBITING THE VESTING OF EQUITY-BASED AWARDS FOR SENIOR EXECUTIVES DUE TO A VOLUNTARY RESIGNATION TO ENTER GOVERNMENT SERVICE. Shareholder Against For

Account Number	Account Name	Internal Account	Ballot Custodian Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO 31,200	0	17-Mar-2017	26-Apr-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO 10,000	0	17-Mar-2017	26-Apr-2017

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997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO	997AL45 20,130	57,800	17-Mar-2017	26-Apr-2017
997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO	997AL47 119	100,400	17-Mar-2017	26-Apr-2017
997AL49	GLO BNP PLEDGE	STATE STREET BANK & TRUST CO	997AL49 527	238,900	17-Mar-2017	26-Apr-2017
997AL53	GLO MS PLEDGE	STATE STREET BANK & TRUST CO	997AL53 70,100	0	17-Mar-2017	26-Apr-2017

BANK OF AMERICA CORPORATION

Security	060505104	Meeting Type	Annual
Ticker Symbol	BAC	Meeting Date	26-Apr-2017
ISIN	US0605051046	Agenda	934543453 - Management
Record Date	02-Mar-2017	Holding Recon Date	02-Mar-2017
City / Country	/ United States	Vote Deadline Date	25-Apr-2017

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: SHARON L. ALLEN	Management	For	For
1B.	ELECTION OF DIRECTOR: SUSAN S. BIES	Management	For	For
1C.	ELECTION OF DIRECTOR: JACK O. BOVENDER, JR.	Management	For	For
1D.	ELECTION OF DIRECTOR:	Management	For	For

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FRANK P. BRAMBLE, SR.

1E.	ELECTION OF DIRECTOR: PIERRE J.P. DE WECK	Management	For	For
1F.	ELECTION OF DIRECTOR: ARNOLD W. DONALD	Management	For	For
1G.	ELECTION OF DIRECTOR: LINDA P. HUDSON	Management	For	For
1H.	ELECTION OF DIRECTOR: MONICA C. LOZANO	Management	For	For
1I.	ELECTION OF DIRECTOR: THOMAS J. MAY	Management	For	For
1J.	ELECTION OF DIRECTOR: BRIAN T. MOYNIHAN	Management	For	For
1K.	ELECTION OF DIRECTOR: LIONEL L. NOWELL, III	Management	For	For
1L.	ELECTION OF DIRECTOR: MICHAEL D. WHITE	Management	For	For
1M.	ELECTION OF DIRECTOR: THOMAS D. WOODS	Management	For	For
1N.	ELECTION OF DIRECTOR: R. DAVID YOST	Management	For	For
2.	APPROVING OUR EXECUTIVE COMPENSATION (AN ADVISORY, NON-BINDING "SAY ON PAY" RESOLUTION)	Management	For	For
3.	A VOTE ON THE FREQUENCY OF FUTURE ADVISORY "SAY ON PAY" RESOLUTIONS (AN ADVISORY, NON-BINDING "SAY ON FREQUENCY" RESOLUTION)	Management	3 Years	Against
4.	RATIFYING THE APPOINTMENT OF OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017	Management	For	For
5.	STOCKHOLDER PROPOSAL - CLAWBACK AMENDMENT	Shareholder	Against	For

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- | | | | | |
|----|--|-------------|---------|-----|
| 6. | STOCKHOLDER PROPOSAL -
DIVESTITURE & DIVISION
STUDY SESSIONS | Shareholder | Against | For |
| 7. | STOCKHOLDER PROPOSAL -
INDEPENDENT BOARD
CHAIRMAN | Shareholder | Against | For |
| 8. | STOCKHOLDER PROPOSAL -
REPORT CONCERNING
GENDER PAY EQUITY | Shareholder | Against | For |

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	STATE STREET BANK & TRUST CO 997GLV	24,500	0	16-Mar-2017	27-Apr-2017
997GLQ	GLQ	STATE STREET BANK & TRUST CO 997GLQ	38,400	0	16-Mar-2017	27-Apr-2017
997GLO	GLO	STATE STREET BANK & TRUST CO 997GLO	131,800	0	16-Mar-2017	27-Apr-2017
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL45	191	148,400	16-Mar-2017	27-Apr-2017
997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL47	105	261,400	16-Mar-2017	27-Apr-2017
997AL49	GLO BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL49	110	580,000	16-Mar-2017	27-Apr-2017

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EOG RESOURCES, INC.

Security	26875P101	Meeting Type	Annual
Ticker Symbol	EOG	Meeting Date	27-Apr-2017
ISIN	US26875P1012	Agenda	934538476 - Management
Record Date	27-Feb-2017	Holding Recon Date	27-Feb-2017
City / Country	/ United States	Vote Deadline Date	26-Apr-2017

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: JANET F. CLARK	Management	For	For
1B.	ELECTION OF DIRECTOR: CHARLES R. CRISP	Management	For	For
1C.	ELECTION OF DIRECTOR: ROBERT P. DANIELS	Management	For	For
1D.	ELECTION OF DIRECTOR: JAMES C. DAY	Management	For	For
1E.	ELECTION OF DIRECTOR: DONALD F. TEXTOR	Management	For	For
1F.	ELECTION OF DIRECTOR: WILLIAM R. THOMAS	Management	For	For
1G.	ELECTION OF DIRECTOR: FRANK G. WISNER	Management	For	For
2.	TO RATIFY THE APPOINTMENT BY THE AUDIT COMMITTEE OF THE BOARD OF DIRECTORS OF DELOITTE & TOUCHE LLP, INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM, AS AUDITORS FOR THE COMPANY FOR THE YEAR ENDING DECEMBER 31, 2017.	Management	For	For
3.		Management	For	For

TO APPROVE AN AMENDMENT OF THE COMPANY'S RESTATED CERTIFICATE OF INCORPORATION TO INCREASE THE NUMBER OF AUTHORIZED SHARES OF COMMON STOCK FROM 640 MILLION TO 1.28 BILLION.

4. TO APPROVE, BY NON-BINDING VOTE, THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS. Management For For

5. TO RECOMMEND, BY NON-BINDING VOTE, THE FREQUENCY OF HOLDING ADVISORY VOTES ON THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS. Management 3 Years Against

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	997GLV	STATE STREET BANK & TRUST CO	5,700	0	18-Mar-2017	28-Apr-2017
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	7,100	0	18-Mar-2017	28-Apr-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	17,800	0	18-Mar-2017	28-Apr-2017
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK	600	23,500	18-Mar-2017	28-Apr-2017

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997AL47	GLQ BNP PLEDGE	997AL47	& TRUST CO STATE STREET BANK & TRUST CO	1,900	29,900	18-Mar-2017	28-Apr-2017
997AL49	GLO BNP PLEDGE	997AL49	& TRUST CO STATE STREET BANK & TRUST CO	500	79,500	18-Mar-2017	28-Apr-2017

PFIZER INC.

Security	717081103	Meeting Type	Annual
Ticker Symbol	PFE	Meeting Date	27-Apr-2017
ISIN	US7170811035	Agenda	934540798 - Management
Record Date	28-Feb-2017	Holding Recon Date	28-Feb-2017
City / Country	/ United States	Vote Deadline Date	26-Apr-2017

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: DENNIS A. AUSIELLO	Management	For	For
1B.	ELECTION OF DIRECTOR: RONALD E. BLAYLOCK	Management	For	For
1C.	ELECTION OF DIRECTOR: W. DON CORNWELL	Management	For	For
1D.	ELECTION OF DIRECTOR: JOSEPH J. ECHEVARRIA	Management	For	For
1E.	ELECTION OF DIRECTOR: FRANCES D. FERGUSSON	Management	For	For
1F.	ELECTION OF DIRECTOR: HELEN H. HOBBS	Management	For	For
1G.	ELECTION OF DIRECTOR: JAMES M. KILTS	Management	For	For
1H.		Management	For	For

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	ELECTION OF DIRECTOR: SHANTANU NARAYEN			
1I.	ELECTION OF DIRECTOR: SUZANNE NORA JOHNSON	Management	For	For
1J.	ELECTION OF DIRECTOR: IAN C. READ	Management	For	For
1K.	ELECTION OF DIRECTOR: STEPHEN W. SANGER	Management	For	For
1L.	ELECTION OF DIRECTOR: JAMES C. SMITH	Management	For	For
2.	RATIFY THE SELECTION OF KPMG LLP AS INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017	Management	For	For
3.	ADVISORY APPROVAL OF EXECUTIVE COMPENSATION	Management	For	For
4.	ADVISORY VOTE ON THE FREQUENCY OF FUTURE ADVISORY VOTES ON EXECUTIVE COMPENSATION	Management	3 Years	Against
5.	SHAREHOLDER PROPOSAL REGARDING THE HOLY LAND PRINCIPLES	Shareholder	Against	For
6.	SHAREHOLDER PROPOSAL REGARDING SPECIAL SHAREOWNER MEETINGS	Shareholder	Against	For
7.	SHAREHOLDER PROPOSAL REGARDING INDEPENDENT CHAIR POLICY	Shareholder	Against	For

Account Number	Account Name	Internal Account	Custodian Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	997GLV	STATE STREET BANK & TRUST CO 17,800	0	17-Mar-2017	28-Apr-2017
997AL45		997AL45	100	43,500	17-Mar-2017	28-Apr-2017

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	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO				
997AL47	GLQ BNP PLEDGE	997AL47 STATE STREET BANK & TRUST CO	100	81,500	17-Mar-2017	28-Apr-2017
997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	100	157,100	17-Mar-2017	28-Apr-2017
997AL60	GLV MS PLEDGE	997AL60 STATE STREET BANK & TRUST CO	12,000	0	17-Mar-2017	28-Apr-2017

AKORN, INC.

Security	009728106	Meeting Type	Annual
Ticker Symbol	AKRX	Meeting Date	27-Apr-2017
ISIN	US0097281069	Agenda	934560308 - Management
Record Date	13-Mar-2017	Holding Recon Date	13-Mar-2017
City / Country	/ United States	Vote Deadline Date	26-Apr-2017

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1	JOHN KAPOOR, PHD	For	For
	2	KENNETH ABRAMOWITZ	For	For
	3	ADRIENNE GRAVES, PHD	For	For
	4	RONALD JOHNSON	For	For

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5	STEVEN MEYER		For	For
6	TERRY ALLISON RAPPUHN		For	For
7	BRIAN TAMBI		For	For
8	ALAN WEINSTEIN		For	For
2.	PROPOSAL TO RATIFY THE APPOINTMENT OF BDO USA, LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING DECEMBER 31, 2017.	Management	For	For
3.	PROPOSAL TO APPROVE THE 2017 OMNIBUS INCENTIVE COMPENSATION PLAN.	Management	For	For
4.	PROPOSAL TO APPROVE, THROUGH A NON- BINDING ADVISORY VOTE, THE FREQUENCY OF FUTURE NON-BINDING ADVISORY VOTES ON THE COMPANY'S EXECUTIVE COMPENSATION PROGRAMS.	Management	3 Years	Against
5.	PROPOSAL TO APPROVE, THROUGH A NON- BINDING ADVISORY VOTE, THE COMPANY'S EXECUTIVE COMPENSATION PROGRAM AS DESCRIBED IN THE COMPANY'S 2017 PROXY STATEMENT.	Management	For	For

Account Number	Account Name	Internal Account	Custodian Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO 39,000	0	28-Mar-2017	28-Apr-2017
997GLO	GLO	997GLO	96,700	0	28-Mar-2017	28-Apr-2017

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997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO	997AL47	38,600	0	28-Mar-2017	28-Apr-2017
997AL49	GLO BNP PLEDGE	STATE STREET BANK & TRUST CO	997AL49	54,500	0	28-Mar-2017	28-Apr-2017
997AL53	GLO MS PLEDGE	STATE STREET BANK & TRUST CO	997AL53	20,700	0	28-Mar-2017	28-Apr-2017

BRISTOL-MYERS SQUIBB COMPANY

Security	110122108	Meeting Type	Annual
Ticker Symbol	BMJ	Meeting Date	02-May-2017
ISIN	US1101221083	Agenda	934547538 - Management
Record Date	14-Mar-2017	Holding Recon Date	14-Mar-2017
City / Country	/ United States	Vote Deadline Date	01-May-2017

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: P. J. ARDUINI	Management	For	For
1B.	ELECTION OF DIRECTOR: R. J. BERTOLINI	Management	For	For
1C.	ELECTION OF DIRECTOR: G. CAFORIO, M.D.	Management	For	For
1D.	ELECTION OF DIRECTOR: M.	Management	For	For

W. EMMENS

1E.	ELECTION OF DIRECTOR: L. H. GLIMCHER, M.D.	Management	For	For
1F.	ELECTION OF DIRECTOR: M. GROBSTEIN	Management	For	For
1G.	ELECTION OF DIRECTOR: A. J. LACY	Management	For	For
1H.	ELECTION OF DIRECTOR: D. C. PALIWAL	Management	For	For
1I.	ELECTION OF DIRECTOR: T. R. SAMUELS	Management	For	For
1J.	ELECTION OF DIRECTOR: G. L. STORCH	Management	For	For
1K.	ELECTION OF DIRECTOR: V. L. SATO, PH.D.	Management	For	For
2.	ADVISORY VOTE TO APPROVE THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	Management	For	For
3.	ADVISORY VOTE ON THE FREQUENCY OF THE ADVISORY VOTE TO APPROVE THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	Management	3 Years	Against
4.	RE-APPROVAL OF THE MATERIALS TERMS OF THE PERFORMANCE-BASED AWARDS UNDER THE COMPANY'S 2012 STOCK AWARD AND INCENTIVE PLAN (AS AMENDED).	Management	For	For
5.	APPROVAL OF AN AMENDMENT TO THE COMPANY'S 2012 STOCK AWARD AND INCENTIVE PLAN.	Management	For	For
6.	RATIFICATION OF THE APPOINTMENT OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.	Management	For	For

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7. SHAREHOLDER PROPOSAL
TO LOWER THE SHARE
OWNERSHIP THRESHOLD Shareholder Against For
TO CALL SPECIAL
SHAREHOLDER MEETINGS.

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	997GLV	STATE STREET BANK & TRUST CO	9,200	0	24-Mar-2017	03-May-2017
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	24,200	0	24-Mar-2017	03-May-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	49,700	0	24-Mar-2017	03-May-2017
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	187	23,100	24-Mar-2017	03-May-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	46,616	40,000	24-Mar-2017	03-May-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	39,400	0	24-Mar-2017	03-May-2017
997AL53		997AL53		29,000	0	24-Mar-2017	03-May-2017

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	GLO MS PLEDGE	STATE STREET BANK & TRUST CO			
997AL60	GLV MS PLEDGE	997AL60 BANK & TRUST CO	900	0	24-Mar-2017 03-May-2017

MTGE INVESTMENT CORP.

