Edgar Filing: APACHE CORP - Form 3

APACHE CORP

Form 3 September 21, 2016

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement APACHE CORP [APA] À Ricotta Dominic (Month/Day/Year) 09/13/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 2000 POST OAK BLVD.. STE (Check all applicable) 100 (Street) 6. Individual or Joint/Group 10% Owner Director _X__ Officer Other Filing(Check Applicable Line) (give title below) (specify below) _X_ Form filed by One Reporting Sr. Vice President Person HOUSTON, TXÂ 77056 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Common Stock I 5,950.71 Held by Trustee 401(k) Plan Common Stock 4,434.98 I Held by Trustee NQ Plan Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial Ownership
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	(Instr. 5)
		(Instr. 4)	Price of	Derivative	

Edgar Filing: APACHE CORP - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock / Units	(3)	(3)	Common Stock	17,341	\$ 0 (2)	D	Â
Option (Buy \$82.55) (1)	(4)	05/06/2019	Common Stock	1,370	\$ 82.55	D	Â
Option (Buy \$99.30) (1)	(5)	05/05/2020	Common Stock	1,897	\$ 99.3	D	Â
Option (Buy \$126.61) (1)	(6)	05/04/2021	Common Stock	3,109	\$ 126.61	D	Â
Option (Buy \$82.63) (1)	(7)	05/22/2022	Common Stock	4,503	\$ 82.63	D	Â
Option - Buy \$80.89 (1)	(8)	05/16/2023	Common Stock	7,165	\$ 80.89	D	Â
Option - Buy \$41.24	(9)	02/03/2026	Common Stock	6,107	\$ 41.24	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
1 8	Director	10% Owner	Officer	Other	
Ricotta Dominic					
2000 POST OAK BLVD.	â	Â	Sr. Vice President	â	
STE 100	A	А	A SI. VICE FIESIUCIII	A	
HOUSTON, TX 77056					

Signatures

Dominic Ricotta 09/21/2016

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) With tandem tax withholding right
- (2) One share of Apache common stock for each restricted stock unit.
- (3) Restricted stock units granted under employer plan. The units vest ratably over three, four, or five years beginning one year from the date of grant.
- (4) Exercisable ratably over four years beginning 05/06/2010.
- (5) Exercisable ratably over four years beginning 05/05/2011.
- (6) Exercisable ratably over four years beginning 05/04/2012.

Reporting Owners 2

Edgar Filing: APACHE CORP - Form 3

- (7) Exercisable ratably over four years beginning 05/22/2013.
- (8) Exercisable ratably over four years beginning 05/16/2014.
- (9) Exercisable ratably over three years beginning 02/03/2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.