Edgar Filing: WIDGE SUNIL Y - Form 4

WIDOR GUNILLA

| Form 4 | Υ | | | | | | | | | | |
|--|--|---|---|--|--|---|--|--|---|--|--|
| May 17, 2011 | | | | | | | | OMB A | PPROVAL | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | | 3235-0287 | | |
| Check this box if no longer subject to Section 16. Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, | | | | | | | Expires: | January 31, | | | |
| | | | | | | Estimated burden hou response | Estimated average burden hours per response 0.5 | | | | |
| obligations may continue <i>See</i> Instructio 1(b). | | | | • | U | npany Act | t of 1935 or Section 1940 | on | | | |
| (Print or Type Resp | onses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> WIDGE SUNIL Y | | | 2. Issuer Name and Ticker or Trading Symbol | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| | | | CARPENTER TECHNOLOGY CORP [CRS] | | | | (Check all applicable) | | | | |
| (Last) | Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 05/13/2011 | | | | Director 10% Owner X Officer (give title Other (specify below) below) | | | | | |
| CORPORATIC | | | 03/13/2 | 2011 | | | SVP Strat | Bus Dev & Go | vt Affrs | | |
| READING, PA | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | | | | |
| (City) | (State) | (Zip) | | | | | Person | | | | |
| | | | | | | | Acquired, Disposed | | | | |
| | ransaction Date onth/Day/Year) | Execution any | Date, if | Code (Instr. 8) | 4. Securit onAcquired Disposed (Instr. 3, 4 Amount | (A) or of (D) | Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| Domindon Donort | n o concrete lin | for each al | and of and | | | | | | | | |
| Reminder: Report o | n a separate find | for each ch | ass 01 Sec | unites defit | Perso inform requir | ns who re nation con red to resp ays a curre | spond to the colle tained in this form ond unless the for ently valid OMB co | n are not rm | SEC 1474 (9-02) | | |
| | Tab | | | | | posed of, or convertible | r Beneficially Owned securities) | 1 | | | |

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. Number of Derivative | 6. Date Exercisable and | 7. Ti |
|-------------|------------|---------------------|--------------------|----------|-------------------------------|-------------------------|-------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transact | ionSecurities Acquired (A) or | Expiration Date | Unde |

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| Security (Instr. 3) | or Exercise Price of | | any (Month/Day/Year) | Code (Instr. 8) | 1 | | (Month/Day/Year) | | (Inst | |
|---|-------------------------|------------|-------------------------|--------------------|--|-----|---------------------|--------------------|-----------|--|
| | Derivative Security | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | |
| Employee Stock Option (right to buy) | \$ 51.4 | 05/13/2011 | | A | 736 | | 05/13/2012 | 05/13/2021 | Cor St | |
| Employee Stock Option (right to buy) | \$ 51.4 | 05/13/2011 | | A | 735 | | 05/13/2013 | 05/13/2021 | Cor St | |
| Employee Stock Option (right to buy) | \$ 51.4 | 05/13/2011 | | A | Pahwa Sudhir K C/O 5960 HEISLEY ROAD MENTOR, OH 44060 | | | Sr. V.P., IPT | | |
| Signatures | | | | | | | | | | |
| /s/ Dennis P. Patton, Authorized Representative under Power of 08/12/2015 | | | | | | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

8,650 of these Common Shares are restricted. The restrictions on these Common Shares lapse as follows: 1,250 on May 31, 2016; 1,075 on May 31, 2016; 1,075 on May 31, 2016; 1,075 on May 31, 2017; 750 on May 30, 2016; 750 on May 30, 2017; 750 on May 30, 2018; 750 on May 30, 2016; 750

- (1) on May 91, 2010, 1,075 on May 91, 2017, 750 on May 90, 2010, 750 on May 90, 2017, 750 on May 90, 2010, 750 on May 90, 201
- (2) These options become exercisable as follows: 4,500 on May 28, 2016; 4,500 on May 28, 2017; 4,500 on May 28, 2018; and 4,500 on May 28, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. 1 Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).(1)The reporting person was granted an option to buy shares of common stock under the Carpenter Technology Corporation Stock-Based Compensation Plan for Officers and Key Employees.

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