Security	55378A105	Meeting Type	Annual
Ticker Symbol	MTGE	Meeting Date	02-May-2017
ISIN	US55378A1051	Agenda	934555472 - Management
Record Date	08-Mar-2017	Holding Recon Date	08-Mar-2017
City / Country	/ United States	Vote Deadline Date	01-May-2017

SEDOL(s) Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: GARY D. KAIN	Management	For	For
1B.	ELECTION OF DIRECTOR: STEVEN W. ABRAHAMS	Management	For	For
1C.	ELECTION OF DIRECTOR: JULIA L. CORONADO	Management	For	For
1D.	ELECTION OF DIRECTOR: ROBERT M. COUCH	Management	For	For
1E.	ELECTION OF DIRECTOR: RANDY E.DOBBS	Management	For	For
2.	ADVISORY VOTE TO APPROVE THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	Management	For	For
3.	ADVISORY VOTE TO SELECT THE FREQUENCY OF FUTURE ADVISORY VOTES ON THE	Management	3 Years	Against

COMPENSATION OF OUR
NAMED EXECUTIVE
OFFICERS.

RATIFICATION OF
APPOINTMENT OF ERNST &
YOUNG LLP AS OUR

4. INDEPENDENT PUBLIC Management For For
ACCOUNTANT FOR THE
YEAR ENDING DECEMBER
31, 2017.

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	997GLV	STATE STREET BANK & TRUST CO	25,100	0	24-Mar-2017	03-May-2017
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	99,700	0	24-Mar-2017	03-May-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	69,061	0	24-Mar-2017	03-May-2017
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	11,400	27,000	24-Mar-2017	03-May-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	3,700	57,700	24-Mar-2017	03-May-2017

CABLE ONE, INC.

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Security	12685J105	Meeting Type	Annual
Ticker Symbol	CABO	Meeting Date	02-May-2017
ISIN	US12685J1051	Agenda	934571084 - Management
Record Date	10-Mar-2017	Holding Recon Date	10-Mar-2017
City / Country	/ United States	Vote Deadline Date	01-May-2017

SEDOL(s)	Quick Code
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Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: ALAN G. SPOON	Management	For	For
1B.	ELECTION OF DIRECTOR: WALLACE R. WEITZ	Management	For	For
2.	TO RATIFY THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM OF THE COMPANY FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017	Management	For	For
3.	TO APPROVE THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS FOR 2016 ON AN ADVISORY BASIS	Management	For	For
4.	TO SELECT THE FREQUENCY OF FUTURE ADVISORY VOTES ON EXECUTIVE COMPENSATION ON AN ADVISORY BASIS	Management	3 Years	Against
5.	TO APPROVE THE AMENDED AND RESTATED CABLE ONE, INC. 2015 OMNIBUS INCENTIVE COMPENSATION PLAN	Management	For	For

Account Number	Account Name	Internal Account	Ballot Custodian Shares	Unavailable Shares	Vote Date	Date Confirmed
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997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	1,700	0	04-Apr-2017	03-May-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	4,508	0	04-Apr-2017	03-May-2017
997AL60	GLV MS PLEDGE	997AL60	STATE STREET BANK & TRUST CO	1,100	0	04-Apr-2017	03-May-2017

PULTEGROUP, INC.

Security	745867101	Meeting Type	Annual
Ticker Symbol	PHM	Meeting Date	03-May-2017
ISIN	US7458671010	Agenda	934549758 - Management
Record Date	10-Mar-2017	Holding Recon Date	10-Mar-2017
City / Country	/ United States	Vote Deadline Date	02-May-2017

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: BRIAN P. ANDERSON	Management	For	For
1B.	ELECTION OF DIRECTOR: BRYCE BLAIR	Management	For	For
1C.	ELECTION OF DIRECTOR: RICHARD W. DREILING	Management	For	For
1D.	ELECTION OF DIRECTOR: THOMAS J. FOLLIARD	Management	For	For
1E.	ELECTION OF DIRECTOR: JOSHUA GOTBAUM	Management	For	For
1F.	ELECTION OF DIRECTOR: CHERYL W. GRISE	Management	For	For

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1G.	ELECTION OF DIRECTOR: ANDRE J. HAWAUX	Management	For	For
1H.	ELECTION OF DIRECTOR: RYAN R. MARSHALL	Management	For	For
1I.	ELECTION OF DIRECTOR: PATRICK J. O'LEARY	Management	For	For
1J.	ELECTION OF DIRECTOR: JOHN R. PESHKIN	Management	For	For
1K.	ELECTION OF DIRECTOR: SCOTT F. POWERS	Management	For	For
1L.	ELECTION OF DIRECTOR: WILLIAM J. PULTE	Management	For	For
2.	THE RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.	Management	For	For
3.	AN ADVISORY VOTE TO APPROVE EXECUTIVE COMPENSATION.	Management	For	For
4.	AN ADVISORY VOTE TO APPROVE THE FREQUENCY OF THE ADVISORY VOTE REGARDING EXECUTIVE COMPENSATION.	Management	3 Years	Against

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	997GLV	STATE STREET BANK & TRUST CO	16,700	0	29-Mar-2017	04-May-2017
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	31,500	0	29-Mar-2017	04-May-2017
997GLO	GLO	997GLO	STATE STREET BANK	80,000	0	29-Mar-2017	04-May-2017

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997AL45	GLV BNP PLEDGE	997AL45	100	50,200	29-Mar-2017	04-May-2017
		& TRUST CO STATE STREET BANK & TRUST CO				
997AL47	GLQ BNP PLEDGE	997AL47	100	120,300	29-Mar-2017	04-May-2017
		STATE STREET BANK & TRUST CO				
997AL49	GLO BNP PLEDGE	997AL49	100	253,500	29-Mar-2017	04-May-2017
		STATE STREET BANK & TRUST CO				
997AL60	GLV MS PLEDGE	997AL60	15,000	0	29-Mar-2017	04-May-2017
		STATE STREET BANK & TRUST CO				

MEDEQUITIES RLTY TR INC

Security	58409L306	Meeting Type	Annual
Ticker Symbol	MRT	Meeting Date	03-May-2017
ISIN	US58409L3069	Agenda	934553238 - Management
Record Date	22-Feb-2017	Holding Recon Date	22-Feb-2017
City / Country	/ United States	Vote Deadline Date	02-May-2017

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1	RANDALL L. CHURCHEY	For	For
	2	JOHN N. FOY	For	For

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3	STEVEN I. GERINGER	For	For
4	STEPHEN L. GUILLARD	For	For
5	WILLIAM C. HARLAN	For	For
6	ELLIOTT MANDELBAUM	For	For
7	JOHN W. MCROBERTS	For	For
8	STUART C. MCWHORTER	For	For
9	JAMES B. PIERI	For	For

2. TO RATIFY THE APPOINTMENT OF KPMG LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017. Management For For

3. TO APPROVE THE COMPANY'S AMENDED AND RESTATED 2014 EQUITY INCENTIVE PLAN. Management For For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	997GLV	STATE STREET BANK & TRUST CO	51,200	0	23-Mar-2017	04-May-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	150,000	0	23-Mar-2017	04-May-2017
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	100	69,900	23-Mar-2017	04-May-2017

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997AL49	GLO BNP PLEDGE	STATE STREET BANK & TRUST CO	997AL49 200,000	0	23-Mar-2017	04-May-2017
997AL53	GLO MS PLEDGE	STATE STREET BANK & TRUST CO	997AL53 138,933	0	23-Mar-2017	04-May-2017

DIALOG SEMICONDUCTOR PLC, LONDON

Security	G5821P111	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	04-May-2017
ISIN	GB0059822006	Agenda	707978675 - Management
Record Date		Holding Recon Date	02-May-2017
City / Country	LONDON / United Kingdom	Vote Deadline Date	18-Apr-2017
SEDOL(s)	5982200 - 5982590 - B28ZZL6 - B2QFBR6 - BHZLDS5	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1	ACCEPT FINANCIAL STATEMENTS AND STATUTORY REPORTS	Management	For	For
2	APPROVE REMUNERATION REPORT	Management	For	For
3	REAPPOINT DELOITTE LLP AS AUDITORS	Management	For	For
4	AUTHORISE BOARD TO FIX REMUNERATION OF AUDITORS	Management	For	For
5	RE-ELECT EAMONN O'HARE AS DIRECTOR	Management	For	For
6	RE-ELECT CHRISTOPHER BURKE AS DIRECTOR	Management	For	For

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7	RE-ELECT AIDAN HUGHES AS DIRECTOR	Management	For	For
8	ELECT MARY CHAN AS DIRECTOR	Management	For	For
9	ELECT NICHOLAS JEFFERY AS DIRECTOR	Management	For	For
10	AUTHORISE ISSUE OF EQUITY WITH PRE-EMPTIVE RIGHTS	Management	For	For
11	AUTHORISE ISSUE OF EQUITY WITH PRE-EMPTIVE RIGHTS IN CONNECTION WITH A RIGHTS ISSUE	Management	For	For
12	AUTHORISE ISSUE OF EQUITY WITHOUT PRE-EMPTIVE RIGHTS	Management	For	For
13	AUTHORISE ISSUE OF EQUITY WITHOUT PRE-EMPTIVE RIGHTS IN CONNECTION WITH AN ACQUISITION OR OTHER CAPITAL INVESTMENT	Management	For	For
14	AUTHORISE THE COMPANY TO ENTER INTO A CONTINGENT FORWARD SHARE PURCHASE CONTRACT WITH BARCLAYS BANK PLC	Management	For	For
15	AUTHORISE THE COMPANY TO ENTER INTO A CONTINGENT FORWARD SHARE PURCHASE CONTRACT WITH GOLDMAN SACHS INTERNATIONAL	Management	For	For
16	AUTHORISE THE COMPANY TO ENTER INTO A CONTINGENT FORWARD SHARE PURCHASE CONTRACT WITH HSBC BANK PLC	Management	For	For
17	AUTHORISE THE COMPANY TO ENTER INTO A CONTINGENT FORWARD	Management	For	For

SHARE PURCHASE
CONTRACT WITH MERRILL
LYNCH INTERNATIONAL

18 AUTHORISE THE COMPANY
TO CALL GENERAL
MEETING WITH TWO
WEEKS' NOTICE Management For For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
GLQ	GLQ	STATE STREET BANK		62,318	0	14-Apr-2017	21-Apr-2017
GLO	GLO	STATE STREET BANK		54,934	0	14-Apr-2017	21-Apr-2017

STARWOOD PROPERTY TRUST INC

Security	85571B105	Meeting Type	Annual
Ticker Symbol	STWD	Meeting Date	04-May-2017
ISIN	US85571B1052	Agenda	934571844 - Management
Record Date	17-Mar-2017	Holding Recon Date	17-Mar-2017
City / Country	/ United States	Vote Deadline Date	03-May-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 RICHARD D. BRONSON		For	For
	2 JEFFREY G. DISHNER		For	For
	3 CAMILLE J. DOUGLAS		For	For
	4 SOLOMON J. KUMIN		For	For
	5 BARRY S. STERNLICHT		For	For
	6 STRAUSS ZELNICK		For	For
2.	TO APPROVE, ON AN ADVISORY BASIS, THE COMPANY'S EXECUTIVE	Management	For	For

COMPENSATION AS DISCLOSED IN THE ACCOMPANYING PROXY STATEMENT.

3. TO APPROVE, ON AN ADVISORY BASIS, THE FREQUENCY OF THE ADVISORY VOTE ON THE COMPANY'S EXECUTIVE COMPENSATION. Management 3 Years Against

4. TO APPROVE THE STARWOOD PROPERTY TRUST, INC. 2017 MANAGER EQUITY PLAN, WHICH SUCCEEDS AND REPLACES THE STARWOOD PROPERTY TRUST, INC. MANAGER EQUITY PLAN, AS AMENDED, AS DISCLOSED IN THE ACCOMPANYING PROXY STATEMENT. Management For For

5. TO APPROVE THE STARWOOD PROPERTY TRUST, INC. 2017 EQUITY PLAN, WHICH SUCCEEDS AND REPLACES THE STARWOOD PROPERTY TRUST, INC. EQUITY PLAN, AS AMENDED, AND THE STARWOOD PROPERTY TRUST, INC. NON-EXECUTIVE DIRECTOR STOCK PLAN, AS AMENDED, AS DISCLOSED IN THE ACCOMPANYING PROXY STATEMENT. Management For For

6. TO RATIFY THE AUDIT COMMITTEE'S APPOINTMENT OF DELOITTE & TOUCHE LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE CALENDAR YEAR ENDING DECEMBER 31, 2017. Management For For

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Account Number	Account Name	Internal Account	Custodian Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	997GLV	STATE STREET BANK & TRUST CO 15,000	0	04-Apr-2017	05-May-2017
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO 79,500	0	04-Apr-2017	05-May-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO 90,586	0	04-Apr-2017	05-May-2017
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO 100	100,600	04-Apr-2017	05-May-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO 100	205,800	04-Apr-2017	05-May-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO 100	499,900	04-Apr-2017	05-May-2017
997AL53	GLO MS PLEDGE	997AL53	STATE STREET BANK & TRUST CO 70,000	0	04-Apr-2017	05-May-2017
997AL60	GLV MS	997AL60	STATE STREET BANK & TRUST CO 45,000	0	04-Apr-2017	05-May-2017

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	PLEDGE		STREET BANK & TRUST CO			
997AL61	GLQ MS PLEDGE	997AL61	STATE STREET BANK & TRUST CO	15,000	0	04-Apr-2017 05-May-2017

BOSTON SCIENTIFIC CORPORATION

Security	101137107	Meeting Type	Annual
Ticker Symbol	BSX	Meeting Date	09-May-2017
ISIN	US1011371077	Agenda	934558543 - Management
Record Date	15-Mar-2017	Holding Recon Date	15-Mar-2017
City / Country	/ United States	Vote Deadline Date	08-May-2017

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: NELDA J. CONNORS	Management	For	For
1B.	ELECTION OF DIRECTOR: CHARLES J. DOCKENDORFF	Management	For	For
1C.	ELECTION OF DIRECTOR: YOSHIAKI FUJIMORI	Management	For	For
1D.	ELECTION OF DIRECTOR: DONNA A. JAMES	Management	For	For
1E.	ELECTION OF DIRECTOR: EDWARD J. LUDWIG	Management	For	For
1F.	ELECTION OF DIRECTOR: STEPHEN P. MACMILLAN	Management	For	For
1G.	ELECTION OF DIRECTOR: MICHAEL F. MAHONEY	Management	For	For
1H.	ELECTION OF DIRECTOR: DAVID J. ROUX	Management	For	For
1I.	ELECTION OF DIRECTOR: JOHN E. SUNUNU	Management	For	For

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- | | | | | |
|-----|--|------------|---------|---------|
| 1J. | ELECTION OF DIRECTOR:
ELLEN M. ZANE | Management | For | For |
| 2. | TO APPROVE, ON A
NON-BINDING, ADVISORY
BASIS, NAMED EXECUTIVE
OFFICER COMPENSATION. | Management | For | For |
| 3. | TO APPROVE, ON A
NON-BINDING, ADVISORY
BASIS, THE FREQUENCY OF
FUTURE NAMED
EXECUTIVE OFFICER
COMPENSATION ADVISORY
VOTES. | Management | 3 Years | Against |
| 4. | TO RATIFY THE
APPOINTMENT OF ERNST &
YOUNG LLP AS OUR
INDEPENDENT REGISTERED
PUBLIC ACCOUNTING FIRM
FOR THE 2017 FISCAL YEAR. | Management | For | For |

Account Number	Account Name	Internal Account	Custodian Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO 52,700	0	29-Mar-2017	10-May-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO 99,900	0	29-Mar-2017	10-May-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO 100	74,700	29-Mar-2017	10-May-2017
997AL53	GLO MS PLEDGE	997AL53	STATE STREET BANK & TRUST 30,000	0	29-Mar-2017	10-May-2017

CO

GILEAD SCIENCES, INC.

Security	375558103	Meeting Type	Annual
Ticker Symbol	GILD	Meeting Date	10-May-2017
ISIN	US3755581036	Agenda	934558810 - Management
Record Date	16-Mar-2017	Holding Recon Date	16-Mar-2017
City / Country	/ United States	Vote Deadline Date	09-May-2017

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: JOHN F. COGAN, PH.D.	Management	For	For
1B.	ELECTION OF DIRECTOR: KELLY A. KRAMER	Management	For	For
1C.	ELECTION OF DIRECTOR: KEVIN E. LOFTON	Management	For	For
1D.	ELECTION OF DIRECTOR: JOHN C. MARTIN, PH.D.	Management	For	For
1E.	ELECTION OF DIRECTOR: JOHN F. MILLIGAN, PH.D.	Management	For	For
1F.	ELECTION OF DIRECTOR: NICHOLAS G. MOORE	Management	For	For
1G.	ELECTION OF DIRECTOR: RICHARD J. WHITLEY, M.D	Management	For	For
1H.	ELECTION OF DIRECTOR: GAYLE E. WILSON	Management	For	For
1I.	ELECTION OF DIRECTOR: PER WOLD-OLSEN	Management	For	For
2.	RATIFICATION OF THE SELECTION OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.	Management	For	For
3.	RESTATEMENT OF THE GILEAD SCIENCES, INC. 2004 EQUITY INCENTIVE PLAN.	Management	For	For
4.	ADVISORY VOTE TO	Management	For	For

APPROVE THE
COMPENSATION OF OUR
NAMED EXECUTIVE
OFFICERS.

5. ADVISORY VOTE AS TO THE
FREQUENCY OF FUTURE
ADVISORY SHAREHOLDER
VOTES ON EXECUTIVE
COMPENSATION. Management 3 Years Against

6. STOCKHOLDER PROPOSAL
REQUESTING THAT THE
BOARD TAKE STEPS TO
PERMIT STOCKHOLDER
ACTION BY WRITTEN
CONSENT. Shareholder Against For

7. STOCKHOLDER PROPOSAL
REQUESTING THAT THE
BOARD ADOPT A POLICY
THAT THE CHAIRMAN OF
THE BOARD OF DIRECTORS
BE AN INDEPENDENT
DIRECTOR. Shareholder Against For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	3,600	6,200	29-Mar-2017	11-May-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	1,300	19,900	29-Mar-2017	11-May-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	4,000	35,200	29-Mar-2017	11-May-2017
997AL61	GLQ MS PLEDGE	997AL61	STATE STREET	3,700	0	29-Mar-2017	11-May-2017

BANK
&
TRUST
CO

SERVICE CORPORATION INTERNATIONAL

Security	817565104	Meeting Type	Annual
Ticker Symbol	SCI	Meeting Date	10-May-2017
ISIN	US8175651046	Agenda	934564356 - Management
Record Date	13-Mar-2017	Holding Recon Date	13-Mar-2017
City / Country	/ United States	Vote Deadline Date	09-May-2017

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 THOMAS L. RYAN		For	For
	2 CLIFTON H. MORRIS, JR.		For	For
	3 W. BLAIR WALTRIP		For	For
2.	TO APPROVE THE SELECTION OF PRICEWATERHOUSECOOPERS LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR FISCAL 2017.	Management	For	For
3.	TO APPROVE, BY ADVISORY VOTE, NAMED EXECUTIVE OFFICER COMPENSATION.	Management	For	For
4.	TO APPROVE HOLDING AN ADVISORY VOTE ON NAMED EXECUTIVE OFFICER COMPENSATION EVERY ONE, TWO OR THREE YEARS, AS INDICATED.	Management	3 Years	Against
5.	TO APPROVE THE AMENDED AND RESTATED 2016 EQUITY INCENTIVE PLAN.	Management	For	For

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6. TO APPROVE THE SHAREHOLDER PROPOSAL TO REQUIRE INDEPENDENT BOARD CHAIRMAN. Shareholder Against For
7. TO APPROVE THE SHAREHOLDER PROPOSAL TO ADOPT SIMPLE MAJORITY VOTE. Shareholder Against For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	900	28,200	31-Mar-2017	11-May-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	5,400	35,500	31-Mar-2017	11-May-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	1,300	70,900	31-Mar-2017	11-May-2017

ALEXION PHARMACEUTICALS, INC.

Security	015351109	Meeting Type	Annual
Ticker Symbol	ALXN	Meeting Date	10-May-2017
ISIN	US0153511094	Agenda	934568710 - Management
Record Date	14-Mar-2017	Holding Recon Date	14-Mar-2017
City / Country	/ United States	Vote Deadline Date	09-May-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: FELIX J. BAKER	Management	For	For

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1B.	ELECTION OF DIRECTOR: DAVID R. BRENNAN	Management	For	For
1C.	ELECTION OF DIRECTOR: M. MICHELE BURNS	Management	For	For
1D.	ELECTION OF DIRECTOR: CHRISTOPHER J. COUGHLIN	Management	For	For
1E.	ELECTION OF DIRECTOR: LUDWIG N. HANTSON	Management	For	For
1F.	ELECTION OF DIRECTOR: JOHN T. MOLLEN	Management	For	For
1G.	ELECTION OF DIRECTOR: R. DOUGLAS NORBY	Management	For	For
1H.	ELECTION OF DIRECTOR: ALVIN S. PARVEN	Management	For	For
1I.	ELECTION OF DIRECTOR: ANDREAS RUMMELT	Management	For	For
1J.	ELECTION OF DIRECTOR: ANN M. VENEMAN	Management	For	For
2.	TO APPROVE ALEXION'S 2017 INCENTIVE PLAN.	Management	For	For
3.	RATIFICATION OF APPOINTMENT BY THE BOARD OF DIRECTORS OF PRICEWATERHOUSECOOPERS LLP AS ALEXION'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.	Management	For	For
4.	APPROVAL OF A NON-BINDING ADVISORY VOTE OF THE 2016 COMPENSATION PAID TO ALEXION'S NAMED EXECUTIVE OFFICERS.	Management	For	For
5.	TO RECOMMEND, BY NON-BINDING VOTE, THE FREQUENCY OF FUTURE ADVISORY VOTES ON EXECUTIVE COMPENSATION.	Management	3 Years	Against
6.	TO REQUEST THE BOARD IMPLEMENT CONFIDENTIAL SHAREHOLDER VOTING ON	Shareholder	Against	For

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EXECUTIVE PAY MATTERS.

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	19,500	0	01-Apr-2017	11-May-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	14,100	0	01-Apr-2017	11-May-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	100	34,900	01-Apr-2017	11-May-2017

JPMORGAN CHASE & CO.

Security	46625H100	Meeting Type	Annual
Ticker Symbol	JPM	Meeting Date	16-May-2017
ISIN	US46625H1005	Agenda	934561665 - Management
Record Date	17-Mar-2017	Holding Recon Date	17-Mar-2017
City / Country	/ United States	Vote Deadline Date	15-May-2017

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: LINDA B. BAMMANN	Management	For	For
1B.	ELECTION OF DIRECTOR: JAMES A. BELL	Management	For	For
1C.	ELECTION OF DIRECTOR: CRANDALL C. BOWLES	Management	For	For
1D.	ELECTION OF DIRECTOR: STEPHEN B. BURKE	Management	For	For

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1E.	ELECTION OF DIRECTOR: TODD A. COMBS	Management	For	For
1F.	ELECTION OF DIRECTOR: JAMES S. CROWN	Management	For	For
1G.	ELECTION OF DIRECTOR: JAMES DIMON	Management	For	For
1H.	ELECTION OF DIRECTOR: TIMOTHY P. FLYNN	Management	For	For
1I.	ELECTION OF DIRECTOR: LABAN P. JACKSON, JR.	Management	For	For
1J.	ELECTION OF DIRECTOR: MICHAEL A. NEAL	Management	For	For
1K.	ELECTION OF DIRECTOR: LEE R. RAYMOND	Management	For	For
1L.	ELECTION OF DIRECTOR: WILLIAM C. WELDON	Management	For	For
2.	ADVISORY RESOLUTION TO APPROVE EXECUTIVE COMPENSATION	Management	For	For
3.	RATIFICATION OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM	Management	For	For
4.	ADVISORY VOTE ON FREQUENCY OF ADVISORY RESOLUTION TO APPROVE EXECUTIVE COMPENSATION	Management	3 Years	Against
5.	INDEPENDENT BOARD CHAIRMAN	Shareholder	Against	For
6.	VESTING FOR GOVERNMENT SERVICE	Shareholder	Against	For
7.	CLAWBACK AMENDMENT	Shareholder	Against	For
8.	GENDER PAY EQUITY	Shareholder	Against	For
9.	HOW VOTES ARE COUNTED	Shareholder	Against	For
10.	SPECIAL SHAREOWNER MEETINGS	Shareholder	Against	For

Account Number	Account Name	Internal Account	Ballot Custodian Shares	Unavailable Shares	Vote Date	Date Confirmed
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997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	100	8,000	07-Apr-2017	17-May-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	100	13,100	07-Apr-2017	17-May-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	100	33,000	07-Apr-2017	17-May-2017

ALIGN TECHNOLOGY, INC.

Security	016255101	Meeting Type	Annual
Ticker Symbol	ALGN	Meeting Date	17-May-2017
ISIN	US0162551016	Agenda	934559874 - Management
Record Date	22-Mar-2017	Holding Recon Date	22-Mar-2017
City / Country	/ United States	Vote Deadline Date	16-May-2017

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: JOSEPH M. HOGAN	Management	For	For
1B.	ELECTION OF DIRECTOR: JOSEPH LACOB	Management	For	For
1C.	ELECTION OF DIRECTOR: C. RAYMOND LARKIN, JR.	Management	For	For
1D.	ELECTION OF DIRECTOR: GEORGE J. MORROW	Management	For	For
1E.	ELECTION OF DIRECTOR: THOMAS M. PRESCOTT	Management	For	For
1F.	ELECTION OF DIRECTOR: ANDREA L. SAIA	Management	For	For

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1G.	ELECTION OF DIRECTOR: GREG J. SANTORA	Management	For	For
1H.	ELECTION OF DIRECTOR: SUSAN E. SIEGEL	Management	For	For
1I.	ELECTION OF DIRECTOR: WARREN S. THALER	Management	For	For
2.	PROPOSAL TO RATIFY THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS ALIGN TECHNOLOGY, INC.'S INDEPENDENT REGISTERED PUBLIC ACCOUNTANTS FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For
3.	ADVISORY VOTE TO APPROVE NAMED EXECUTIVE OFFICER COMPENSATION.	Management	For	For
4.	ADVISORY VOTE ON THE FREQUENCY OF HOLDING AN ADVISORY VOTE ON EXECUTIVE COMPENSATION.	Management	3 Years	Against

Account Number	Account Name	Internal Account	Ballot Custodian Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO 21,600	0	08-Apr-2017	18-May-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO 22,200	0	08-Apr-2017	18-May-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO 100	49,900	08-Apr-2017	18-May-2017

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997AL53	GLO MS PLEDGE	997AL53	TRUST CO STATE STREET BANK & TRUST CO	10,000	0	08-Apr-2017	18-May-2017
997AL61	GLQ MS PLEDGE	997AL61	TRUST CO STATE STREET BANK & TRUST CO	10,000	0	08-Apr-2017	18-May-2017

ELLIE MAE, INC.

Security	28849P100	Meeting Type	Annual
Ticker Symbol	ELLI	Meeting Date	17-May-2017
ISIN	US28849P1003	Agenda	934563746 - Management
Record Date	22-Mar-2017	Holding Recon Date	22-Mar-2017
City / Country	/ United States	Vote Deadline Date	16-May-2017

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 KAREN BLASING		For	For
	2 JONATHAN CORR		For	For
	3 ROBERT J. LEVIN		For	For
	4 JEB S. SPENCER		For	For
2.	TO RATIFY THE SELECTION, BY THE AUDIT COMMITTEE OF THE BOARD OF DIRECTORS, OF GRANT THORNTON LLP AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM OF THE COMPANY FOR ITS FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For
3.		Management	For	For

TO APPROVE, ON AN
ADVISORY BASIS, THE
NAMED EXECUTIVE
OFFICER COMPENSATION
FOR THE FISCAL YEAR
ENDED DECEMBER 31, 2016
AS DISCLOSED IN THE
PROXY STATEMENT

TO CONSIDER A
STOCKHOLDER PROPOSAL
IF PROPERLY PRESENTED

4. AT THE MEETING, RELATED Shareholder Against For
TO THE DECLASSIFICATION
OF THE BOARD OF
DIRECTORS.

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	19,100	0	07-Apr-2017	18-May-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	47,900	0	07-Apr-2017	18-May-2017

SOLAR CAPITAL LTD

Security	83413U100	Meeting Type	Annual
Ticker Symbol	SLRC	Meeting Date	17-May-2017
ISIN	US83413U1007	Agenda	934568518 - Management
Record Date	22-Mar-2017	Holding Recon Date	22-Mar-2017
City / Country	/ United States	Vote Deadline Date	16-May-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		

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- | | | | |
|---|------------------------|-----|-----|
| 1 | MR. BRUCE SPOHLER | For | For |
| 2 | MR. STEVEN
HOCHBERG | For | For |

TO APPROVE A PROPOSAL
TO AUTHORIZE THE
COMPANY TO SELL SHARES
OF ITS COMMON STOCK AT
A PRICE OR PRICES BELOW
THE COMPANY'S THEN
CURRENT NET ASSET
VALUE PER SHARE IN ONE
OR MORE OFFERINGS, IN
EACH CASE SUBJECT TO
THE APPROVAL OF ITS
BOARD OF DIRECTORS AND
COMPLIANCE WITH THE
CONDITIONS SET FORTH IN
THE PROXY STATEMENT
PERTAINING THERETO
(INCLUDING, WITHOUT
LIMITATION, THAT THE
NUMBER OF SHARES
ISSUED DOES NOT EXCEED
25% OF THE COMPANY'S
THEN OUTSTANDING
COMMON STOCK
IMMEDIATELY PRIOR TO
EACH SUCH OFFERING).

2. Management For For

Account Number	Account Name	Internal Account	Custodian Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	997GLV	STATE STREET BANK & TRUST CO 30,900	0	01-Apr-2017	18-May-2017
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO 100	47,000	01-Apr-2017	18-May-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK 100	75,000	01-Apr-2017	18-May-2017

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997AL49	GLO BNP PLEDGE	997AL49	37,631	0	01-Apr-2017	18-May-2017
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&
 TRUST
 CO

 STATE
 STREET
 BANK
 &
 TRUST
 CO

GLOBAL MEDICAL REIT INC.

Security	37954A204	Meeting Type	Annual
Ticker Symbol	GMRE	Meeting Date	18-May-2017
ISIN	US37954A2042	Agenda	934609340 - Management
Record Date	31-Mar-2017	Holding Recon Date	31-Mar-2017
City / Country	/ United States	Vote Deadline Date	17-May-2017

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 DAVID A. YOUNG		For	For
	2 JEFFREY BUSCH		For	For
	3 HENRY COLE		For	For
	4 MATTHEW L. CYPHER, PHD		For	For
	5 KURT R. HARRINGTON		For	For
	6 ZHANG JINGGUO		For	For
	7 RONALD MARSTON		For	For
	8 DR. ROSCOE MOORE		For	For
	9 ZHANG HUIQI		For	For
2.	TO RATIFY THE APPOINTMENT OF MALONEBAILEY, LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING	Management	For	For

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DECEMBER 31, 2017.

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	997GLV	STATE STREET BANK & TRUST CO	50,000	0	28-Apr-2017	19-May-2017
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	27,000	0	28-Apr-2017	19-May-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	121,000	0	28-Apr-2017	19-May-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	310,000	0	28-Apr-2017	19-May-2017

ARES CAPITAL CORPORATION

Security	04010L103	Meeting Type	Annual
Ticker Symbol	ARCC	Meeting Date	22-May-2017
ISIN	US04010L1035	Agenda	934557426 - Management
Record Date	09-Mar-2017	Holding Recon Date	09-Mar-2017
City / Country	/ United States	Vote Deadline Date	19-May-2017

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: MICHAEL J AROUGHETI (CLASS I DIRECTOR FOR A	Management	For	For

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TERM OF 3 YEARS
EXPIRING IN 2020)

1B. ELECTION OF DIRECTOR:
ANN TORRE BATES (CLASS I
DIRECTOR FOR A TERM OF
3 YEARS EXPIRING IN 2020) Management For For

1C. ELECTION OF DIRECTOR:
STEVE MCKEEVER (CLASS I
DIRECTOR FOR A TERM OF
3 YEARS EXPIRING IN 2020) Management For For

2. TO RATIFY THE SELECTION
OF KPMG LLP AS THE
COMPANY'S INDEPENDENT
REGISTERED PUBLIC
ACCOUNTING FIRM FOR
THE YEAR ENDING
DECEMBER 31, 2017. Management For For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	997GLV	STATE STREET BANK & TRUST CO	50,000	0	25-Mar-2017	23-May-2017
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	20,000	0	25-Mar-2017	23-May-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	8,309	0	25-Mar-2017	23-May-2017
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	168,300	0	25-Mar-2017	23-May-2017

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997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO	997AL47 301,400	0	25-Mar-2017	23-May-2017
997AL49	GLO BNP PLEDGE	STATE STREET BANK & TRUST CO	997AL49 100	826,991	25-Mar-2017	23-May-2017
997AL60	GLV MS PLEDGE	STATE STREET BANK & TRUST CO	997AL60 15,000	0	25-Mar-2017	23-May-2017
997AL61	GLQ MS PLEDGE	STATE STREET BANK & TRUST CO	997AL61 110,000	0	25-Mar-2017	23-May-2017

ARES CAPITAL CORPORATION

Security	04010L103	Meeting Type	Special
Ticker Symbol	ARCC	Meeting Date	22-May-2017
ISIN	US04010L1035	Agenda	934560598 - Management
Record Date	09-Mar-2017	Holding Recon Date	09-Mar-2017
City / Country	/ United States	Vote Deadline Date	19-May-2017

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	TO AUTHORIZE THE COMPANY, WITH THE APPROVAL OF ITS BOARD OF DIRECTORS, TO SELL OR OTHERWISE ISSUE SHARES OF ITS COMMON STOCK AT A PRICE BELOW ITS THEN CURRENT NET ASSET VALUE PER SHARE	Management	For	For

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SUBJECT TO THE
LIMITATIONS SET FORTH IN
THE PROXY STATEMENT
FOR THE SPECIAL MEETING
OF STOCKHOLDERS
(INCLUDING, ...(DUE TO
SPACE LIMITS, SEE PROXY
STATEMENT FOR FULL
PROPOSAL).

Account Number	Account Name	Internal Account	Custodian Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	997GLV	STATE STREET BANK & TRUST CO 50,000	0	28-Mar-2017	23-May-2017
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO 20,000	0	28-Mar-2017	23-May-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO 8,309	0	28-Mar-2017	23-May-2017
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO 168,300	0	28-Mar-2017	23-May-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO 301,400	0	28-Mar-2017	23-May-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK 100	826,991	28-Mar-2017	23-May-2017

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997AL60	GLV MS PLEDGE	997AL60	& TRUST CO STATE STREET BANK & TRUST CO	15,000	0	28-Mar-2017	23-May-2017
997AL61	GLQ MS PLEDGE	997AL61	& TRUST CO STATE STREET BANK & TRUST CO	110,000	0	28-Mar-2017	23-May-2017

MERCK & CO., INC.

Security	58933Y105	Meeting Type	Annual
Ticker Symbol	MRK	Meeting Date	23-May-2017
ISIN	US58933Y1055	Agenda	934581439 - Management
Record Date	27-Mar-2017	Holding Recon Date	27-Mar-2017
City / Country	/ United States	Vote Deadline Date	22-May-2017

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: LESLIE A. BRUN	Management	For	For
1B.	ELECTION OF DIRECTOR: THOMAS R. CECH	Management	For	For
1C.	ELECTION OF DIRECTOR: PAMELA J. CRAIG	Management	For	For
1D.	ELECTION OF DIRECTOR: KENNETH C. FRAZIER	Management	For	For
1E.	ELECTION OF DIRECTOR: THOMAS H. GLOCER	Management	For	For
1F.	ELECTION OF DIRECTOR: ROCHELLE B. LAZARUS	Management	For	For
1G.	ELECTION OF DIRECTOR: JOHN H. NOSEWORTHY	Management	For	For
1H.		Management	For	For

	ELECTION OF DIRECTOR: CARLOS E. REPRESAS			
1I.	ELECTION OF DIRECTOR: PAUL B. ROTHMAN	Management	For	For
1J.	ELECTION OF DIRECTOR: PATRICIA F. RUSSO	Management	For	For
1K.	ELECTION OF DIRECTOR: CRAIG B. THOMPSON	Management	For	For
1L.	ELECTION OF DIRECTOR: WENDELL P. WEEKS	Management	For	For
1M.	ELECTION OF DIRECTOR: PETER C. WENDELL	Management	For	For
2.	NON-BINDING ADVISORY VOTE TO APPROVE THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	Management	For	For
3.	NON-BINDING ADVISORY VOTE ON THE FREQUENCY OF FUTURE VOTES TO APPROVE THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	Management	3 Years	Against
4.	RATIFICATION OF THE APPOINTMENT OF THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017.	Management	For	For
5.	SHAREHOLDER PROPOSAL REQUESTING AN INDEPENDENT BOARD CHAIRMAN.	Shareholder	Against	For
6.	SHAREHOLDER PROPOSAL REQUESTING IMPLEMENTATION OF A SET OF EMPLOYEE PRACTICES IN ISRAEL/PALESTINE.	Shareholder	Against	For
7.	SHAREHOLDER PROPOSAL REQUESTING A REPORT ON CONDUCTING BUSINESS IN CONFLICT- AFFECTED	Shareholder	Against	For

AREAS.

SHAREHOLDER PROPOSAL
REQUESTING A REPORT ON
BOARD OVERSIGHT OF
PRODUCT SAFETY AND
QUALITY.

8. Shareholder Against For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	997GLV	STATE STREET BANK & TRUST CO	12,900	0	11-Apr-2017	24-May-2017
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	13,900	0	11-Apr-2017	24-May-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	34,500	0	11-Apr-2017	24-May-2017
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	100	43,300	11-Apr-2017	24-May-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	38,200	41,800	11-Apr-2017	24-May-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	100	174,400	11-Apr-2017	24-May-2017

997AL60	GLV MS PLEDGE	997AL60	STATE STREET BANK & TRUST CO	10,000	0	11-Apr-2017	24-May-2017
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LIBERTY INTERACTIVE CORPORATION

Security	53071M856	Meeting Type	Annual
Ticker Symbol	LVNTA	Meeting Date	24-May-2017
ISIN	US53071M8560	Agenda	934605859 - Management
Record Date	03-Apr-2017	Holding Recon Date	03-Apr-2017
City / Country	/ United States	Vote Deadline Date	23-May-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 EVAN D. MALONE		For	For
	2 DAVID E. RAPLEY		For	For
	3 LARRY E. ROMRELL		For	For
2.	A PROPOSAL TO RATIFY THE SELECTION OF KPMG LLP AS OUR INDEPENDENT AUDITORS FOR THE FISCAL YEAR ENDING DECEMBER 31,2017	Management	For	For
3.	THE SAY-ON-PAY PROPOSAL, TO APPROVE, ON AN ADVISORY BASIS, THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	Management	For	For
4.	THE SAY-ON-FREQUENCY PROPOSAL, TO APPROVE, ON AN ADVISORY BASIS, THE FREQUENCY AT WHICH STOCKHOLDERS ARE PROVIDED AN ADVISORY VOTE ON THE COMPENSATION OF OUR NAMED EXECUTIVE	Management	3 Years	For

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OFFICERS.

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	997GLV	STATE STREET BANK & TRUST CO	14,200	0	26-Apr-2017	25-May-2017
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	20,000	0	26-Apr-2017	25-May-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	5,937	0	26-Apr-2017	25-May-2017
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	100	50,900	26-Apr-2017	25-May-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	101	136,300	26-Apr-2017	25-May-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	384,525	0	26-Apr-2017	25-May-2017
997AL60	GLV MS PLEDGE	997AL60	STATE STREET BANK & TRUST	35,343	0	26-Apr-2017	25-May-2017

997AL61	GLQ MS PLEDGE	997AL61	2,520	0	26-Apr-2017	25-May-2017
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CO
STATE
STREET
BANK
&
TRUST
CO

ENVISION HEALTHCARE CORPORATION

Security	29414D100	Meeting Type	Annual
Ticker Symbol	EVHC	Meeting Date	25-May-2017
ISIN	US29414D1000	Agenda	934582304 - Management
Record Date	29-Mar-2017	Holding Recon Date	29-Mar-2017
City / Country	/ United States	Vote Deadline Date	24-May-2017

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 CAROL J. BURT		For	For
	2 CHRISTOPHER A. HOLDEN		For	For
	3 CYNTHIA S. MILLER		For	For
	4 RONALD A. WILLIAMS		For	For
2.	APPROVAL, ON A NON-BINDING ADVISORY BASIS, OF ENVISION HEALTHCARE CORPORATION'S EXECUTIVE COMPENSATION.	Management	For	For
3.	APPROVAL, ON A NON-BINDING ADVISORY BASIS, OF THE FREQUENCY OF FUTURE ADVISORY VOTES APPROVING ENVISION HEALTHCARE CORPORATION'S EXECUTIVE COMPENSATION.	Management	3 Years	Against

RATIFICATION OF THE
 APPOINTMENT OF
 DELOITTE & TOUCHE LLP
 AS ENVISION HEALTHCARE
 CORPORATION'S
 INDEPENDENT REGISTERED
 PUBLIC ACCOUNTING FIRM
 FOR THE YEAR ENDING
 DECEMBER 31, 2017.

4. Management For For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	9,900	0	14-Apr-2017	26-May-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	23,900	0	14-Apr-2017	26-May-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	100	9,500	14-Apr-2017	26-May-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	100	18,500	14-Apr-2017	26-May-2017

PENNYMAC MORTGAGE INVESTMENT TRUST

Security	70931T103	Meeting Type	Annual
Ticker Symbol	PMT	Meeting Date	25-May-2017
ISIN	US70931T1034	Agenda	934583685 - Management
Record Date	31-Mar-2017	Holding Recon Date	31-Mar-2017
City / Country	/ United States	Vote Deadline Date	24-May-2017

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SEDOL(s)			Quick Code	
Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 PRESTON DUFAUCHARD		For	For
	2 NANCY MCALLISTER		For	For
	3 STACEY D. STEWART		For	For
2.	TO RATIFY THE APPOINTMENT OF DELOITTE & TOUCHE LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For
3.	TO APPROVE, BY NON-BINDING VOTE, OUR EXECUTIVE COMPENSATION.	Management	For	For
4.	TO RECOMMEND, BY NON-BINDING VOTE, THE FREQUENCY OF OUR EXECUTIVE COMPENSATION VOTE.	Management	3 Years	Against

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	10,314	79,400	15-Apr-2017	26-May-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	7,088	199,900	15-Apr-2017	26-May-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK	114	373,900	15-Apr-2017	26-May-2017

&
TRUST
CO

CRISPR THERAPEUTICS AG

Security	H17182108	Meeting Type	Annual
Ticker Symbol	CRSP	Meeting Date	31-May-2017
ISIN	CH0334081137	Agenda	934597812 - Management
Record Date	03-Apr-2017	Holding Recon Date	03-Apr-2017
City / Country	/ Switzerland	Vote Deadline Date	30-May-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	THE APPROVAL OF THE ANNUAL REPORT, THE CONSOLIDATED FINANCIAL STATEMENTS AND THE STATUTORY FINANCIAL STATEMENTS OF THE COMPANY FOR THE YEAR ENDED DECEMBER 31, 2016.	Management	For	For
2.	THE APPROVAL OF THE APPROPRIATION OF FINANCIAL RESULTS.	Management	For	For
3.	THE DISCHARGE OF THE MEMBERS OF THE BOARD OF DIRECTORS AND EXECUTIVE COMMITTEE.	Management	For	For
4A.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: N. ANTHONY COLES, M.D., AS MEMBER AND CHAIRMAN.	Management	For	For
4B.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: RODGER NOVAK, M.D.	Management	For	For
4C.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: BRADLEY BOLZON, PH.D.	Management	For	For

4D.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: ALI BEHBAHANI, M.D.	Management	For	For
4E.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: KURT VON EMSTER.	Management	For	For
4F.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: SIMEON J. GEORGE, M.D.	Management	For	For
4G.	RE-ELECTION OF MEMBERS TO THE BOARD OF DIRECTOR: THOMAS WOIWODE, PH.D.	Management	For	For
4H.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: PABLO CAGNONI, M.D.	Management	For	For
5A.	RE-ELECTION OF MEMBER OF THE COMPENSATION COMMITTEE: THOMAS WOIWODE, PH.D.	Management	For	For
5B.	RE-ELECTION OF MEMBER OF THE COMPENSATION COMMITTEE: PABLO CAGNONI, M.D.	Management	For	For
5C.	RE-ELECTION OF MEMBER OF THE COMPENSATION COMMITTEE: N. ANTHONY COLES, M.D.	Management	For	For
5D.	RE-ELECTION OF MEMBER OF THE COMPENSATION COMMITTEE: SIMEON J. GEORGE, M.D.	Management	For	For
6A.	BINDING VOTE ON TOTAL NON-PERFORMANCE- RELATED COMPENSATION FOR MEMBERS OF THE BOARD OF DIRECTORS FROM THE 2017 ANNUAL GENERAL MEETING TO THE 2018 ANNUAL GENERAL MEETING OF	Management	For	For

SHAREHOLDERS.

6B.	<p>BINDING VOTE ON EQUITY FOR MEMBERS OF THE BOARD OF DIRECTORS FROM THE 2017 ANNUAL GENERAL MEETING TO THE 2018 ANNUAL GENERAL MEETING OF SHAREHOLDERS.</p>	Management	For	For
6C.	<p>BINDING VOTE ON TOTAL NON-PERFORMANCE-RELATED COMPENSATION FOR MEMBERS OF THE EXECUTIVE COMMITTEE FROM JULY 1, 2017 TO JUNE 30, 2018.</p>	Management	For	For
6D.	<p>BINDING VOTE ON TOTAL VARIABLE COMPENSATION FOR MEMBERS OF THE EXECUTIVE COMMITTEE FOR THE CURRENT YEAR ENDING DECEMBER 31, 2017.</p>	Management	For	For
6E.	<p>BINDING VOTE ON EQUITY FOR MEMBERS OF THE EXECUTIVE COMMITTEE FROM THE 2017 ANNUAL GENERAL MEETING TO THE 2018 ANNUAL GENERAL MEETING OF SHAREHOLDERS.</p>	Management	For	For
7.	<p>THE APPROVAL OF AN INCREASE IN THE CONDITIONAL SHARE CAPITAL FOR EMPLOYEE BENEFIT PLANS.</p>	Management	For	For
8.	<p>THE APPROVAL OF THE AMENDED AND RESTATED CRISPR THERAPEUTICS AG 2016 STOCK OPTION AND INCENTIVE PLAN.</p>	Management	For	For
9.	<p>THE RE-ELECTION OF THE INDEPENDENT VOTING RIGHTS REPRESENTATIVE.</p>	Management	For	For
10.	<p>THE RE-ELECTION OF THE</p>	Management	For	For

AUDITORS.

11. THE APPROVAL OF THE CHANGE OF THE REGISTERED OFFICE. Management For For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	57,100	0	22-Apr-2017	01-Jun-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	145,900	0	22-Apr-2017	01-Jun-2017

PARSLEY ENERGY, INC.

Security	701877102	Meeting Type	Annual
Ticker Symbol	PE	Meeting Date	02-Jun-2017
ISIN	US7018771029	Agenda	934591238 - Management
Record Date	06-Apr-2017	Holding Recon Date	06-Apr-2017
City / Country	/ United States	Vote Deadline Date	01-Jun-2017

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 BRYAN SHEFFIELD		For	For
	2 A. R. ALAMEDDINE		For	For

2. TO RATIFY THE APPOINTMENT OF KPMG LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017. Management For For

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3. TO APPROVE, ON A NON-BINDING ADVISORY BASIS, THE COMPANY'S NAMED EXECUTIVE OFFICER COMPENSATION FOR THE FISCAL YEAR ENDED DECEMBER 31, 2016.

Management For For

4. TO ADOPT AN AMENDMENT TO THE COMPANY'S AMENDED AND RESTATED BYLAWS TO IMPLEMENT A MAJORITY VOTING STANDARD IN UNCONTESTED DIRECTOR ELECTIONS.

Management For For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	22,900	0	19-Apr-2017	05-Jun-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	59,700	0	19-Apr-2017	05-Jun-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	400	20,100	19-Apr-2017	05-Jun-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	590	51,200	19-Apr-2017	05-Jun-2017

COGNIZANT TECHNOLOGY SOLUTIONS CORP.

Security 192446102 Meeting Type Annual

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Ticker Symbol	CTSH	Meeting Date	06-Jun-2017	
ISIN	US1924461023	Agenda	934600265 - Management	
Record Date	10-Apr-2017	Holding Recon Date	10-Apr-2017	
City / Country	/ United States	Vote Deadline Date	05-Jun-2017	
SEDOL(s)		Quick Code		
Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: ZEIN ABDALLA	Management	For	For
1B.	ELECTION OF DIRECTOR: BETSY S. ATKINS	Management	For	For
1C.	ELECTION OF DIRECTOR: MAUREEN BREAKIRON-EVANS	Management	For	For
1D.	ELECTION OF DIRECTOR: JONATHAN CHADWICK	Management	For	For
1E.	ELECTION OF DIRECTOR: JOHN M. DINEEN	Management	For	For
1F.	ELECTION OF DIRECTOR: FRANCISCO D'SOUZA	Management	For	For
1G.	ELECTION OF DIRECTOR: JOHN N. FOX, JR.	Management	For	For
1H.	ELECTION OF DIRECTOR: JOHN E. KLEIN	Management	For	For
1I.	ELECTION OF DIRECTOR: LEO S. MACKAY, JR.	Management	For	For
1J.	ELECTION OF DIRECTOR: MICHAEL PATSALOS-FOX	Management	For	For
1K.	ELECTION OF DIRECTOR: ROBERT E. WEISSMAN	Management	For	For
2.	APPROVAL, ON AN ADVISORY (NON-BINDING) BASIS, OF THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS.	Management	For	For
3.	APPROVAL, ON AN ADVISORY (NON-BINDING) BASIS, OF THE FREQUENCY	Management	3 Years	Against

OF FUTURE ADVISORY
VOTES ON THE
COMPENSATION OF THE
COMPANY'S NAMED
EXECUTIVE OFFICERS.

4. APPROVAL OF THE
COMPANY'S 2017
INCENTIVE AWARD PLAN. Management For For

5. RATIFICATION OF THE
APPOINTMENT OF
PRICEWATERHOUSECOOPERS
LLP AS THE COMPANY'S
INDEPENDENT REGISTERED
PUBLIC ACCOUNTING FIRM
FOR THE YEAR ENDING
DECEMBER 31, 2017. Management For For

6. STOCKHOLDER PROPOSAL
REQUESTING THAT THE
BOARD OF DIRECTORS
TAKE THE STEPS
NECESSARY TO ELIMINATE
THE SUPERMAJORITY
VOTING PROVISIONS OF
THE COMPANY'S
CERTIFICATE OF
INCORPORATION AND
BY-LAWS. Management For For

7. STOCKHOLDER PROPOSAL
REQUESTING THAT THE
BOARD OF DIRECTORS
TAKE THE STEPS
NECESSARY TO PERMIT
STOCKHOLDER ACTION BY
WRITTEN CONSENT. Shareholder Against For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	23,500	0	21-Apr-2017	07-Jun-2017
997GLO	GLO	997GLO	STATE STREET	39,400	0	21-Apr-2017	07-Jun-2017

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997AL45	GLV BNP PLEDGE	BANK & TRUST CO	997AL45	13,900	0	21-Apr-2017	07-Jun-2017
		STATE STREET					
997AL49	GLO BNP PLEDGE	BANK & TRUST CO	997AL49	100	76,600	21-Apr-2017	07-Jun-2017
		STATE STREET					
997AL60	GLV MS PLEDGE	BANK & TRUST CO	997AL60	5,000	0	21-Apr-2017	07-Jun-2017
		STATE STREET					
997AL61	GLQ MS PLEDGE	BANK & TRUST CO	997AL61	23,000	0	21-Apr-2017	07-Jun-2017
		STATE STREET					

LADDER CAPITAL CORP

Security	505743104	Meeting Type	Annual
Ticker Symbol	LADR	Meeting Date	06-Jun-2017
ISIN	US5057431042	Agenda	934601560 - Management
Record Date	10-Apr-2017	Holding Recon Date	10-Apr-2017
City / Country	/ United States	Vote Deadline Date	05-Jun-2017

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 BRIAN HARRIS		For	For
	2 MARK ALEXANDER		For	For

2. TO RATIFY THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS THE INDEPENDENT Management For For REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017.

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREET BANK & TRUST CO 997GLQ	107,000	0	25-Apr-2017	07-Jun-2017
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL45	102	55,416	25-Apr-2017	07-Jun-2017
997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL47	193	131,186	25-Apr-2017	07-Jun-2017
997AL49	GLO BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL49	230,242	0	25-Apr-2017	07-Jun-2017
997AL61	GLQ MS PLEDGE	STATE STREET BANK & TRUST CO 997AL61	30,000	0	25-Apr-2017	07-Jun-2017

BIOMARIN PHARMACEUTICAL INC.

Security	09061G101	Meeting Type	Annual
Ticker Symbol	BMRN	Meeting Date	06-Jun-2017
ISIN	US09061G1013	Agenda	934601611 - Management

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Record Date	10-Apr-2017	Holding Recon Date	10-Apr-2017
City / Country	/ United States	Vote Deadline Date	05-Jun-2017
SEDOL(s)		Quick Code	
Item	Proposal	Proposed by	Vote For/Against Management
1.	DIRECTOR	Management	
	1 JEAN-JACQUES BIENAIME		For For
	2 WILLARD DERE		For For
	3 MICHAEL GREY		For For
	4 ELAINE J. HERON		For For
	5 V. BRYAN LAWLIS		For For
	6 ALAN J. LEWIS		For For
	7 RICHARD A. MEIER		For For
	8 DAVID PYOTT		For For
	9 DENNIS J. SLAMON		For For
2.	TO RATIFY THE SELECTION OF KPMG LLP AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR BIOMARIN FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For For
3.	TO APPROVE, ON AN ADVISORY BASIS, THE FREQUENCY OF THE STOCKHOLDERS' APPROVAL, ON AN ADVISORY BASIS, OF THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS AS DISCLOSED IN THE PROXY STATEMENT.	Management	3 Years Against
4.	TO APPROVE, ON AN ADVISORY BASIS, THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS AS DISCLOSED IN THE PROXY	Management	For For

STATEMENT.

5. TO APPROVE THE 2017 EQUITY INCENTIVE PLAN. Management For For

6. TO APPROVE AMENDMENTS TO BIOMARIN'S AMENDED AND RESTATED CERTIFICATE OF INCORPORATION, AS AMENDED, TO (I) INCREASE THE TOTAL NUMBER OF AUTHORIZED SHARES OF COMMON STOCK FROM 250,000,000 SHARES TO 500,000,000 SHARES, AND (II) MAKE CERTAIN MINOR ADMINISTRATIVE CHANGES. Management For For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	10,700	0	26-Apr-2017	07-Jun-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	15,500	0	26-Apr-2017	07-Jun-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	100	7,400	26-Apr-2017	07-Jun-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	100	11,800	26-Apr-2017	07-Jun-2017

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997AL61	GLQ MS PLEDGE	997AL61	STATE STREET BANK & TRUST CO	4,100	0	26-Apr-2017	07-Jun-2017
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SALESFORCE.COM, INC.

Security	79466L302	Meeting Type	Annual
Ticker Symbol	CRM	Meeting Date	06-Jun-2017
ISIN	US79466L3024	Agenda	934606178 - Management
Record Date	12-Apr-2017	Holding Recon Date	12-Apr-2017
City / Country	/ United States	Vote Deadline Date	05-Jun-2017

SEDOL(s) Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: MARC BENIOFF	Management	For	For
1B.	ELECTION OF DIRECTOR: KEITH BLOCK	Management	For	For
1C.	ELECTION OF DIRECTOR: CRAIG CONWAY	Management	For	For
1D.	ELECTION OF DIRECTOR: ALAN HASSENFELD	Management	For	For
1E.	ELECTION OF DIRECTOR: NEELIE KROES	Management	For	For
1F.	ELECTION OF DIRECTOR: COLIN POWELL	Management	For	For
1G.	ELECTION OF DIRECTOR: SANFORD ROBERTSON	Management	For	For
1H.	ELECTION OF DIRECTOR: JOHN V. ROOS	Management	For	For
1I.	ELECTION OF DIRECTOR: ROBIN WASHINGTON	Management	For	For
1J.	ELECTION OF DIRECTOR: MAYNARD WEBB	Management	For	For
1K.	ELECTION OF DIRECTOR: SUSAN WOJCICKI	Management	For	For

- | | | | | |
|----|--|-------------|---------|---------|
| 2. | APPROVAL TO AMEND OUR 2013 EQUITY INCENTIVE PLAN, INCLUDING TO INCREASE THE NUMBER OF SHARES AUTHORIZED FOR GRANT BY 37 MILLION SHARES. | Management | For | For |
| 3. | APPROVAL TO AMEND OUR 2004 EMPLOYEE STOCK PURCHASE PLAN TO INCREASE THE NUMBER OF SHARES AUTHORIZED FOR EMPLOYEE PURCHASE BY 8 MILLION SHARES. | Management | For | For |
| 4. | RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING JANUARY 31, 2018. | Management | For | For |
| 5. | TO APPROVE, ON AN ADVISORY BASIS, THE FISCAL 2017 COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS. | Management | For | For |
| 6. | TO RECOMMEND, BY NON-BINDING VOTE, THE FREQUENCY OF EXECUTIVE COMPENSATION VOTES. | Management | 3 Years | Against |
| 7. | A STOCKHOLDER PROPOSAL REQUESTING, ON AN ADVISORY BASIS, ACTION TO ALLOW STOCKHOLDERS TO REQUEST SPECIAL MEETINGS OF STOCKHOLDERS. | Shareholder | Against | For |

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK	15,100	0	27-Apr-2017	07-Jun-2017

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997GLO	GLO	997GLO	BANK & TRUST CO STATE STREET	28,400	0	27-Apr-2017	07-Jun-2017
997AL53	GLO MS PLEDGE	997AL53	BANK & TRUST CO STATE STREET	10,000	0	27-Apr-2017	07-Jun-2017

BIOGEN INC.

Security	09062X103	Meeting Type	Annual
Ticker Symbol	BIIB	Meeting Date	07-Jun-2017
ISIN	US09062X1037	Agenda	934600568 - Management
Record Date	10-Apr-2017	Holding Recon Date	10-Apr-2017
City / Country	/ United States	Vote Deadline Date	06-Jun-2017

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: ALEXANDER J. DENNER	Management	For	For
1B.	ELECTION OF DIRECTOR: CAROLINE D. DORSA	Management	For	For
1C.	ELECTION OF DIRECTOR: NANCY L. LEAMING	Management	For	For
1D.	ELECTION OF DIRECTOR: RICHARD C. MULLIGAN	Management	For	For
1E.	ELECTION OF DIRECTOR: ROBERT W. PANGIA	Management	For	For
1F.	ELECTION OF DIRECTOR: STELIOS PAPADOPOULOS	Management	For	For
1G.	ELECTION OF DIRECTOR: BRIAN S. POSNER	Management	For	For
1H.		Management	For	For

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ELECTION OF DIRECTOR:
ERIC K. ROWINSKY

- | | | | | |
|-----|---|------------|-----|-----|
| 1I. | ELECTION OF DIRECTOR:
LYNN SCHENK | Management | For | For |
| 1J. | ELECTION OF DIRECTOR:
STEPHEN A. SHERWIN | Management | For | For |
| 1K. | ELECTION OF DIRECTOR:
MICHEL VOUNATSOS | Management | For | For |

TO RATIFY THE SELECTION
OF
PRICEWATERHOUSECOOPERS
LLP AS BIOGEN INC.'S

- | | | | | |
|----|---|------------|-----|-----|
| 2. | INDEPENDENT REGISTERED
PUBLIC ACCOUNTING FIRM
FOR THE FISCAL YEAR
ENDING DECEMBER 31,
2017. | Management | For | For |
|----|---|------------|-----|-----|

- | | | | | |
|----|--|------------|-----|-----|
| 3. | SAY ON PAY - TO APPROVE
AN ADVISORY VOTE ON
EXECUTIVE
COMPENSATION. | Management | For | For |
|----|--|------------|-----|-----|

- | | | | | |
|----|---|------------|---------|---------|
| 4. | SAY WHEN ON PAY - TO
APPROVE AN ADVISORY
VOTE ON THE FREQUENCY
OF THE ADVISORY VOTE
ON EXECUTIVE
COMPENSATION. | Management | 3 Years | Against |
|----|---|------------|---------|---------|

- | | | | | |
|----|--|------------|-----|-----|
| 5. | TO APPROVE THE BIOGEN
INC. 2017 OMNIBUS EQUITY
PLAN. | Management | For | For |
|----|--|------------|-----|-----|

Account Number	Account Name	Internal Account	Custodian Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO 240	1,500	27-Apr-2017	08-Jun-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST 190	11,600	27-Apr-2017	08-Jun-2017

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997AL49	GLO BNP PLEDGE	997AL49	CO STATE STREET BANK & TRUST CO	570	17,200	27-Apr-2017	08-Jun-2017
997AL61	GLQ MS PLEDGE	997AL61	CO STATE STREET BANK & TRUST CO	2,000	0	27-Apr-2017	08-Jun-2017

ALPHABET INC

Security	02079K305	Meeting Type	Annual
Ticker Symbol	GOOGL	Meeting Date	07-Jun-2017
ISIN	US02079K3059	Agenda	934604946 - Management
Record Date	19-Apr-2017	Holding Recon Date	19-Apr-2017
City / Country	/ United States	Vote Deadline Date	06-Jun-2017

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 LARRY PAGE		For	For
	2 SERGEY BRIN		For	For
	3 ERIC E. SCHMIDT		For	For
	4 L. JOHN DOERR		For	For
	5 ROGER W. FERGUSON, JR.		For	For
	6 DIANE B. GREENE		For	For
	7 JOHN L. HENNESSY		For	For
	8 ANN MATHER		For	For
	9 ALAN R. MULALLY		For	For
	10 PAUL S. OTELLINI		For	For
	11 K. RAM SHRIRAM		For	For
	12		For	For

SHIRLEY M.
TILGHMAN

2.	THE RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS ALPHABET'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For
3.	THE APPROVAL OF AN AMENDMENT TO ALPHABET'S 2012 STOCK PLAN TO INCREASE THE SHARE RESERVE BY 15,000,000 SHARES OF CLASS C CAPITAL STOCK.	Management	For	For
4.	THE APPROVAL OF THE 2016 COMPENSATION AWARDED TO NAMED EXECUTIVE OFFICERS.	Management	For	For
5.	THE FREQUENCY OF FUTURE STOCKHOLDER ADVISORY VOTES REGARDING COMPENSATION AWARDED TO NAMED EXECUTIVE OFFICERS.	Management	3 Years	For
6.	A STOCKHOLDER PROPOSAL REGARDING EQUAL SHAREHOLDER VOTING, IF PROPERLY PRESENTED AT THE MEETING.	Shareholder	Against	For
7.	A STOCKHOLDER PROPOSAL REGARDING A LOBBYING REPORT, IF PROPERLY PRESENTED AT THE MEETING.	Shareholder	Against	For
8.	A STOCKHOLDER PROPOSAL REGARDING A POLITICAL CONTRIBUTIONS REPORT, IF PROPERLY PRESENTED AT THE MEETING.	Shareholder	Against	For

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9. A STOCKHOLDER PROPOSAL REGARDING A REPORT ON GENDER PAY, IF PROPERLY PRESENTED AT THE MEETING. Shareholder Against For
10. A STOCKHOLDER PROPOSAL REGARDING A CHARITABLE CONTRIBUTIONS REPORT, IF PROPERLY PRESENTED AT THE MEETING. Shareholder Against For
11. A STOCKHOLDER PROPOSAL REGARDING THE IMPLEMENTATION OF "HOLY LAND PRINCIPLES," IF PROPERLY PRESENTED AT THE MEETING. Shareholder Against For
12. A STOCKHOLDER PROPOSAL REGARDING A REPORT ON "FAKE NEWS," IF PROPERLY PRESENTED AT THE MEETING. Shareholder Against For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	2,000	0	29-Apr-2017	08-Jun-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	883	0	29-Apr-2017	08-Jun-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	167	8,500	29-Apr-2017	08-Jun-2017
997AL61	GLQ MS PLEDGE	997AL61	STATE STREET	500	0	29-Apr-2017	08-Jun-2017

BANK
&
TRUST
CO

CREDIT ACCEPTANCE CORPORATION

Security	225310101	Meeting Type	Annual
Ticker Symbol	CACC	Meeting Date	07-Jun-2017
ISIN	US2253101016	Agenda	934606142 - Management
Record Date	13-Apr-2017	Holding Recon Date	13-Apr-2017
City / Country	/ United States	Vote Deadline Date	06-Jun-2017

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 GLENDA J. FLANAGAN		For	For
	2 BRETT A. ROBERTS		For	For
	3 THOMAS N. TRYFOROS		For	For
	4 SCOTT J. VASSALLUZZO		For	For
2.	ADVISORY VOTE TO APPROVE NAMED EXECUTIVE OFFICER COMPENSATION.	Management	For	For
3.	ADVISORY VOTE ON THE FREQUENCY OF FUTURE ADVISORY VOTES TO APPROVE NAMED EXECUTIVE OFFICER COMPENSATION.	Management	3 Years	Against
4.	RATIFICATION OF THE SELECTION OF GRANT THORNTON LLP AS CREDIT ACCEPTANCE CORPORATION'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017.	Management	For	For

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Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	8,800	0	28-Apr-2017	08-Jun-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	15,000	0	28-Apr-2017	08-Jun-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	162	9,900	28-Apr-2017	08-Jun-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	100	25,562	28-Apr-2017	08-Jun-2017
997AL53	GLO MS PLEDGE	997AL53	STATE STREET BANK & TRUST CO	6,950	0	28-Apr-2017	08-Jun-2017

VERTEX PHARMACEUTICALS INCORPORATED

Security	92532F100	Meeting Type	Annual
Ticker Symbol	VRTX	Meeting Date	08-Jun-2017
ISIN	US92532F1003	Agenda	934615278 - Management
Record Date	12-Apr-2017	Holding Recon Date	12-Apr-2017
City / Country	/ United States	Vote Deadline Date	07-Jun-2017
SEDOL(s)		Quick Code	
Item	Proposal	Vote	

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		Proposed by		For/Against Management
1.	DIRECTOR	Management		
	1 ALAN GARBER		For	For
	2 MARGARET G. MCGLYNN		For	For
	3 WILLIAM D. YOUNG		For	For
2.	AMENDMENTS TO OUR CHARTER AND BY-LAWS TO PROVIDE FOR THE DECLASSIFICATION OF OUR BOARD OF DIRECTORS.	Management	For	For
3.	AMENDMENT AND RESTATEMENT OF OUR 2013 STOCK AND OPTION PLAN, TO AMONG OTHER THINGS, INCREASE THE NUMBER OF SHARES AVAILABLE UNDER THE PLAN BY 6.75 MILLION SHARES.	Management	For	For
4.	RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING DECEMBER 31, 2017.	Management	For	For
5.	ADVISORY VOTE ON OUR NAMED EXECUTIVE OFFICER COMPENSATION.	Management	For	For
6.	ADVISORY VOTE ON THE FREQUENCY OF FUTURE ADVISORY VOTES ON EXECUTIVE COMPENSATION PROGRAM.	Management	3 Years	Against
7.	SHAREHOLDER PROPOSAL, IF PROPERLY PRESENTED AT THE MEETING, REQUESTING THAT WE TAKE STEPS NECESSARY TO ELIMINATE SUPERMAJORITY PROVISIONS FROM OUR CHARTER AND BY-LAWS.	Shareholder	Against	For

SHAREHOLDER PROPOSAL,
IF PROPERLY PRESENTED
AT THE MEETING,
REQUESTING THAT WE
PREPARE A REPORT ON
OUR POLICIES AND
ACTIVITIES WITH RESPECT
TO LOBBYING.

8. Shareholder Against For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	10,300	0	03-May-2017	09-Jun-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	25,800	0	03-May-2017	09-Jun-2017

KURA ONCOLOGY, INC.

Security	50127T109	Meeting Type	Annual
Ticker Symbol	KURA	Meeting Date	09-Jun-2017
ISIN	US50127T1097	Agenda	934606419 - Management
Record Date	11-Apr-2017	Holding Recon Date	11-Apr-2017
City / Country	/ United States	Vote Deadline Date	08-Jun-2017

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
1	TROY E. WILSON, PHD, JD		For	For
2	FAHEEM HASNAIN		For	For
3	ROBERT E. HOFFMAN		For	For
4	THOMAS MALLEY		For	For
5			For	For

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STEVEN H. STEIN,
M.D.

2. TO RATIFY SELECTION OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR OUR FISCAL YEAR ENDING DECEMBER 31, 2017. Management For For

3. TO APPROVE AN AMENDMENT TO OUR AMENDED AND RESTATED CERTIFICATE OF INCORPORATION, AS AMENDED, AS SET FORTH IN THE PROXY STATEMENT. Management For For

Account Number	Account Name	Internal Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLO	GLO	997GLO	51,636	0	26-Apr-2017	12-Jun-2017
		STATE STREET BANK & TRUST CO				
997AL47	GLQ BNP PLEDGE	997AL47	100	21,000	26-Apr-2017	12-Jun-2017
		STATE STREET BANK & TRUST CO				

T-MOBILE US, INC.

Security	872590104	Meeting Type	Annual
Ticker Symbol	TMUS	Meeting Date	13-Jun-2017
ISIN	US8725901040	Agenda	934605936 - Management
Record Date	17-Apr-2017	Holding Recon Date	17-Apr-2017
City / Country	/ United States	Vote Deadline Date	12-Jun-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
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1.	DIRECTOR	Management		
	1 W. MICHAEL BARNES		For	For
	2 THOMAS DANNENFELDT		For	For
	3 SRIKANT M. DATAR		For	For
	4 LAWRENCE H. GUFFEY		For	For
	5 TIMOTHEUS HOTTGES		For	For
	6 BRUNO JACOBFEUERBORN		For	For
	7 RAPHAEL KUBLER		For	For
	8 THORSTEN LANGHEIM		For	For
	9 JOHN J. LEGERE		For	For
	10 TERESA A. TAYLOR		For	For
	11 KELVIN R. WESTBROOK		For	For
2.	RATIFICATION OF THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR FISCAL YEAR 2017.	Management	For	For
3.	ADVISORY VOTE TO APPROVE THE COMPENSATION PROVIDED TO THE COMPANY'S NAMED EXECUTIVE OFFICERS FOR 2016.	Management	For	For
4.	ADVISORY VOTE ON THE FREQUENCY OF FUTURE ADVISORY VOTES ON THE COMPENSATION PROVIDED TO THE COMPANY'S NAMED EXECUTIVE OFFICERS.	Management	3 Years	For
5.	STOCKHOLDER PROPOSAL FOR IMPLEMENTATION OF PROXY ACCESS.	Shareholder	Against	For

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6. STOCKHOLDER PROPOSAL FOR LIMITATIONS ON ACCELERATED VESTING OF EQUITY AWARDS IN THE EVENT OF A CHANGE OF CONTROL. Shareholder Against For
7. STOCKHOLDER PROPOSAL FOR AN AMENDMENT OF THE COMPANY'S CLAWBACK POLICY. Shareholder Against For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	997GLV	STATE STREET BANK & TRUST CO	6,300	0	28-Apr-2017	14-Jun-2017
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	15,700	0	28-Apr-2017	14-Jun-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	19,500	0	28-Apr-2017	14-Jun-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	100	77,800	28-Apr-2017	14-Jun-2017
997AL61	GLQ MS PLEDGE	997AL61	STATE STREET BANK & TRUST CO	10,000	0	28-Apr-2017	14-Jun-2017

CELGENE CORPORATION

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Security	151020104	Meeting Type	Annual
Ticker Symbol	CELG	Meeting Date	14-Jun-2017
ISIN	US1510201049	Agenda	934609023 - Management
Record Date	20-Apr-2017	Holding Recon Date	20-Apr-2017
City / Country	/ United States	Vote Deadline Date	13-Jun-2017

SEDOL(s) Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 ROBERT J. HUGIN		For	For
	2 MARK J. ALLES		For	For
	3 RICHARD W BARKER D PHIL		For	For
	4 MICHAEL W. BONNEY		For	For
	5 MICHAEL D. CASEY		For	For
	6 CARRIE S. COX		For	For
	7 MICHAEL A. FRIEDMAN, MD		For	For
	8 JULIA A. HALLER, M.D.		For	For
	9 GILLA S. KAPLAN, PH.D.		For	For
	10 JAMES J. LOUGHLIN		For	For
	11 ERNEST MARIO, PH.D.		For	For
2.	RATIFICATION OF THE APPOINTMENT OF KPMG LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For
3.	APPROVAL OF AN AMENDMENT AND RESTATEMENT OF THE COMPANY'S STOCK INCENTIVE PLAN.	Management	For	For

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4. APPROVAL, BY NON-BINDING VOTE, OF EXECUTIVE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS. Management For For
5. TO RECOMMEND, BY NON-BINDING VOTE, THE FREQUENCY OF EXECUTIVE COMPENSATION VOTES. Management 3 Years Against
6. STOCKHOLDER PROPOSAL TO REQUEST A BY-LAW PROVISION LIMITING MANAGEMENT'S ACCESS TO VOTE TALLIES PRIOR TO THE ANNUAL MEETING WITH RESPECT TO CERTAIN EXECUTIVE PAY MATTERS, DESCRIBED IN MORE DETAIL IN THE PROXY STATEMENT. Shareholder Against For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	14,900	0	28-Apr-2017	15-Jun-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	17,200	0	28-Apr-2017	15-Jun-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	100	19,900	28-Apr-2017	15-Jun-2017

SONY CORPORATION

Security J76379106 Meeting Type Annual General Meeting

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Ticker Symbol		Meeting Date	15-Jun-2017
ISIN	JP3435000009	Agenda	708212484 - Management
Record Date	31-Mar-2017	Holding Recon Date	31-Mar-2017
City / Country	TOKYO / Japan	Vote Deadline Date	07-Jun-2017
SEDOL(s)	0821687 - 4823203 - 5485602 - 6821506 - B01DR28 - B0K3NH6 - B0ZMMV5	Quick Code	67580

Item	Proposal	Proposed by	Vote	For/Against Management
	Please reference meeting materials.	Non-Voting		
1.1	Appoint a Director Hirai, Kazuo	Management	For	For
1.2	Appoint a Director Yoshida, Kenichiro	Management	For	For
1.3	Appoint a Director Nagayama, Osamu	Management	For	For
1.4	Appoint a Director Nimura, Takaaki	Management	For	For
1.5	Appoint a Director Harada, Eiko	Management	For	For
1.6	Appoint a Director Tim Schaaff	Management	For	For
1.7	Appoint a Director Matsunaga, Kazuo	Management	For	For
1.8	Appoint a Director Miyata, Koichi	Management	For	For
1.9	Appoint a Director John V. Roos	Management	For	For
1.10	Appoint a Director Sakurai, Eriko	Management	For	For
1.11	Appoint a Director Minakawa, Kunihito	Management	For	For
1.12	Appoint a Director Sumi, Shuzo	Management	For	For
2	Approve Issuance of Share Acquisition Rights as Stock Options for Executive Officers and Employees of the Company and Directors and Employees of	Management	For	For

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the Company's Subsidiaries

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
GLV	GLV	STATE STREET BANK		7,700	0	22-May-2017	14-Jun-2017
GLQ	GLQ	STATE STREET BANK		4,600	0	22-May-2017	14-Jun-2017
GLO	GLO	STATE STREET BANK		13,000	0	22-May-2017	14-Jun-2017

EDITAS MEDICINE INC

Security	28106W103	Meeting Type	Annual
Ticker Symbol	EDIT	Meeting Date	15-Jun-2017
ISIN	US28106W1036	Agenda	934602598 - Management
Record Date	18-Apr-2017	Holding Recon Date	18-Apr-2017
City / Country	/ United States	Vote Deadline Date	14-Jun-2017

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 ALEXIS BORISY		For	For
	2 DOUGLAS G. COLE, M.D.		For	For
	3 A.K. VAISHNAW MD PHD		For	For
2.	RATIFICATION OF THE SELECTION OF ERNST & YOUNG LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For

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Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	62,300	0	27-Apr-2017	16-Jun-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	54,800	0	27-Apr-2017	16-Jun-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	75,000	25,000	27-Apr-2017	16-Jun-2017

YELP INC

Security	985817105	Meeting Type	Annual
Ticker Symbol	YELP	Meeting Date	15-Jun-2017
ISIN	US9858171054	Agenda	934613616 - Management
Record Date	17-Apr-2017	Holding Recon Date	17-Apr-2017
City / Country	/ United States	Vote Deadline Date	14-Jun-2017

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 DIANE M. IRVINE		For	For
	2 MARIAM NAFICY		For	For
2.	TO RATIFY THE SELECTION OF DELOITTE & TOUCHE LLP AS YELP'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING DECEMBER 31, 2017.	Management	For	For
3.		Management	For	For

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TO APPROVE, ON AN
ADVISORY BASIS, THE
COMPENSATION OF YELP'S
NAMED EXECUTIVE
OFFICERS, AS DISCLOSED
IN THE ACCOMPANYING
PROXY STATEMENT.

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	19,700	0	02-May-2017	16-Jun-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	40,000	0	02-May-2017	16-Jun-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	99,400	38,900	02-May-2017	16-Jun-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	100	267,600	02-May-2017	16-Jun-2017
997AL53	GLO MS PLEDGE	997AL53	STATE STREET BANK & TRUST CO	40,000	0	02-May-2017	16-Jun-2017

CARDIOME PHARMA CORP.

Security	14159U301	Meeting Type	Annual and Special Meeting
Ticker Symbol	CRME	Meeting Date	20-Jun-2017
ISIN	CA14159U3010	Agenda	934638098 - Management

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Record Date	16-May-2017	Holding Recon Date	16-May-2017
City / Country	/ Canada	Vote Deadline Date	15-Jun-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
01	DIRECTOR	Management		
	1 RICHARD M. GLICKMAN		For	For
	2 W. JAMES O'SHEA		For	For
	3 WILLIAM L. HUNTER		For	For
	4 MARK H. CORRIGAN		For	For
	5 ARTHUR H. WILLMS		For	For
	6 ROBERT J. MEYER		For	For
02	APPOINTMENT OF KPMG LLP AS AUDITORS OF THE CORPORATION FOR THE ENSUING YEAR AND AUTHORIZING THE DIRECTORS TO FIX THEIR REMUNERATION.	Management	For	For
03	TO APPROVE AN ORDINARY RESOLUTION APPROVING CERTAIN AMENDMENTS TO AND UNALLOCATED ENTITLEMENTS UNDER THE CORPORATION'S RESTRICTED SHARE UNIT PLAN AS MORE PARTICULARLY SET OUT IN THE ACCOMPANYING MANAGEMENT INFORMATION CIRCULAR.	Management	For	For
04	TO APPROVE AN ORDINARY RESOLUTION APPROVING CERTAIN AMENDMENTS TO AND UNALLOCATED OPTIONS UNDER THE CORPORATION'S INCENTIVE STOCK OPTION PLAN AS MORE PARTICULARLY SET OUT IN	Management	For	For

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THE ACCOMPANYING
MANAGEMENT
INFORMATION CIRCULAR.

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	100	149,100	31-May-2017	21-Jun-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	100	418,100	31-May-2017	21-Jun-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	1,042,181	0	31-May-2017	21-Jun-2017

BLACKSTONE MORTGAGE TRUST, INC

Security	09257W100	Meeting Type	Annual
Ticker Symbol	BXMT	Meeting Date	21-Jun-2017
ISIN	US09257W1009	Agenda	934603881 - Management
Record Date	13-Apr-2017	Holding Recon Date	13-Apr-2017
City / Country	/ United States	Vote Deadline Date	20-Jun-2017

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1	MICHAEL B. NASH	For	For
	2	STEPHEN D. PLAVIN	For	For
	3	LEONARD W. COTTON	For	For
	4	THOMAS E. DOBROWSKI	For	For

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5	MARTIN L. EDELMAN	For	For
6	HENRY N. NASSAU	For	For
7	JONATHAN L. POLLACK	For	For
8	LYNNE B. SAGALYN	For	For

2.	RATIFICATION OF THE APPOINTMENT OF DELOITTE & TOUCHE LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For
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3.	ADVISORY VOTE ON EXECUTIVE COMPENSATION: TO APPROVE IN A NON-BINDING, ADVISORY VOTE, THE COMPENSATION PAID TO OUR NAMED EXECUTIVE OFFICERS.	Management	For	For
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Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	59,700	0	28-Apr-2017	22-Jun-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	58,200	0	28-Apr-2017	22-Jun-2017
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	32,500	39,700	28-Apr-2017	22-Jun-2017
997AL47		997AL47		80,100	69,900	28-Apr-2017	22-Jun-2017

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	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO				
997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	100	206,100	28-Apr-2017	22-Jun-2017
997AL53	GLO MS PLEDGE	997AL53 STATE STREET BANK & TRUST CO	50,000	0	28-Apr-2017	22-Jun-2017
997AL60	GLV MS PLEDGE	997AL60 STATE STREET BANK & TRUST CO	5,000	0	28-Apr-2017	22-Jun-2017
997AL61	GLQ MS PLEDGE	997AL61 STATE STREET BANK & TRUST CO	10,800	0	28-Apr-2017	22-Jun-2017

SEMICONDUCTOR MANUFACTURING INTERNATIONAL CORP

Security	G8020E119	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	23-Jun-2017
ISIN	KYG8020E1199	Agenda	708261982 - Management
Record Date	19-Jun-2017	Holding Recon Date	19-Jun-2017
City / Country	SHANGHAI / China	Vote Deadline Date	16-Jun-2017
SEDOL(s)	BDFBM13 - BDFC8W9 - BDH2T79 - BDRKV77 - BDRKVB1 - BYZLGH4 - BYZMKY2	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE THAT THIS IS AN AMENDMENT TO MEETING ID 779586 DUE TO RESOLUTIONS-7 TO 15 ARE SINGLE ITEMS. ALL VOTES RECEIVED ON THE PREVIOUS MEETING WILL BE-DISREGARDED AND YOU WILL NEED TO REINSTRUCT ON THIS MEETING NOTICE. THANK YOU	Non-Voting		
CMMT	PLEASE NOTE THAT SHAREHOLDERS ARE ALLOWED TO VOTE 'IN FAVOR' OR 'AGAINST' FOR- ALL RESOLUTIONS, ABSTAIN IS NOT A VOTING OPTION ON THIS MEETING	Non-Voting		
CMMT	PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- (http://www.hkexnews.hk/listedco/listconews/sehk/2017/0523/ltm20170523419.pdf)-,- (http://www.hkexnews.hk/listedco/listconews/sehk/2017/0523/ltm20170523382.pdf)-AND- (http://www.hkexnews.hk/listedco/listconews/sehk/2017/0531/ltm20170531819.pdf)	Non-Voting		
1	TO RECEIVE AND CONSIDER THE AUDITED CONSOLIDATED FINANCIAL STATEMENTS AND THE REPORTS OF THE DIRECTORS OF THE COMPANY ("DIRECTOR(S)") AND THE AUDITORS OF THE COMPANY FOR THE YEAR ENDED 31 DECEMBER 2016	Management	For	For
2.A	TO RE-ELECT DR. ZHOU ZIXUE AS AN EXECUTIVE DIRECTOR	Management	For	For

2.B	TO RE-ELECT DR. TZU-YIN CHIU AS A NON- EXECUTIVE DIRECTOR	Management	For	For
2.C	TO RE-ELECT DR. GAO YONGGANG AS AN EXECUTIVE DIRECTOR	Management	For	For
2.D	TO RE-ELECT MR. WILLIAM TUDOR BROWN AS AN INDEPENDENT NON-EXECUTIVE DIRECTOR	Management	For	For
2.E	TO RE-ELECT DR. TONG GUOHUA AS A NON- EXECUTIVE DIRECTOR	Management	For	For
2.F	TO RE-ELECT DR. SHANG-YI CHIANG AS AN INDEPENDENT NON-EXECUTIVE DIRECTOR	Management	For	For
2.G	TO RE-ELECT DR. JASON JINGSHENG CONG AS AN INDEPENDENT NON-EXECUTIVE DIRECTOR	Management	For	For
2.H	TO AUTHORIZE THE BOARD OF DIRECTORS (THE "BOARD") TO FIX THEIR REMUNERATION	Management	For	For
3	TO RE-APPOINT PRICEWATERHOUSECOOPERS AND PRICEWATERHOUSECOOPERS ZHONG TIAN LLP AS THE AUDITORS OF THE COMPANY FOR HONG KONG FINANCIAL REPORTING AND U.S. FINANCIAL REPORTING PURPOSES, RESPECTIVELY, AND TO AUTHORISE THE AUDIT COMMITTEE OF THE BOARD TO FIX THEIR REMUNERATION	Management	For	For
4	TO GRANT A GENERAL MANDATE TO THE BOARD TO ALLOT, ISSUE, GRANT, DISTRIBUTE AND OTHERWISE DEAL WITH	Management	For	For

ADDITIONAL SHARES IN THE COMPANY, NOT EXCEEDING TWENTY PER CENT. OF THE NUMBER OF ISSUED SHARES OF THE COMPANY AT THE DATE OF THIS RESOLUTION

5	TO GRANT A GENERAL MANDATE TO THE BOARD TO REPURCHASE SHARES OF THE COMPANY, NOT EXCEEDING TEN PER CENT. OF THE NUMBER OF ISSUED SHARES OF THE COMPANY AT THE DATE OF THIS RESOLUTION	Management	For	For
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6	CONDITIONAL ON THE PASSING OF RESOLUTIONS 4 AND 5, TO AUTHORIZE THE BOARD TO EXERCISE THE POWERS TO ALLOT, ISSUE, GRANT, DISTRIBUTE AND OTHERWISE DEAL WITH THE ADDITIONAL AUTHORIZED BUT UNISSUED SHARES IN THE COMPANY REPURCHASED BY THE COMPANY	Management	For	For
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7	(A) TO AUTHORIZE THE INCREASE IN THE AUTHORISED SHARE CAPITAL OF THE COMPANY FROM USD 22,000,000 TO USD 42,000,000 BY THE CREATION OF AN ADDITIONAL 5,000,000,000 COMMON SHARES IN THE SHARE CAPITAL OF THE COMPANY; AND (B) TO AUTHORIZE ANY DIRECTOR OF THE COMPANY TO DO ALL SUCH ACTS ON BEHALF OF THE COMPANY AS HE/SHE MAY CONSIDER NECESSARY, DESIRABLE OR EXPEDIENT FOR THE PURPOSE OF, OR IN CONNECTION WITH, THE	Management	For	For
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IMPLEMENTATION OF AND GIVING EFFECT TO THE INCREASE IN AUTHORIZED SHARE CAPITAL OF THE COMPANY

(A) TO AUTHORIZE THE REDUCTION OF THE AMOUNT STANDING TO THE CREDIT OF THE SHARE PREMIUM ACCOUNT OF THE COMPANY BY AN AMOUNT OF USD 910,849,175.17 AND TO

8	DIRECTORS OF THE COMPANY TO APPLY SUCH AMOUNT TO ELIMINATE THE ACCUMULATED LOSSES OF THE COMPANY AS AT 31 DECEMBER 2016 IN THE SAME AMOUNT; AND (B) TO AUTHORIZE ANY DIRECTOR OF THE COMPANY	Management	For	For
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TO DO ALL ACTS ON BEHALF OF THE COMPANY AS HE/SHE MAY CONSIDER NECESSARY, DESIRABLE OR EXPEDIENT FOR THE PURPOSE OF, OR IN CONNECTION WITH, THE IMPLEMENTATION OF AND COMPLETION OF THE SHARE PREMIUM REDUCTION OF THE COMPANY

9	(A) TO APPROVE AND CONFIRM THE PROPOSED GRANT OF 2,109,318 RSUS TO DR. TZU-YIN CHIU, THE FORMER CHIEF EXECUTIVE OFFICER OF THE COMPANY AND A NON-EXECUTIVE DIRECTOR, IN ACCORDANCE WITH THE TERMS OF THE 2014 EQUITY INCENTIVE PLAN, AND SUBJECT TO ALL	Management	For	For
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APPLICABLE LAWS, RULES, REGULATIONS AND THE OTHER APPLICABLE DOCUMENTS; AND (B) TO AUTHORIZE ANY DIRECTOR OF THE COMPANY TO EXERCISE THE POWERS OF THE COMPANY TO ALLOT AND ISSUE THE COMMON SHARES OF THE COMPANY PURSUANT TO THE PROPOSED RSU GRANT UNDER THE SPECIFIC MANDATE GRANTED TO THE DIRECTORS BY THE SHAREHOLDERS AT THE ANNUAL GENERAL MEETING OF THE COMPANY HELD ON 13 JUNE 2013 IN ACCORDANCE WITH THE TERMS OF THE 2014 EQUITY INVENTIVE PLAN AND/OR TO DO ALL SUCH ACTS ON BEHALF OF THE COMPANY AS HE/SHE MAY CONSIDER NECESSARY, DESIRABLE OR EXPEDIENT FOR THE PURPOSE OF, OR IN CONNECTION WITH THE IMPLEMENTATION AND COMPLETION OF THE TRANSACTIONS CONTEMPLATED PURSUANT TO THE PROPOSED RSU GRANT

10	(A) TO APPROVE AND CONFIRM THE PROPOSED GRANT OF 62,500 RSUS TO DR. CHEN SHANZHI, A NON-EXECUTIVE DIRECTOR, IN ACCORDANCE WITH THE TERMS OF THE 2014 EQUITY INCENTIVE PLAN, AND SUBJECT TO ALL APPLICABLE LAWS, RULES, REGULATIONS AND THE OTHER APPLICABLE DOCUMENTS; AND (B) TO	Management	For	For
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AUTHORIZE ANY DIRECTOR OF THE COMPANY TO EXERCISE THE POWERS OF THE COMPANY TO ALLOT AND ISSUE THE COMMON SHARES OF THE COMPANY PURSUANT TO THE PROPOSED RSU GRANT UNDER THE SPECIFIC MANDATE GRANTED TO THE DIRECTORS BY THE SHAREHOLDERS AT THE ANNUAL GENERAL MEETING OF THE COMPANY HELD ON 13 JUNE 2013 IN ACCORDANCE WITH THE TERMS OF THE 2014 EQUITY INVENTIVE PLAN AND/OR TO DO ALL SUCH ACTS ON BEHALF OF THE COMPANY AS HE/SHE MAY CONSIDER NECESSARY, DESIRABLE OR EXPEDIENT FOR THE PURPOSE OF, OR IN CONNECTION WITH THE IMPLEMENTATION AND COMPLETION OF THE TRANSACTIONS CONTEMPLATED PURSUANT TO THE PROPOSED RSU GRANT

11	(A) TO APPROVE AND CONFIRM THE PROPOSED GRANT OF 62,500 RSUS TO MR. LIP-BU TAN, AN INDEPENDENT NON-EXECUTIVE DIRECTOR, IN ACCORDANCE WITH THE TERMS OF THE 2014 EQUITY INCENTIVE PLAN, AND SUBJECT TO ALL APPLICABLE LAWS, RULES, REGULATIONS AND THE OTHER APPLICABLE DOCUMENTS; AND (B) TO AUTHORIZE ANY DIRECTOR OF THE COMPANY TO EXERCISE THE POWERS OF	Management	For	For
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THE COMPANY TO ALLOT AND ISSUE THE COMMON SHARES OF THE COMPANY PURSUANT TO THE PROPOSED RSU GRANT UNDER THE SPECIFIC MANDATE GRANTED TO THE DIRECTORS BY THE SHAREHOLDERS AT THE ANNUAL GENERAL MEETING OF THE COMPANY HELD ON 13 JUNE 2013 IN ACCORDANCE WITH THE TERMS OF THE 2014 EQUITY INVENTIVE PLAN AND/OR TO DO ALL SUCH ACTS ON BEHALF OF THE COMPANY AS HE/SHE MAY CONSIDER NECESSARY, DESIRABLE OR EXPEDIENT FOR THE PURPOSE OF, OR IN CONNECTION WITH THE IMPLEMENTATION AND COMPLETION OF THE TRANSACTIONS CONTEMPLATED PURSUANT TO THE PROPOSED RSU GRANT

12	(A) TO APPROVE AND CONFIRM THE PROPOSED GRANT OF 187,500 RSUS TO DR. SHANG-YI CHIANG, AN INDEPENDENT NON-EXECUTIVE DIRECTOR, IN ACCORDANCE WITH THE TERMS OF THE 2014 EQUITY INCENTIVE PLAN, AND SUBJECT TO ALL APPLICABLE LAWS, RULES, REGULATIONS AND THE OTHER APPLICABLE DOCUMENTS; AND (B) TO AUTHORIZE ANY DIRECTOR OF THE COMPANY TO EXERCISE THE POWERS OF THE COMPANY TO ALLOT AND ISSUE THE COMMON SHARES OF THE COMPANY	Management	For	For
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PURSUANT TO THE PROPOSED RSU GRANT UNDER THE SPECIFIC MANDATE GRANTED TO THE DIRECTORS BY THE SHAREHOLDERS AT THE ANNUAL GENERAL MEETING OF THE COMPANY HELD ON 13 JUNE 2013 IN ACCORDANCE WITH THE TERMS OF THE 2014 EQUITY INVENTIVE PLAN AND/OR TO DO ALL SUCH ACTS ON BEHALF OF THE COMPANY AS HE/SHE MAY CONSIDER NECESSARY, DESIRABLE OR EXPEDIENT FOR THE PURPOSE OF, OR IN CONNECTION WITH THE IMPLEMENTATION AND COMPLETION OF THE TRANSACTIONS CONTEMPLATED PURSUANT TO THE PROPOSED RSU GRANT

(A) TO APPROVE AND CONFIRM THE PROPOSED GRANT OF 187,500 RSUS TO DR. TONG GUOHUA, A NON-EXECUTIVE DIRECTOR, IN ACCORDANCE WITH THE TERMS OF THE 2014 EQUITY INCENTIVE PLAN, AND SUBJECT TO ALL APPLICABLE LAWS, RULES, REGULATIONS AND THE OTHER APPLICABLE DOCUMENTS; AND (B) TO AUTHORIZE ANY DIRECTOR OF THE COMPANY TO EXERCISE THE POWERS OF THE COMPANY TO ALLOT AND ISSUE THE COMMON SHARES OF THE COMPANY

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Management For For

PURSUANT TO THE PROPOSED RSU GRANT UNDER THE SPECIFIC

MANDATE GRANTED TO THE DIRECTORS BY THE SHAREHOLDERS AT THE ANNUAL GENERAL MEETING OF THE COMPANY HELD ON 13 JUNE 2013 IN ACCORDANCE WITH THE TERMS OF THE 2014 EQUITY INVENTIVE PLAN AND/OR TO DO ALL SUCH ACTS ON BEHALF OF THE COMPANY AS HE/SHE MAY CONSIDER NECESSARY, DESIRABLE OR EXPEDIENT FOR THE PURPOSE OF, OR IN CONNECTION WITH THE IMPLEMENTATION AND COMPLETION OF THE TRANSACTIONS CONTEMPLATED PURSUANT TO THE PROPOSED RSU GRANT

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|----|---|------------|-----|-----|
| 14 | (A) TO APPROVE AND CONFIRM THE PROPOSED GRANT OF 187,500 RSUS TO DR. JASON JINGSHENG CONG, AN INDEPENDENT NON-EXECUTIVE DIRECTOR, IN ACCORDANCE WITH THE TERMS OF THE 2014 EQUITY INCENTIVE PLAN, AND SUBJECT TO ALL APPLICABLE LAWS, RULES, REGULATIONS AND THE OTHER APPLICABLE DOCUMENTS; AND (B) TO AUTHORIZE ANY DIRECTOR OF THE COMPANY TO EXERCISE THE POWERS OF THE COMPANY TO ALLOT AND ISSUE THE COMMON SHARES OF THE COMPANY PURSUANT TO THE PROPOSED RSU GRANT UNDER THE SPECIFIC MANDATE GRANTED TO THE DIRECTORS BY THE SHAREHOLDERS AT THE | Management | For | For |
|----|---|------------|-----|-----|

ANNUAL GENERAL
MEETING OF THE
COMPANY HELD ON 13
JUNE 2013 IN ACCORDANCE
WITH THE TERMS OF THE
2014 EQUITY INVENTIVE
PLAN AND/OR TO DO ALL
SUCH ACTS ON BEHALF OF
THE COMPANY AS HE/SHE
MAY CONSIDER
NECESSARY, DESIRABLE
OR EXPEDIENT FOR THE
PURPOSE OF, OR IN
CONNECTION WITH THE
IMPLEMENTATION AND
COMPLETION OF THE
TRANSACTIONS
CONTEMPLATED
PURSUANT TO THE
PROPOSED RSU GRANT

(A) TO APPROVE AND
CONFIRM THE PROPOSED
PAYMENT OF USD 688,000
TO DR. CHIU, THE NON-
EXECUTIVE VICE
CHAIRMAN AND A NON-
EXECUTIVE DIRECTOR, AS
A TOKEN OF APPRECIATION
FOR HIS CONTRIBUTIONS
TO THE COMPANY DURING
HIS TENURE AS CHIEF
EXECUTIVE OFFICER OF
THE COMPANY BETWEEN 5
AUGUST 2011 AND 10 MAY

15

2017; AND (B) TO
AUTHORIZE ANY DIRECTOR
OF THE COMPANY (WITH
THE EXCEPTION OF DR.
CHIU) BE AND IS HEREBY
AUTHORISED TO DO ALL
ACTS ON BEHALF OF THE
COMPANY AS HE/SHE MAY
CONSIDER NECESSARY,
DESIRABLE OR EXPEDIENT
FOR THE PURPOSE OF, OR
IN CONNECTION WITH, THE
GRATUITY OF THE
PROPOSED PAYMENT TO
DR. CHIU

Management For For

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Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
GLQ	GLQ		STATE STREET BANK	780,000	0	02-Jun-2017	19-Jun-2017
GLO	GLO		STATE STREET BANK	960,000	0	02-Jun-2017	19-Jun-2017

INTRA-CELLULAR THERAPIES INC

Security	46116X101	Meeting Type	Annual
Ticker Symbol	ITCI	Meeting Date	27-Jun-2017
ISIN	US46116X1019	Agenda	934621978 - Management
Record Date	28-Apr-2017	Holding Recon Date	28-Apr-2017
City / Country	/ United States	Vote Deadline Date	26-Jun-2017

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 RICHARD LERNER, M.D.		For	For
2.	TO RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For
3.	TO APPROVE BY AN ADVISORY VOTE THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS, AS DISCLOSED IN THE PROXY STATEMENT.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ		69,200	0	10-May-2017	28-Jun-2017

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997AL49	GLO BNP PLEDGE	STATE STREET BANK & TRUST CO	997AL49	210,500	0	10-May-2017	28-Jun-2017
997AL53	GLO MS PLEDGE	STATE STREET BANK & TRUST CO	997AL53	30,000	0	10-May-2017	28-Jun-2017
997AL61	GLQ MS PLEDGE	STATE STREET BANK & TRUST CO	997AL61	25,000	0	10-May-2017	28-Jun-2017

NINTENDO CO.,LTD.

Security	J51699106	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	29-Jun-2017
ISIN	JP3756600007	Agenda	708274446 - Management
Record Date	31-Mar-2017	Holding Recon Date	31-Mar-2017
City / Country	KYOTO / Japan	Vote Deadline Date	21-Jun-2017
SEDOL(s)	5334209 - 6639550 - B02JMD1 - B0ZGTW7 - BRTM8H8	Quick Code	79740

Item	Proposal	Proposed by	Vote	For/Against Management
	Please reference meeting materials.	Non-Voting		
1	Approve Appropriation of Surplus	Management	For	For

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2.1	Appoint a Director except as Supervisory Committee Members Kimishima, Tatsumi	Management	For	For
2.2	Appoint a Director except as Supervisory Committee Members Miyamoto, Shigeru	Management	For	For
2.3	Appoint a Director except as Supervisory Committee Members Takahashi, Shinya	Management	For	For
2.4	Appoint a Director except as Supervisory Committee Members Furukawa, Shuntaro	Management	For	For
2.5	Appoint a Director except as Supervisory Committee Members Shiota, Ko	Management	For	For

Account Number	Account Name	Internal Account	Ballot Custodian Shares	Unavailable Shares	Vote Date	Date Confirmed
GLV	GLV	STATE STREET BANK	1,800	0	07-Jun-2017	28-Jun-2017
GLQ	GLQ	STATE STREET BANK	1,700	0	07-Jun-2017	28-Jun-2017
GLO	GLO	STATE STREET BANK	4,800	0	07-Jun-2017	28-Jun-2017

SIGNATURE

Pursuant to the requirements of the Investment Company Act of 1940, the Registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

Clough Global
Opportunities Fund

By: /s/ Edmund J. Burke
Edmund J. Burke
President

Date: August 23, 2017